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|------------------------------------------------|----------------|
| SB-010864.01/ S1-32GCL-000019/ 11229/ 14AC0001 | USD 121.250,00 |
| SB-010864.02/ S1-32GCL-000019/ 11229/ 14AC0001 | USD 13.750,00 |
| SB-010864.03/ S1-32GCL-000019/ 11229/ 14AC0001 | USD 38.750,00 |
| SB-010864.04/ S1-32GCL-000019/ 11229/ 14AC0001 | USD 5.000,00 |
| SB-010864.06/ S1-32GCL-000019/ 11229/ 14AC0001 | USD 5.700,00 |
| Total cost to UN Environment: | USD 184.450,00 |

**UNITED NATIONS ENVIRONMENT PROGRAMME
SMALL-SCALE FUNDING AGREEMENT (SSFA)
FOR A
GREEN CLIMATE FUND PROJECT
FOR READINESS AND PREPARATORY SUPPORT FOR CAPACITY
DEVELOPMENT TO ADVANCE THE PROCESS OF THE NATIONAL
ADAPTATION PLAN IN THE DOMINICAN REPUBLIC.**

This SMALL-SCALE FUNDING AGREEMENT (SSFA) and its Annexes (this "Agreement") is made:

- BETWEEN:** United Nations Environment Programme (hereinafter referred to as "UN Environment"), an international inter-governmental organization established by the General Assembly of the United Nations, represented by its regional office for Latin America and the Caribbean and having its office at United Nations Avenue, Gigiri, P.O. Box 30552, Nairobi, Kenya;
- AND:** El Centro de Desarrollo Forestal de la República Dominicana (hereinafter referred to as the "CEDAF"), a non-profit organization represented by its Executive Director Ms. Janina Segura and having its office at la José Amado Soler No. 50 del Ensanche Paraíso, Santo Domingo, República Dominicana.;

hereinafter collectively referred to as: "The Parties".

WHEREAS, as an Accredited Entity for the Green Climate Fund, and in accordance with the Framework Readiness and Preparatory Support Grant Agreement signed between UN Environment and the Green Climate Fund on 11 October 2016 (the "Framework Agreement"), UN Environment is accountable to the Green Climate Fund Board for activities financed by the Green Climate Fund, and to ensure that these are carried out in accordance with UN Environment and Green Climate Fund policies, criteria and procedures.

WHEREAS, Ministry of Environment of the Dominican Republic has nominated UN Environment to act as its Delivery Partner for the delivery of specific Readiness and Preparatory Support, and the Green Climate Fund secretariat has approved the Readiness and Preparatory Support Project for Capacity development to advance the process of the National Adaptation Plan in the Dominican Republic.

WHEREAS, CEDAF affirms that it is a non-profit organization and that it has the capacities required to carry out the activities outlined in this Agreement, and that the activities under this Agreement shall be carried out without discrimination of any nature.

A

Purpose/Objective

1. The Agreement sets forth the terms and conditions of the cooperation between the Parties for the execution of the activities of the Project, described in the detail of the budget to be executed appended as **Annex 1C** (Approved Readiness Project). The Project was approved by UN Environment on 27/04/2018, and by the Green Climate Fund Secretariat on 11/05/2018, appended as **Annex 2**.

CEDAF will support the implementation of the project at the national level assuming:

- I. The organization of the field consultation processes during the first year of project implementation in coordination with UN Environment and the Directorate of Climate Change of the Ministry of Environment of the Dominican Republic.
 - III. The organization of consultation processes for the Project Steering Committee included in the Project Management Cost.
2. The main objective of the Project is to reduce the vulnerability of the country to the effects of climate variability and change, through the development of adaptive and resilience capacity by integrating climate change adaptation into planning and execution in all relevant sectors, and at different levels, as appropriate.

Interpretation

3. All Annexes appended to this Agreement shall be construed as an integral part of this Agreement.

Duration

4. This Agreement shall come into force upon signature by The Parties from the date of the latest signature and shall remain in force until 01/10/2020¹, unless terminated pursuant to paragraphs 33 and 34 of this Agreement.

Cooperation

5. The Parties shall carry out their respective responsibilities in accordance with the provisions of the Agreement.
6. The Parties shall determine and communicate to each other the persons appointed as having the authority and responsibility for the implementation of the approved Project on their behalf.
7. Contact details for correspondence on substantive and technical matters as well as on administrative and financial matters are as set out in **Annex 3**.
8. CEDAF shall only use the UN Environment and Green Climate Fund name, logo and emblem in connection with the Project with the prior written consent of UN Environment and the Green Climate Fund.
9. CEDAF shall not seek nor accept instructions regarding the activities under the present Agreement from any Government or other authority external to UN Environment.
10. CEDAF shall refrain from any conduct that would adversely reflect on the United Nations and shall not engage in any activity which is incompatible with the aims and objectives of the United Nations or the mandate of UN Environment.
11. The total cost of the approved project is US\$ 2,998,325, of which **US\$ 184,450** will be executed by CEDAF.

¹ The legal instrument remains in force for 6 months after the Project.

12. For detailed budget breakdown, please refer to **Annex 1C**.

Terms and obligations of UN Environment

13. UN Environment agrees to provide, in its role as Green Climate Fund Delivery Partner, oversight (through the Task Manager and Fund Management officer). Specifically, this will include:
- a) Timely feedback on all substantive and financial issues;
 - b) Review and approve any changes to the implementation plan and detailed timetable during the inception and implementation of the Project;
 - c) Participate and provide substantive inputs to Stakeholder Workshops, Steering Committee meetings and any other required meetings of the Project;
 - d) Provide technical support and assistance to the Project team where required;
 - e) Process Project revisions if applicable for e.g changes to budget or workplan or activity etc;
 - f) Ensure communication and information exchange between the CEDAF, UN Environment, and all other relevant organizations, institutions, programmes and projects;
 - g) Liaise with the Green Climate Fund Secretariat for all matters related to the Project;
 - h) UN Environment's clearance is required for the requisitioning of additional items costing above US\$ 10,000 that are not included in the Procurement Monitoring Plan appended as **Annex 9**. The same principle of clearance by UN Environment shall apply to service contracts or agreements to be procured that are not in the approved procurement plan and costing above US\$ 10,000. UN Environment may refuse to accept such expenditures being charged to the project budget in case of the CEDAF's non-compliance of the abovementioned clearance obligation. Splitting requisitions in order to remain under the set threshold is expressly forbidden and may also lead to the refusal of the expenditures.

Terms and obligations of CEDAF

14. CEDAF agrees to:

- a) Provide support to, and liaise with all key national and international project executing partners and stakeholders, based on guidance from the UN Environment Task Manager and in line with the Project;
- b) Utilize the Project funds, and any supplies and equipment provided by the Project Funds, in strict compliance with the Project Document. In the event that UN Environment determine that any portion of the Project funds have been used for purposes other than the Project, such portion shall be refunded to UN Environment by CEDAF;
- c) Deliver the outputs and demonstrate its best efforts in achieving the results/impacts stipulated in **Annex 1C**;
- d) Notify UN Environment in writing on the need for any deviations/modifications to the agreed Implementation Plan or Budget, for approval;
- e) Implement the Project activities in accordance with standard UN Environment Rules and established business processes; and implement procurement activities in accordance with United Nations (UN)/ UN Environment rules, policies and procedures; and ensure that the requirements under the agreed procurement monitoring plan, appended as **Annex 9**, are fully met;
- f) Keep records and documentation pertaining to any cash and in-kind co-financing mobilized for inclusion in the final Portfolio report (**Annex 8**);
- g) Report to UN Environment in accordance with paragraphs 27 to 29 of this Agreement;

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- h) Implement project activities in compliance with the relevant safeguard requirements set out in the UN Environment's Environmental and Social Safeguards Framework² and in the Green Climate Fund's Environmental and Social Safeguards³;
- i) Warrant that it has complied with all applicable Anti-Money Laundering and Countering Financing of Terrorism, checks under all laws and regulations as may be applicable to the UN and to CEDAF;
- j) Warrant that it has complied with the anti-bribery laws of the Host Country;
- k) Warrant further that such funds will not be used for any illegal or improper purpose (including bribery) contrary to this Agreement (or any applicable laws of the Host Country), or the Green Climate Fund Policy on Prohibited Practices⁴.

Personnel administration

- 15. CEDAF shall be solely and completely responsible and accountable for all services performed by its personnel, agents, employees, or contractors (hereinafter referred to as "Personnel").
- 16. CEDAF shall be considered as having the legal status of an independent contractor. Personnel of CEDAF's contractors or anyone else working for CEDAF in the execution of the Project or otherwise, are not employees of UN Environment and are not covered by the privileges and immunities applying to UN Environment and its staff pursuant to the Convention on the Privileges and Immunities of the United Nations. UN Environment shall not accept any liability for claims arising out of the activities performed under the Agreement, or any claims for death, bodily injury, disability, damage to property or other hazards that may be suffered by CEDAF's Personnel as a result of their work pertaining to the activities under this Agreement.
- 17. CEDAF shall ensure that the personnel assigned to the Project meet the highest standards of qualification and technical and professional competence necessary for the achievement of the objectives and results of the Project, and that decisions on employment related to the Project shall be free of discrimination of any nature. CEDAF shall ensure that all personnel are free from any conflicts of interest relative to the Project activities.

Cash advances

- 18. The funds shall be provided by UN Environment to CEDAF in accordance with the banking details noted below:

Bank Name and Address

Banco Nova Scotia en la República Dominicana
Correspondent Bank: Bank of America.
701 Brickwell Av., Miami FI 33131

Wire Instructions

Transferencia en dólares americanos

Account Title and Number

Centro para el Desarrollo Agropecuario y Forestal,
Cta Numero Banco Nova Scotia:
70755008100139 – Swift: NOSCDOSD
Cta Numero Correspondent Bank:
1901749012 – Swift: BOFAUS3M

Signatories

Janina Segura y Marcial Najri

² <http://wedocs.unep.org/handle/20.500.11822/8718>

³ set forth in Annex III of Green Climate Fund Board Decision B.07/02

⁴ *ibid*

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19. UN Environment shall provide CEDAF with funds up to a maximum amount of **US\$ 184,450USD**. The resources provided by UN Environment shall only be used by CEDAF in pursuit of the Project objectives and for the activities to achieve the results as agreed to in the Project implementation plan in the Project Document appended as **Annex 1**.

20. Cash advances:

- a. An initial cash advance of US\$ 64,557.50 shall be advanced by UN Environment upon signature of this Agreement by both parties and together with the approval by the UN Environment Adaptation Programme Officer of a work plan corresponding to the first payment.
- b. Subsequent transfers shall be made upon receipt of the cash advance request using the format appended on **Annex 6** and submission by CEDAF to UN Environment of interim reports as required by paragraph 28 of this Agreement. Evidence is required to show that funds previously disbursed by UN Environment have been committed on Eligible Expenditures within 7 months of receipt⁵. Subsequent cash advances, including final payment shall follow the disbursement schedule included in **Annex 1C**.
- c. The last payment shall be made upon confirmation of the successful completion of all the activities and submission by CEDAF to UN Environment of:
 - satisfactory outputs expected of this Project in accordance with the implementation plan appended as **Annex 5** and
 - acceptance of reports as required by paragraph 28 of this Agreement.

The payment of the above installments is subject to UN Environment having received the funds associated with the project titled Capacity development to advance the process of the National Adaptation Plan in the Dominican Republic from the Green Climate Fund.

21. The total expenditure incurred by CEDAF under this Agreement shall not exceed the Green Climate Fund-approved budget as set out in paragraph 11. Any expenditure in excess of this budget is the sole responsibility of CEDAF. Prior approval of UN Environment is needed in cases where there may be a cost over-run in a budget sub-line to another.

Unspent balance

22. Any portion of cash advances remaining unspent or uncommitted by CEDAF on completion of the Project shall be returned to UN Environment within one month of the presentation of the final statement of account. In the event that there is any delay in such disbursement, CEDAF shall be financially responsible for any adverse movement in the exchange rates.
23. Within 3 months upon expiry of this Agreement, CEDAF shall refund to UN Environment any unspent balance of the above funds, unless otherwise agreed with UN Environment.

Maintenance of records

24. CEDAF shall keep accurate and up-to-date records and documents in respect of all expenditures incurred with the funds made available by UN Environment under this

⁵ "Eligible Expenditures" means any reasonable costs of goods or services required for the implementation of the Project to be financed with the GCF Proceeds, in accordance with the Project Document, the Funded Activity Agreement, and the Accreditation Master Agreement.

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Agreement, to ensure that all expenditures are in conformity with the provisions of this Agreement and its Annexes thereto.

25. Upon completion of the Project or termination of this Agreement, CEDAF shall maintain the records for a period of at least 5 years unless otherwise agreed upon between the Parties.

Reporting requirements

26. All reporting shall be in English and financial reporting in US dollars, and each report shall include any exchange difference, which must be accounted for within the total Green Climate Fund-approved US dollar Project budget.
27. CEDAF shall submit to UN Environment, on or before the dates below, the reports as follows:
- a) Portfolio Report: CEDAF shall submit to UN Environment its input on the Portfolio Report by
 - i. 10 July, in respect of the period from 1 January to 30 June, and
 - ii. 10 January, in respect of the period from 1 July to 31 December,of each year, using the format appended as **Annex 8**.
 - b) Final report: Within 2 months of the Project completion, or termination of the present Agreement, CEDAF shall submit to UN Environment a final report and a list of outputs detailing the activities taken under the Project, lessons learned and any recommendations to improve the efficiency of similar activities in the future, using the format appended as **Annex 10**. The final report shall be submitted together with a signed final statement of accounts within 2 months of completion of Project activities. The final statement of accounts shall be prepared using the format appended as **Annex 10**.
28. CEDAF shall submit quarterly expenditure statements on the use of funds provided per the format provided in **Annex 7**, within 15 days of the end of the quarter to which they refer (i.e., on or before 7 April, 7 July, 7 October and 7 January). Should reporting for the quarter finishing on 31 December not be feasible by 15 January, CEDAF will send a financial report for the period up to 30 November. The Financial report should record and disclose miscellaneous income⁶ separately. UN Environment will not act upon requests for advances of funds without receipt of all due reports.

Audit

29. On completion of the Project, the final audited financial statements, wherein Green Climate Fund Proceeds are clearly identified, shall be endorsed by a duly authorized official of CEDAF and issued by an independent audit authority (a recognized firm of certified public accountants), and dispatched to UN Environment within 6 months. The audit report and recommendations shall include such comments as the auditor may deem appropriate in respect of Green Climate Fund funded operations and in particular, should clearly indicate that in their opinion:
- (a) Green Climate Fund Proceeds were covered by the scope of the audit;
 - (b) Proper books of account have been maintained;
 - (c) All Project expenditures are supported by vouchers and adequate documentation;
 - (d) Expenditures have been incurred in accordance with the objectives outlined in the Project document;
 - (e) The expenditure reports provide a true and fair view of the financial condition and performance of the Project.

⁶Miscellaneous income means any income, interest or gains earned on the funds received from UN Environment or any other receipts which do not fall under any other provisions of contributions from donors.

Notwithstanding the above, UN Environment has the right, at its own expense, to have the records of CEDAF related to this cooperation reviewed and audited.

Logos and emblems

30. In no event will authorization of UN Environment name (or any abbreviation thereof), logo or emblem be granted for commercial purposes.

Non-compliance

31. CEDAF shall comply with all the above-mentioned provisions and agrees that UN Environment reserves the right to withhold any payment due to CEDAF non-compliance with any of the provisions mentioned hereinabove.

Suspension and termination

32. Either party may terminate this Agreement upon serving a written notice to the other party at least 1 month prior to such termination.
33. This Project and the associated project document may be cancelled/terminated/suspended for several reasons including changes in national priorities, poor implementation performance, lack of compliance with financial or reporting obligations.

Dispute settlement

34. The Parties shall settle amicably through direct negotiations, any dispute, controversy or claim arising out of or relating to this Agreement, including breach and termination of the Agreement. Should such negotiations fail, the matter shall be referred to arbitration in accordance with United Nations Commission on International Trade Law Arbitration Rules (UNCITRAL). The Parties shall be bound by the arbitration award rendered in accordance with such arbitration, as the final decision on any such dispute, controversy or claim.

Privileges and immunities

35. Nothing in or relating to this Agreement shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations and UN Environment.

Notification and amendments

36. This Agreement or its Annexes may be modified or amended only by written agreement between The Parties.



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Initial [by CEDAF]

IN WITNESS WHEREOF, the duly authorized representatives of the Parties affix their signatures below.

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|--------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|
| For: United Nations Environment Programme | For: El Centro de Desarrollo Forestal de la República Dominicana |
| By: <u>Heileman</u> Leo Heileman Regional Director for Latin America & the Caribbean Date: <u>3-10-19</u> | By: _____ Janina Segura Executive Director Date: _____ |



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LIST OF ANNEXES TO THE SMALL-SCALE FUNDING AGREEMENT

- Annex 1 Readiness and Preparatory Support Project Document
- Annex 1B Readiness and Preparatory Support Project Document (Spanish Version/Courtesy Copy)
- Annex 1C Details of the budget to be executed through the Small-Scale Funding Agreement (SSFA).
- Annex 2 Approval of the Project from Green Climate Fund Secretariat
- Annex 3 Contact details
- Annex 4 Definition of terms
- Annex 5 Project implementation plan
- Annex 6 Cash advance template
- Annex 7 Quarterly expenditure statement template
- Annex 8 Portfolio Report template
- Annex 9 Procurement Monitoring Plan
- Annex 10 Final report template



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Readiness and Preparatory Support Proposal

How to complete this document?

- A readiness guidebook (URL) is available to provide information on how to access funding under the GCF Readiness and Preparatory Support programme. It should be consulted to assist in the completion of this proposal template.
- This document should be completed by National Designated Authorities (NDAs) or focal points with support from their delivery partners where relevant.
- Please be concise. If you need to include any additional information, please attach it to the proposal.
- Information on the indicative list of activities eligible for readiness and preparatory support and the process for the submission, review and approval of this proposal can be found on pages 11-13 of the guidebook

Where to get support?

- If you are not sure how to complete this document, or require support, please send an e-mail to countries@gcfund.org. We will aim to get back to you within 48 hours.
- You can also complete as much of this document as you can and then send it to countries@gcfund.org. We will get back to you within 5 working days to discuss your submission and the way forward.

Note: Environmental and Social Safeguards and Gender

Throughout this document, when answering questions and providing details, please make sure to pay special attention to environmental, social and gender issues, in particular to the situation of vulnerable populations, including women and men. Please be specific about proposed actions to address these issues. Consult page 4 of the readiness guidebook for more information.

| SECTION 1: SUMMARY | |
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| 1. Country submitting the proposal | <p>Country name: Dominican Republic Name of institution (representing National Designated Authority or Focal Point): Ministry of Environment and Natural Resources Name of official: Pedro Garcia Brito Position: Director, Directorate for Climate Change and the Clean Development Mechanism Telephone: + 1 809 567 4300 Email: pedro.garcia@ambiente.gob.do Full Office address: Ave. Gregorio Luperón esquina Cayetano Germosen, Santo Domingo, Dominican Republic</p> |
| 2. Date of initial submission | 31/03/2017 |
| 3. Last date of resubmission (if applicable) | 27/04/2018 |
| 4. Which entity will implement the Readiness and Preparatory Support project? (Provide the contact information if entity is different from NDA/focal point) | <p><input type="checkbox"/> National Designated Authority <input checked="" type="checkbox"/> Delivery partner <input type="checkbox"/> Accredited entity Name of institution: United Nations Environment Programme</p> <p>Ermira Fida, Green Climate Fund Coordinator UN Environment UN complex; Nairobi, Kenya Office land line: (254-20) 76 23113 Mobile: + 254 714 636329 Email: ermira.fida@unep.org</p> <p>Leo Heilemann Director, Regional Office for Latin America; Panama</p> <p>Telephone: (507) 305-3133 - (507) 305-3100 E-mail: leo.heileman@unep.org</p> |
| 5. Title of the Readiness and Preparatory Support Proposal | Building capacity to advance National Adaptation Plan Process in the Dominican Republic |
| 6. Brief summary of the request (500 words) Please describe the current status of NAP in country and what the readiness support is aiming to achieve | <p>Member of the United Nations Framework Convention on Climate Change (UNFCCC) since 1998 and signatory of the Kyoto Protocol since 2001, the Dominican Republic has made significant progress in climate change planning in recent years. It has created specialist institutions and developed a framework for mainstreaming climate change through the National Climate Change Policy (2015) and the adjustment of the long term development plan. In the adaptation front the Dominican Republic developed a NAPA in 2008 which was updated in 2016. The country has conducted vulnerability assessments, estimated costs and conducted some sectoral planning.</p> <p>Despite this progress, as detailed in section 3 of this proposal, the Dominican Republic urgently needs to strengthen planning to address medium and long term (beyond 2020) adaptation needs. Although the NAPA approach was relatively complex, it focused on urgent and immediate adaptation needs. Furthermore, its update has important caveats at the technical and consultative levels. Importantly, it has not being assumed as a management tool, the elaboration process overlooking the creation of institutional and technical enabling environment that allows its implementation. Other adaptation plans such as the technology</p> |





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| | <p>transfer action plan for water, forestry and tourism and local economic development plans, are also incomplete.</p> <p>In this context, the proposed GCF project aims to sustainably build country capacity in identifying, prioritising, planning and implementing measures that address medium- and long-term adaptation needs. The ultimate objective of the project is to reduce the vulnerability of the country to the impacts of climate variability and change, by building adaptive capacity and resilience through the integration of climate change adaptation into planning and implementation within all relevant sectors and at different levels, as appropriate. In this sense, while it pays particular attention to some priority sectors¹, the project is comprehensive in terms of sectors and stakeholders, working with different line ministries, national and local government institutions, the civil society and the private sector.</p> <p>The project seeks to achieve its objective through:</p> <ul style="list-style-type: none"> - Strengthening the institutional, legal, policy and planning frameworks; - Engaging key stakeholders in climate change adaptation planning and implementation; - Producing high-quality and pertinent knowledge; and - Building capacity at different levels <p>The project builds on existing institutions, coordination mechanisms, processes and legislation to avoid duplication and foster sustainability of the progress already made. In this sense, it follows the lessons learned from the preparation of the NAPA and the NCCP. The project will be in tune with the decisions 1/CP.16 and 5/CP.17 and all elements of the NAP Technical Guidelines.</p> |
| <p>7. Total requested amount and currency</p> | <p>2,998,325 USD</p> |
| <p>8. Anticipated duration</p> | <p>36 months</p> |
| <p>9. Is the country receiving other Readiness and Preparatory Support related to the GCF?</p> | <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, please attach the relevant scope of work, and briefly (100 words) describe the scope of support provided by other institutions</p> <p>The Dominican Republic is starting to implement a Green Climate Fund (GCF) readiness project² with support from UNDP as Delivery Partner. Between February 2017 and March 2018, the Dominican Republic will benefit from support from the GCF in two fronts. The first is aimed at strengthening the capacities of the Ministry of Environment and Natural Resources (MENR) team, which will be responsible for coordinating with other ministries on the GCF, to comply with the Ministry's role as NDA. The second objective is to develop a country program that serves as a framework for strategic engagement with the Fund. Given the opportunities raised by the GCF, the country has also started to develop sector-focused concept notes for this fund.</p> <p>In addition, the Dominican Republic is receiving financial support from the Global Environmental Facility (GEF) and technical support from the United Nations Development Programme (UNDP) on the preparation of its bi-annual update report (BUR) to the UNFCCC. In parallel, the country is currently designing a project to strengthen its capacity to generate information and knowledge as required by Article 13 of the Paris Agreement, with support</p> |

¹ In particular, to the sectors prioritized in the NDC and the NAPCC, namely water resources, agriculture and food security, tourism, forest and biodiversity, health, energy, coastal and marine resources, infrastructure and human settlements (which include disaster risk reduction and early warning systems).

² http://www.greenclimate.fund/documents/20182/466992/Readiness_proposal_-_Dominican_Republic.pdf/31b9e635-ac1b-453e-9ea2-203a8b5ecc42



from to be submitted to the GEF in the framework of the Capacity Building Initiative for Transparency (CBIT).

This project has been designed with these projects in mind, avoiding duplications and exploiting complementarities. In this sense, given that monitoring and reporting of adaptation is covered in this project, the CBIT project focuses on mitigation. The BUR also focuses on mitigation, addressing adaptation issues only when preparing integrated reports. In the same light, to avoid duplication with the readiness support, this project will only develop concept notes with a territorial approach, which will be mentioned in the Country Work Programme, but not formulated through the readiness grant already being implemented, given that this will favour a sectoral approach regarding the development of concept notes. In institutional terms, as the other projects, this project will be closely overseen by the Ministry of Environment, the country's NDA for the GCF and GEF, and which has to endorse all proposals. In particular, the project will involve the close supervision of the Directorate of Climate Change, including the Director and the whole team. In addition, the PSC will include the Ministry of Economy, Planning and Development, which oversees all planning processes in the country, and the Ministry of Treasury, in charge of budget oversight in the country. Furthermore, the coordinators of each of the projects will participate in the Technical Committees of the other projects. This ensures strong coordination and synergies and coordination between the three initiatives at both the strategic management and technical levels (for more information, see section 6 on implementation arrangements).



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READINESS AND PREPARATORY SUPPORT
PROPOSAL TEMPLATE

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SECTION 2: COUNTRY READINESS LOGICAL FRAMEWORK³

Please complete the table below, which enables a country to assess its capacity and set targets for advancing its NAP, including proposed outputs and activities to improve the country's institutional capacity to achieve key objectives of NAP.

| COMPONENTS, OUTCOMES and OUTPUTS | BASELINE | TARGET | ACTIVITIES (including key deliverables where applicable) ⁴ |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Component 1: National mandate, strategy and steering mechanisms are in place | | | |
| Outcome 1: National and local institutions integrate Climate Change Adaptation into development policy and plans. | 3-16 | 15-16 | |
| Output 1.1: National, local and sectoral policy documents, available climate information and key stakeholders are identified and assessed to facilitate integration of adaptation options and to promote an integrated approach to adaptation planning. | <input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2 | 1.1.1 Initiate and launch the NAP process Deliverable: NAP launching workshop report 1.1.2 Conduct stocktake, identify available information on climate change impacts, vulnerability and adaptation, and assess quantitative and qualitative gaps, with particular attention being paid to the sectors prioritized in the NDC and NAPCC. Deliverable: Report on available and non-available information on climate change impacts, vulnerability and adaptation |
| | <input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2 | 1.1.3 Define the NAP management framework, indicating the tasks and responsibilities of key stakeholders, linking climate change planning (e.g. NCCCP) and the climate change system to medium and long term national planning (e.g. END 2030 and PNPPS) and the National Planning System; strengthening the institutional links between climate change institutions and the institutions responsible of other cross-cutting issues Deliverable: Report on the NAP management framework |
| Output 1.2: Training, awareness raising and participatory processes for public and private sectors at national and local | <input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2 | 1.2.1 Develop a resolution that expands the functions and formalizes the role of the existing participatory platforms in the formulation and implementation of the NAP. |

³ Please provide detailed logical framework provided as Annex I

⁴ See section 5 and Annex 1 for details.



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READINESS AND PREPARATORY SUPPORT PROPOSAL TEMPLATE

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| <p>levels, NGOs, CSOs and local communities on the NAP process established.</p> | <p><input checked="" type="checkbox"/>0 <input type="checkbox"/>1 <input type="checkbox"/>2</p> | <p><input type="checkbox"/>0 <input type="checkbox"/>1 <input checked="" type="checkbox"/>2</p> | <p>Deliverable: Draft resolution on the role of the existing participatory platforms in the formulation and implementation of the NAP for political approval</p> |
| <p>1.2.2 Provide orientation and training for policy and decision makers and implementers from relevant institutions at national and local levels on i) the importance of climate change adaptation planning and implementation (including vulnerabilities, potential impacts and opportunities); ii) the proposed process to develop, implement and update the NAP; and iii) methodologies and critical issues to implement adaptation measures, with particular attention being paid to the sectors prioritized in the NDC and NAPCC.</p> <p>Deliverable: Training sessions for policy and decision makers and implementers from relevant institutions at national and local levels</p> | <p><input type="checkbox"/>0 <input checked="" type="checkbox"/>1 <input type="checkbox"/>2</p> | <p><input type="checkbox"/>0 <input type="checkbox"/>1 <input checked="" type="checkbox"/>2</p> | <p>1.2.2 Provide orientation and training for policy and decision makers and implementers from relevant institutions at national and local levels on i) the importance of climate change adaptation planning and implementation (including vulnerabilities, potential impacts and opportunities); ii) the proposed process to develop, implement and update the NAP; and iii) methodologies and critical issues to implement adaptation measures, with particular attention being paid to the sectors prioritized in the NDC and NAPCC.</p> <p>Deliverable: Training sessions for policy and decision makers and implementers from relevant institutions at national and local levels</p> |
| <p>1.2.3 Develop awareness raising campaigns at national and local levels for the Council for Municipal Planning, NGOs, CSOs and local communities on i) the importance of climate change adaptation planning and implementation (including vulnerabilities, potential impacts and opportunities); ii) the proposed process to develop, implement and update the NAP; and iii) methodologies and critical issues to implement adaptation measures, with particular attention being paid to the sectors prioritized in the NDC and NAPCC.</p> <p>Deliverable: Awareness raising campaign at national and local levels for the Council for Municipal Planning, NGOs, CSOs and local communities</p> | <p><input type="checkbox"/>0 <input checked="" type="checkbox"/>1 <input type="checkbox"/>2</p> | <p><input type="checkbox"/>0 <input type="checkbox"/>1 <input checked="" type="checkbox"/>2</p> | <p>1.2.3 Develop awareness raising campaigns at national and local levels for the Council for Municipal Planning, NGOs, CSOs and local communities on i) the importance of climate change adaptation planning and implementation (including vulnerabilities, potential impacts and opportunities); ii) the proposed process to develop, implement and update the NAP; and iii) methodologies and critical issues to implement adaptation measures, with particular attention being paid to the sectors prioritized in the NDC and NAPCC.</p> <p>Deliverable: Awareness raising campaign at national and local levels for the Council for Municipal Planning, NGOs, CSOs and local communities</p> |
| <p>1.2.4 In collaboration with business organisations, develop awareness raising campaigns at national and local levels for the private sector on i) the importance of climate change adaptation planning and implementation (including vulnerabilities, potential impacts and opportunities); ii) the proposed process to develop, implement and update the NAP; and iii) methodologies and critical issues to implement adaptation measures at firm level, including investment opportunities, with particular attention being paid to the sectors prioritized in the NDC and NAPCC.</p> <p>Deliverable: Awareness raising campaigns at national and local levels for the private sector</p> | <p><input checked="" type="checkbox"/>0 <input type="checkbox"/>1 <input type="checkbox"/>2</p> | <p><input type="checkbox"/>0 <input checked="" type="checkbox"/>1 <input type="checkbox"/>2</p> | <p>1.2.4 In collaboration with business organisations, develop awareness raising campaigns at national and local levels for the private sector on i) the importance of climate change adaptation planning and implementation (including vulnerabilities, potential impacts and opportunities); ii) the proposed process to develop, implement and update the NAP; and iii) methodologies and critical issues to implement adaptation measures at firm level, including investment opportunities, with particular attention being paid to the sectors prioritized in the NDC and NAPCC.</p> <p>Deliverable: Awareness raising campaigns at national and local levels for the private sector</p> |
| <p>1.2.5 Develop and maintain a digital platform for public participation in the NAP process to foster the participation of civil society stakeholders at any time and from any area of country with internet connection.</p> | <p><input checked="" type="checkbox"/>0 <input type="checkbox"/>1 <input type="checkbox"/>2</p> | <p><input type="checkbox"/>0 <input type="checkbox"/>1 <input checked="" type="checkbox"/>2</p> | <p>1.2.5 Develop and maintain a digital platform for public participation in the NAP process to foster the participation of civil society stakeholders at any time and from any area of country with internet connection.</p> |



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| <p>Component 2: Preparatory elements for the NAP in place to develop a knowledge-base and formulate a NAP</p> | | | <p>Deliverable: Operating digital platform for public participation in the NAP process</p> | |
| <p>Outcome 2: Technical/Sectoral Institutions use up to date climate information for risk assessment and appraisal of adaptation interventions</p> | <p>4-18</p> | <p>18-18</p> | | |
| <p>Output 2.1: A knowledge-base on climate change and development interlinkages established.</p> | <p><input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>2.1.1 Develop temperature and precipitation scenarios for the period 2020-2040 and sea level rise scenarios for the periods 2020-2040, 2041-2060, 2061-2080, providing details at sub-national level Deliverable: Temperature and precipitation scenarios for the period 2020-2040 and sea level rise scenarios for the periods 2020-2040, 2041-2060 and 2061-2080, providing details at sub-national level</p> | |
| | <p><input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>2.1.2 Analyse future socio-economic scenarios taking into account the latest studies and development planning (e.g. those developed as part of the preparation of the Third National Communication) Deliverable: Report on future socio-economic scenarios</p> | |
| | <p><input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>2.1.3 Assess climate vulnerabilities and socio-economic opportunities and identify adaptation options at the sector, subnational and national levels, identifying priority climate change impacts, with particular attention being paid to the sectors prioritized in the NDC and NAPCC. Deliverable: Report on climate vulnerabilities and socio-economic opportunities, and adaptation options at the sector, sub-national and national levels</p> | |
| | <p><input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>2.1.4 Develop reports on the relationships of climate change adaptation with other cross-cutting issues, such as environmental sustainability, disaster risk management, gender equity and land use and territorial cohesion, including conceptual, legal, policy, institutional, vulnerability and strategic aspects, identifying entry points for proper two-directional integration. Deliverable: Integrated report on the relationship of climate change adaptation with other cross-cutting issues, identifying entry points for two-directional integration</p> | |
| | <p><input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>2.2.1 Review and appraise adaptation options, including economic, environmental and social costs and benefits, considering potential</p> | |

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| <p>Output 2.2: A process for analysis and appraisal of adaptation options is established, based on lessons learned from the Dominican Republic and other countries.</p> | <p><input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>unintended effects (and correctly valuing ecosystem services), with particular attention being paid to the sectors prioritized in the NDC and NAPCC. Deliverable: Assessment report on adaptation options</p> |
| | <p><input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>2.2.2 Assess the effectiveness of past adaptation interventions to learn lessons on adaptation planning and implementation, with particular attention being paid to the sectors prioritized in the NDC and NAPCC. Deliverable: Report on lessons learned on adaptation planning and implementation from past adaptation interventions</p> |
| | <p><input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>2.2.3 Conduct exchange visits to 2 countries to learn lessons on adaptation planning and implementation during the preparation of the National Adaptation Plan Deliverable: Exchange visit report, presenting the lessons learned by the team on adaptation planning and implementation</p> |
| <p>Output 2.3: The information is compiled in a National Adaptation Plan document and disseminated.</p> | <p><input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>2.3.1 Consolidate the inputs developed in a National Adaptation Plan document that explicitly presents the prioritized adaptation solutions. Deliverable: National Adaptation Plan document, including priority adaptation actions</p> |
| <p>Component 3: NAP implementation facilitated</p> | <p><input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>2.3.2 Communicate the National Adaptation Plan Deliverable: Communication Strategy for the NAP</p> |
| <p>Outcome 3: Government of the Dominican Republic endorses plans and concrete integrated climate change adaptation interventions at sub-national level</p> | <p>5-12</p> | <p>12-12</p> | |
| <p>Output 3.1: The legal framework and strategic planning at subnational level is strengthened</p> | <p><input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>3.1.1 Support the approval of a Law on Climate Change in order to prioritize climate change adaptation in national planning and budgeting Deliverable: Draft Law on Climate Change for political approval</p> |
| | <p><input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>3.1.2 Develop one adaptation plan for each of the 5 unified planning regions of the country Deliverable: 5 adaptation plans at the regional level (one for each of the 5 unified planning regions of the country)</p> |
| | <p><input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>3.1.3 Develop adaptation plans in Santo Domingo and the other 4 most populous cities in the country, including interventions at systemic level and in two specific slums in each city</p> |



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| <p>Output 3.2 Methodologies and tools to enhance capacity for planning, budgeting and implementation of adaptation at regional and local levels are developed</p> | <p><input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>Deliverable: 5 adaptation plans at the municipal level, in urban areas (Santo Domingo and the other 4 most populous cities in the country)</p> <p>3.2.1 Develop protocols for and train staff responsible for approval of infrastructure projects on climate resilience for the implementation of the law 02-2014 on the introduction of climate change adaptation elements in the environmental impact assessments that have to be undertaken in the design and development of infrastructures</p> <p>Deliverables: Training sessions for staff responsible for approval of infrastructure projects; Protocols on climate resilience of infrastructure projects for the same audience</p> <p>3.2.2 Develop and disseminate methodological (step-by-step) guides on climate change adaptation planning and implementation at regional and local levels to enhance capacity for planning, budgeting and implementation of adaptation, with particular attention being paid to the sectors prioritized in the NDC and INAPCC.</p> <p>Deliverable: Methodological guide on climate change adaptation planning and implementation at regional and local levels</p> <p>3.2.3 Provide institutional support to enhance the efficiency of the procurement and tendering process and preparation of preliminary tender documents to enable private sector participation and promote the establishment of public-private partnerships</p> <p>Deliverables: Report with recommendations to enhance the efficiency of the procurement and tendering process; Preliminary tender documents</p> |
| <p>Component 4: Mechanisms for Reporting, Monitoring and Review of MAPs and adaptation progress in place</p> <p>Outcome 4: National and Sectoral Planning Unit of the key Ministries review, monitor and communicate results of the NAP process.</p> <p>Output 4.1: A monitoring and reviewing system established for the NAP process.</p> | <p>2-20</p> | <p>20-20</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>4.1.1 Develop a monitoring and review framework for the NAP, including indicators, baselines, targets at different moments in time, means of verification and sources of information at national, sectoral and local levels</p> <p>Deliverables: Monitoring and review framework for the NAP</p> <p>4.1.2 Support the creation of an Integrated National Climate Change Planning and Control System and the strengthening of the National System on Environmental and Climate Change Information</p> |

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| <p>Output 4.2: Technical training of national and local government representatives and stakeholders to implement the monitoring and reviewing system for the NAP</p> | <p><input checked="" type="checkbox"/>0 <input type="checkbox"/>1 <input type="checkbox"/>2</p> | <p><input type="checkbox"/>0 <input type="checkbox"/>1 <input checked="" type="checkbox"/>2</p> | <p>Deliverable: Creation Act of the Integrated National Climate Change Planning and Control System, in coordination with the National System on Environmental and Climate Change Information</p> <p>4.2.1 Develop monitoring and review guidelines and tools for technical staff of relevant government institutions on data collection, analysis and dissemination, including the documentation of lessons learned and adaptive management</p> <p>Deliverable: Monitoring and review guidelines and tools ofr technical staff of relevant government institutions</p> <p>4.2.2 Train technical staff of relevant government institutions in the use of these guidelines at the national and local level</p> <p>Deliverable: Training sessions to technical staff of relevant government institutions in the use of the mentioned guidelines</p> <p>4.2.3 Develop annual progress and effectiveness reports (including financial aspects) and disseminate them using different formats</p> <p>Deliverables: Annual progress and effectiveness reports; Dissemination workshops</p> <p>4.2.4 Train staff from meteorological offices developing climate change scenarios</p> <p>Deliverable: Training sessions on developing climate change scenarios for staff from the meteorological offices</p> <p>4.2.5 Strengthen the collaboration of all institutions dealing with meteorological data</p> <p>Deliverable: Collaboration agreement among institutions dealing with meteorological data</p> <p>4.2.6 Together with the Ministry of Education, Science and Technology, identify research priorities on climate change adaptation and promote research on these topics through scaling-up the existing fund and setting cooperation agreements with research institutions, including the Environmental Network of Dominican Universities</p> <p>Deliverables: Report on the research priorities on climate change adaptation; Cooperation agreements between the Directorate of Climate Change and research institutions</p> <p>4.2.7 Conduct exchange visits to 2 countries to learn from them on adaptation planning and implementation during the implementation of NAP</p> |
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| <p>Deliverable: Exchange visit report, presenting the lessons learned by the team on adaptation planning and implementation</p> <p>4.2.8 Develop and institutionalize a strategy for the periodic review (every two years) of NAP, including the financing strategy, based on i) updated climate information; ii) updated socio-economic vulnerabilities, opportunities and plans; iii) lessons learned regarding adaptation planning (at legal, policy and institutional level); iii) lessons learned regarding implementation of adaptation measures on the ground (for iii and iv both nationally and internationally)</p> <p>Deliverable: Strategy for periodic review of NAP</p> | <p><input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>Deliverable: Exchange visit report, presenting the lessons learned by the team on adaptation planning and implementation</p> |
| <p>Component 5: Funding strategy for the NAP and CCA is available</p> | <p>7-14</p> | <p>14-14</p> | <p>Deliverable: Strategy for periodic review of NAP</p> |
| <p>Outcome 5: Government of the Dominican Republic endorses resource mobilization strategy for medium and long-term CCA investment</p> | <p>7-14</p> | <p>14-14</p> | <p>Deliverable: Strategy for periodic review of NAP</p> |
| <p>Output 5.1 Resource mobilization strategy for medium and long-term CCA investment endorsed</p> | <p><input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>5.1.1 Review reports produced on costing adaptation in the Dominican Republic⁵, compile information and identify information gaps for a reliable estimation of costs for implementing climate change adaptation in the medium and long term</p> <p>Deliverable: Report on the information available and non-available on costs of adaptation</p> |
| <p>Output 5.1 Resource mobilization strategy for medium and long-term CCA investment endorsed</p> | <p><input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>5.1.2 Fill the information gaps by undertaking a detailed economic study that estimates the costs of implementing the prioritized adaptation interventions at national, sectoral and local levels</p> <p>Deliverable: Economic assessment of the costs of implementing the prioritized adaptation interventions at national, sectoral and sub-national levels</p> |
| <p>Output 5.1 Resource mobilization strategy for medium and long-term CCA investment endorsed</p> | <p><input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>5.1.3 Identify, analyse and recommend options for scaling up financing for adaptation, including through domestic public budgets, public-private partnerships and international cooperation</p> <p>Deliverable: Report on options for scaling up financing for adaptation</p> |
| <p>Output 5.1 Resource mobilization strategy for medium and long-term CCA investment endorsed</p> | <p><input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2</p> | <p>5.1.4 Develop an adaptation finance strategy to access new identified sources of adaptation finance</p> |

⁵ That is, the ECLAC (2011), UNDP (2011) and World Bank (2015) reports.

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| | <input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2 | <p>Deliverable: Adaptation finance strategy</p> <p>5.1.5 Explore the most convenient institutional arrangements to centrally and systematically manage all climate change-related funds and channelling them effectively across sectors and regions</p> <p>Deliverable: Report on the most convenient institutional arrangements to centrally and systematically manage all climate change related funds</p> <p>5.1.6 Develop training toolkits and provide training to strengthen the capacity of relevant government stakeholders (at all levels) to initiate the implementation of the adaption finance strategy</p> <p>Deliverables: Training tools; Training sessions for relevant government stakeholders on the implementation of the adaptation finance strategy</p> <p>5.1.7 Develop GCF concepts and associated documentation for two adaptation programmes, one focusing on urban areas (covering Santo Domingo and 4 other cities) and one focusing on rural areas (covering 2 rural areas and 1 protected ecosystem and its mitigation areas), in coordination with efforts conducted through the readiness grant already been implemented</p> <p>Deliverable: GCF concepts and associated documentation for 2 adaptation programmes, one focusing on urban areas and one on rural areas</p> |
| | <input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2 | |
| | <input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2 | |
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| TOTAL | 21-80 | 79-80 | |



SECTION 3: ADDITIONAL INFORMATION

Please explain how this grant will help deliver on the country's NAP as identified above and build on institutions, processes or existing work already underway in the country. Please provide a brief description of (maximum 1000 words)

- a. *Context*
- b. *Baseline situation with regards to each output*
- c. *Objectives, outcomes and impact*
- d. *Stakeholders' consultation*

a. Context

The Dominican Republic is a small insular state in the Caribbean, with more than 10 million inhabitants, with relatively low per capita emissions⁶ and highly vulnerable to climate change, as further explained in Annex II. Member of the United Nations Framework Convention on Climate Change (UNFCCC) since 1998 and signatory of the Kyoto Protocol since 2001, the Dominican Republic has made significant progress in climate change planning in recent years. With a specific reference to it in its Constitution 2015 (Article no. 194), the country created a strong institutional setting. The National Council for Climate Change and the Clean Development Mechanism (CNCCMDL by its initials in Spanish), created in 2008, focuses on policy development, while since 2002 climate change is managed by the Ministry of Environment and Natural Resources, since 2010 through the Directorate of Climate Change (the Ministry of Environment and Natural Resources is the National Designated Authority (NDA) before the Green Climate Fund (GCF))⁷. The Ministry of Economy, Planning and Development (MEPyD by its initials in Spanish) has also been involved in climate change planning. In addition, the country has a National Climate Change Committee, a coordination platform that gathers all climate-related institutions and stakeholders since 2002 (Ministry of Environment Resolution No. 02-02). The system is also composed of other thematic institutions, such as the Technical Advisory Committee on Reducing Emissions from Deforestation and Forest Degradation (REDD), which since 2015 gathers civil society and the private sector in addition to public institutions. The Dominican Environmental Consortium, which gathers almost 50 governmental and non-governmental, national and international institutions working on environmental sustainability⁸, also has a role.

In 2015, the country approved its National Climate Change Policy (NCCP; Decree no. 269-15). To ensure climate change is mainstreamed, the preparation of the NCCP was complemented with the development of proposals for the adjustment of the country's long term planning document, the National Development Strategy 2011-2030 (END 2030 by its initial in Spanish)⁹, and medium-term planning and budgeting document, the Multiannual Public Sector National Plan 2011-2014 (PNPSP by its initials in Spanish), which has been extended until 2016.

In addition to this, the Dominican Republic has developed assessments, plans and strategies in relevant areas, such as institutional capacity and education (Strategy to Strengthen Human Resources and Capacities to move towards a Green Development, with Low Emissions and Climate Resilience, from 2012) and technological needs.

Regarding adaptation, with support with the United Nations Development Program (UNDP), the Dominican Republic developed a NAPA¹⁰ in 2008¹¹, using the NAPA preparation guidelines decided in Marrakesh in 2001, as part of the preparation of the Second

⁶ In 2010, the country was responsible for 34 MtCO₂e, which represents less than 0.1 per cent of global emissions. The per capita emissions reached 3.6 tCO₂e, which is below the average in Latin America and the Caribbean (4.9 tCO₂e), but above the range that is considered sustainable (less than 2tCO₂e).

⁷ The Ministry of Environmental and Natural Resources was created in 2002 through the Law 64-00. Before 2000, climate change was managed by the National Planning Office of the Technical Secretariat of Presidency.

⁸ It focuses on biodiversity, protected areas, environmental education, sustainable agriculture, eco-tourism and coastal and maritime ecosystems.

⁹ The END 2030 defines the country's long term vision, setting the axes, objectives and action lines that constitute the basis for national policies. While component 4 already included a reference to climate change, this was not fully integrated as a cross-cutting issue. The proposal developed as part of the preparation of the NCCP aimed to fill this gap.

¹⁰ <http://fundacionplenitud.org/files/Plan%20Nacional%20de%20Adaptaci%C3%B3n%20PANA.pdf>

¹¹ There has been significant progress on mitigation planning. The country has developed a National Plan of Action for the Development of Projects for the Clean Development Mechanism, in 2010; a Climate Change-Compatible Economic Development Plan (Plan DECCC

National Communication to the UNFCCC, which was submitted in 2009¹². Since then, the country has made some progress in several adaptation fronts. The NAPA was updated in 2016, for the period 2015-2030. An adaptation road map was developed in 2016-2017 as part of the preparation of the Third National Communication (TNC) to the UNFCCC, supported by UNDP¹³. Vulnerability assessments have been conducted¹⁴ and some studies have provided information on the cost of adaptation¹⁵. In addition, some adaptation planning has taken place in some sectors: a climate change adaptation plan has been developed for the agricultural sector (for the period 2014-2020), a technology transfer action plan for climate change adaptation has been developed for the water, forestry and tourism sectors, and a management plan for protected areas has been prepared. Besides, there has been some progress on local adaptation, with progress in five main cities (Santo Domingo, San Pedro de Macoris, Santiago and Las Terrenas) and the establishment of the National Coalition for Resilient Cities, with support from USAID¹⁶. DR has indeed participated in 24 regional and global projects, and the GEF and the Adaptation Fund have supported several projects (the GEF has supported 12 national projects).

Importantly, the country is starting to implement a Green Climate Fund (GCF) readiness project. Between February 2017 and March 2018, the Dominican Republic will benefit from support from the GCF to strengthening the capacity of the Ministry of Environment and Natural Resources and to define the strategic engagement framework with the GCF. Given the opportunities raised by the GCF, the country has also started to develop concept notes for this fund¹⁷.

However, despite this progress, the Dominican Republic urgently needs to strengthen planning to address medium and long term (beyond 2020) adaptation needs. The NAPA is of limited use at this regard for several reasons. Although its approach was relatively complex, following the corresponding international guidelines, it focused on addressing urgent and immediate adaptation needs. Furthermore, the update of the NAPA has some caveats at the technical and consultative levels. Technically the process did not follow a rigorous sequential process, fully considering the changes experienced in the climate change arena. Since 2008, almost 10 years ago, the international climate change context and the national legal and policy framework have changed considerably. Internationally, the Paris Agreement and the operationalization of the Green Climate Fund are crucial changes, as well as the decisions 1/CP.16 and 5/CP.17 and the development of NAP Technical Guidelines. Domestically, the long term national development strategy (END 2030), prepared in 2012, and the proposal for mainstreaming climate change developed as part of the preparation of the NCCP are crucial. Moreover, new climate scenarios were produced in 2016 as part of the preparation of the Third National Communication to the UNFCCC. As noted, research and experience in adaptation planning and implementation has also increased notably, providing updated information on impacts and vulnerabilities and resulting in increased capacities on both adaptation planning and implementation. Moreover, the update of the NAPA did not involve a strong consultation process and did not design a clear institutional structure. Importantly, it has not been approved, and has not been assumed as a management tool, the elaboration process overlooking the creation of institutional and technical enabling environment that allows its

by its initials in Spanish), in 2011; and a National Mitigation Plan of Action (NAMA). The BUR and CBIT projects focus on strengthening the capacity to plan climate change adaptation.

¹² The Dominican Republic submitted its first national communication in 2003.

¹³ Between 2014 and 2016, with financial support from the GEF, and technical support from UNDP, the Dominican Republic prepared its Third National Communication to the UNFCCC.

¹⁴ Among other studies, a report by USAID in 2013 stands out (USAID (2013): Critical issues regarding vulnerability to climate variability and change and adaptation to them in the Dominican Republic (spanish)).

¹⁵ The Economic Commission for Latin America and the Caribbean (ECLAC) provided some numbers in 2011 and an estimation of financial needs for adaptation in water and tourism was conducted in 2011 with support from UNPD. In 2015 the World Bank made an economic estimation of disaster risk.

¹⁶ Initiated in 2015, the Climate Adaptation Measures Project is expected to close in 2018. The focus is on watershed management, green infrastructure development, drinking water quality and waste management.

¹⁷ Concept notes for adaption projects or projects with an adaptation component are currently being developed for the GCF. Concept notes with a national scope are being developed on coastal areas (Adaptación al Cambio Climático en Zonas Costeras de la República Dominicana); livestock (Mitigación y Adaptación al Cambio Climático a través de la ganadería sostenible); ecosystem-based approaches (Adaptación basada en ecosistemas en regiones de alta variabilidad climática); renewable energy (Mitigación y adaptación al cambio climático mediante sistemas comunitarios de energía solar y microhidroeléctrica) and productive investments (Propuesta Iniciativa de Inversiones Productivas para la Adaptación al Cambio Climático). Concept notes with a more specific geographical focus are being developed on integrated water management in rivers Isabela and Ozama (Ciclo de Protección Ambiental de Aguas Residuales (CPAAR) in Santo Domingo and reforestation with mangroves and bamboo); livelihoods in Barahona, Pedernales, Puerto Plata y Samaná (Mejora de los Medios de Subsistencia de la Comunidad Dirigiéndose a las Técnicas de Mitigación y Adaptación con el aliado del medio responsable) and Monseñor Nouel and Sánchez Ramirez (Adaptación y mitigación al cambio climático de las comunidades periféricas del distrito minero); and food security in Montecristi (Aumentar la energía de baja emisión y las comunidades resilientes al clima).





implementation. Other adaptation plans are also incomplete. The technology transfer action plan for water, forestry and tourism does not consider all adaptation needs. In geographical terms, local economic development plans have been developed for 11 of the 31 provinces of the country. However, although these include some activities on renewable energy and eco-tourism, climate change adaptation was not mainstreamed, this being completely overlooked in the diagnosis stage. Building on that lesson, this proposal is action oriented and includes both activities that facilitate the implementation of the NAP (component 3) and activities to mobilize resources to ensure its effective implementation (component 5).

The proposed project will address the above-mentioned barriers and challenges by supporting the efforts of the Government of the Dominican Republic to integrate adaptation to climate change into its economic development in a systematic manner and medium and long-term. UN Environment will provide expertise and technical support in integrating adaptation options into existing and new policies, strategies, and programmes (one of the objectives of NAP as mentioned in NAP Technical Guidelines).

b. Baseline situation with regards to each output

In this context, according to the NCCP, the document that systematizes its development, the adaptation road map developed as part of the TNC to the UNFCCC¹⁸, and the consultation undertaken during the development of this proposal¹⁹, the Dominican Republic faces the following challenges and barriers in terms of climate change adaptation planning and implementation:

Capacity: The Ministry of Environment and Natural Resources, responsible for the country's environmental policy, and its Climate Change Bureau recognises the need to mainstream considerations of climate change adaptation into cross-sectoral and sectoral policies, strategies and plans at national and local scales. In particular, capacities and coordination mechanisms to build linkages between climate change planning (e.g. NCCCP) and the climate change system to medium and long term national planning (e.g. END 2030 and PNPSP) and the National Planning System need to be strengthened to attain desired outputs. In addition, the institutional links between climate change institutions and the institutions responsible of other cross-cutting issues are weak. For instance, the Dominican Republic approved its National Plan for Integral Management of Disaster Risk in 2011, which does not fully consider climate change. These barriers will be addressed under Components 1, 2 and 3.

Climate change knowledge and information: The update of the NAPA included a vulnerability assessment and the production of climate scenarios, but the former did not consider the latter. As part of the Third National Communication scenarios for temperature and precipitation, including extreme events, were developed for the periods 2040-2060 and 2061-2080, with some detail at regional level. Despite these progresses, there is a need to boost scientific and technological research on climate change adaptation in a coordinated manner that responds to priority needs. It should be noted that the Ministry of High Education, Science and Technology (MESCyT by its initials in Spanish) has currently a fund to support research on climate change. However, a strategic prioritization of research topics is lacking, the link with research institutions is weak, and the scale of the fund is small. Moreover, the climate change scenarios for the Third National Communication before the UNFCCC were developed externally, by a Panama-based institution (Centre of Water of the Humid Tropic for Latin America and the Caribbean (CATHALAC by its initials in Spanish), because the meteorological office of the Dominican Republic doesn't have the required technical and technological capacity in-house. There is a need to build capacity internally so that scenarios can be developed periodically in-house. While the technological equipment will be provided through a complementary project on REDD+, it is important to ensure that the country has the required capacity in the use of R Climadex and ArcGIS. This barrier will be addressed under Component 2

Key stakeholder engagement, including at subnational level At the subnational level, while acknowledging the role of local institutions in facilitating adaptation to climate change, municipalities lack specific responsibilities and specific tools and knowledge. Their participation is mainly reactive to extreme events. The Government of the Dominican Republic recognises the need to further engage the Council on Municipal Development, CSOs, local communities and the private sector, among others, in climate change planning and implementation and to provide them with appropriated guidelines, methodologies and tools. Inter-sectoral coordination is also a challenge. For example, the country approved a resolution in 2014 (no. 02-2014) introducing climate change adaptation elements in the environmental impact assessments that have to be undertaken in the design and development of infrastructures. However, the government does not have the human capacity to put the resolution in place, even in the two

¹⁸ This document prioritizes the following aspects: improving inter-institutional coordination; further engaging the local governments and the private sector; further implementing adaptation activities; and improving monitoring, reporting and verification of adaptation activities.

¹⁹ In particular, see the areas considered of interest in the NCCP and the needs, the lessons learned and the recommendations highlighted in the systematization document (pages 21, 37-38, 140-141 and 141-143). The consultation process is presented in detail below.



priority areas, namely, projects related to fuel and tourism in coastal areas. These barriers will be addressed under Components 1 and 3.

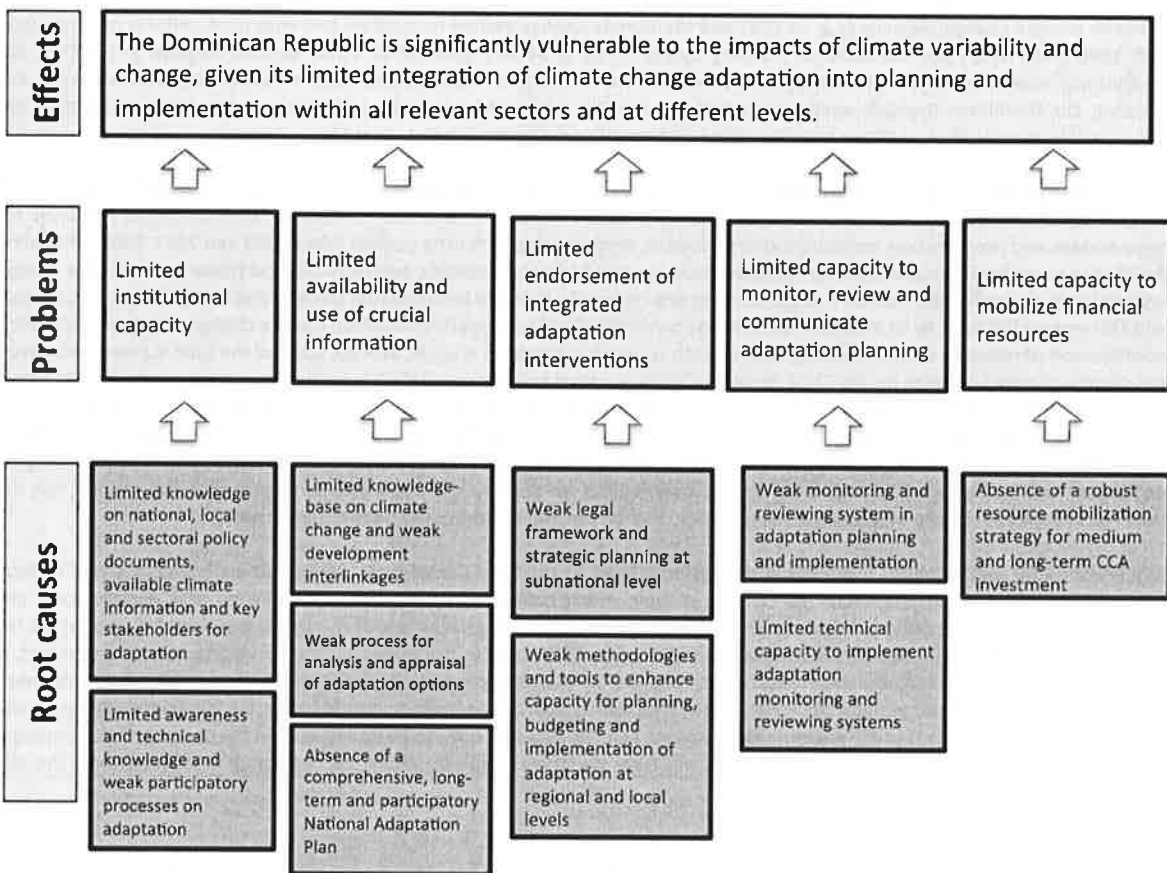
Climate change adaptation monitoring and evaluation: At present, many cross-sectoral and sectoral policies, strategies and plans do not include explicit consideration of climate change adaptation. As a result of this limited integration of climate change into cross-sectoral planning, capacity of technical staff of relevant government institutions at national and local levels on adaptation monitoring and evaluation, including data collection, analysis and dissemination is limited. This barrier will be addressed under Components 1 and 4.

Adaptation finance: At present, the Dominican Republic has not yet fully made use of the existing opportunities to access international and national climate financing. Therefore, the funds allocated to adaptation to climate change in the Dominican Republic are not commensurate to the needs for adaptation. Currently the Ministry of Environment supports other ministries regarding access to climate finance, but the country is in need of a robust strategy for funding adaptation in medium and long term.

This barrier will be addressed under Component 5.

Figure 1 below summarizes and organizes these gaps/barriers taking into account the structure of a NAP process.

Figure 1. Existing gaps/barriers for resilience to climate change in the Dominican Republic



All these aspects have to be duly taken into account in addressing the need for long term climate change adaptation planning, and considering the decisions 1/CP.16 and 5/CP.17 and all elements of the NAP Technical Guidelines.



National Designated Authority (NDA) of the Dominican Republic to Green Climate Fund (GCF) has requested UN Environment to support the Dominican Republic and act as a delivery partner to access GCF readiness and preparatory support for building capacity to advance national adaptation plan process. This request from the Government of the Dominican Republic falls within the approved PoW Outputs 2016-2017 of UN Environment specifically output number 4 "Technical support provided to countries to address UNFCCC adaptation planning and reporting requirements" under expected accomplishment EA(a). Please also note that UN Environment and UNDP are jointly implementing National Adaptation Plan Global Support Programme (NAP-GSP) both for Least Developed Countries (LDCs) and Other Developing Countries with financial support from the LDCF under the Global Environment Facility (GEF), in collaboration with UN Environment's REGATTA initiative. This provides enhanced knowledge about the NAP process and specifically the support required under different areas for countries to advance their National Adaptation Plan Process as well as a wealth of technical expertise among NAP-GSP partners that can be tapped into.

c. Objectives, outcomes and impacts

Objectives: The proposed GCF project aims to sustainably build country capacity in identifying, prioritising, planning and implementing measures that address medium- and long-term adaptation needs taking into account the decisions 1/CP.16 and 5/CP.17 and all elements of the NAP Technical Guidelines. The ultimate objective of the project is to reduce the vulnerability of the country to the impacts of climate variability and change, by building adaptive capacity and resilience through the integration of climate change adaptation into planning and implementation within all relevant sectors and at different levels, as appropriate. In this sense, while the project pays particular attention to some priority sectors²⁰, the project is comprehensive in terms of sectors and stakeholders, working with different line ministries, national and local government institutions, the civil society and the private sector.

Outcomes: This project will identify and use multiple ways to integrate climate change adaptation (CCA) options into new and existing national policies, strategies, plans and programmes of the key climate-sensitive and economic development sectors and institutionalize CCA as a 'development strategy' for the Dominican Republic. Outcomes from this project are as follows:

- National and local institutions are capable of integrating Climate Change Adaptation into development policy and plans.
- Technical/Sectoral Institutions are able to use up to date climate information for risk assessment and appraisal of adaption interventions.
- National and local institutions endorse plans and concrete integrated adaptation interventions at sub-national level.
- National and Sectoral Planning Unit of the key Ministries are capable of reviewing, monitoring and communicating results of the NAP process.
- Government of the Dominican Republic endorses resource mobilization strategy for medium and long-term CCA investment.

The project seeks to achieve its objective through:

- Strengthening the institutional, legal, policy and planning frameworks;
- Engaging key stakeholders in climate change adaptation planning and implementation;
- Producing high-quality and pertinent knowledge; and
- Building capacity at different levels

Impacts: This project will have multi-fold impacts in integrating CCA into national to local level planning processes in different economic and social development sectors. The key impacts are expected as follows:

- Vulnerability of key economic sectors and people are addressed and climate resilient development promoted in Dominican Republic by capacitating national and provincial government institutions;
- Enhance effective use of climate change adaptation finance for vulnerable population, sectors and regions by bringing synergies and avoided duplication of efforts through coordination among key ministries and partnership with private sector.

The project builds on existing institutions, coordination mechanisms, processes and legislation to avoid duplication and foster sustainability of the progress already made. In this sense, it follows the lessons learned from the preparation of the NAPA and more recently the NCCP. Indeed, the exercise will consider the new international climate change architecture and commitments, the recent domestic policy developments and the new available scientific information, employing the experience that has been gained and the lessons in adaptation planning and implementation that have been learned since 2008. The project is also aligned

²⁰ In particular, to the sectors prioritized in the NDC and NAPCC, namely water resources, agriculture and food security, tourism, forest and biodiversity, health, energy, coastal and marine resources, infrastructure and human settlements (which include disaster risk reduction and early warning systems).



with the adaptation road map developed as part of the TNC to the UNFCCC. Furthermore, the project is complementary to the GCF readiness project, the proposed CBIT project and BUR project, exploiting synergies and avoiding duplication.

d. Stakeholders' consultation

An extensive consultative process has taken place throughout the preparation of this proposal. At the government level, meetings have been conducted with all the relevant directorates and departments of the Ministry of Environment; with the National Council for Climate Change and the Clean Development Mechanism; with other ministries, departments and agencies (such as the Ministries of Foreign Affairs, Agriculture, and Energy and Mines, and the National Office for Meteorology). The consultative process has also entailed meetings with research institutes, national NGOs and international NGOs. A list of the consulted stakeholders is presented in Annex 5.

The elaboration and implementation of the National Adaptation Plan will also be broadly participatory and will serve to strengthen existing mechanisms for participation in climate change. While activity 1.2.1 will focus on the government level, activity 1.4 will ensure that adequate mechanisms are in place for the participation of the civil society and the private sector, with a resolution from the Ministry of Environment that expands the functions and formalises the role of existing participatory mechanisms, such as the National Climate Change Committee, the Technical Advisory Committee on REDD and the Dominican Environmental Consortium. Other stakeholders, such as private sector federations or agrupations, will also be invited to participate. Activities 1.2.3 and 1.2.4 will contribute to enhanced participation through awareness raising and training activities. Moreover, the physical platforms will be complemented with digital platforms to further expand the opportunities for public consultation. Workshops to raise their awareness and get their inputs will take place throughout the project, that is, while preparing the development of a NAP document and its revision, during its development, during its monitoring and review (through consultation workshops) and during the implementation of plans. This process will further ensure transparency, inclusiveness, wider acceptance and ownership in making NAP gender-responsive and promote involvement of most climate vulnerable people.

SECTION 4: PROJECT/PROGRAMME DESCRIPTION

Describe the main activities and the planned measures of the project/programme according to each of its components.

The structure of components, outcomes and outputs follows a logical and sequential order, taking into account the temporal character of the process, involving preparation, planning, monitoring and revision, with knowledge, participation and communication as cross-cutting. In this sense, giving that each outcome builds on the other and complement it, this section has to be reviewed together with Annex IV on timeline of implementation. In this regard, component 1 prepares the ground for component 2, which deals with national planning, which is followed by component 3, which facilitates the implementation of the planning conducted in component 2 by mainstreaming adaptation in decision-making at different scales. In turn component 5, complements this focusing on the financial aspects. On this basis, component 4 focuses on monitoring and review, and with those inputs on reviewing and updating the planning process. At this regard, activities conducted under outcome 2 will provide the underlying data for outcome 4.

The logic behind the 5 components as a whole is to follow a logical and sequential order that allows first to develop an adaptation plan (i) based on knowledge and (ii) developed in a participatory manner. The activities under output 1.1 and 2.1 provide this knowledge base. On the one hand they intend to compile the relevant studies carried out in the country and, on the other hand, they intend to identify the gaps and carry out the necessary studies to complete the missing information, both in relation with climatic scenarios as in relation to the institutional and legal framework of the country. While especial attention will be paid to water for human resources, generation of electricity, national systems of protected areas, human settlements and tourism, which are prioritised in the NDC and the NAPCC, and the planning blocks prioritized in the NDC document²¹, this exercise would be comprehensive and dependent on the assessments and discussions undertaken by all stakeholders. This information is used to identify adaptation options, which are then prioritized (output 2.2). The main deliverable of these components is the preparation the National Adaptation Plan as such.

²¹ Namely, ecosystem-based adaptation, territorial and sectoral approaches, integrated water management, health, food security, infrastructure, floods and droughts, coastal-marine areas and risk management and early warning systems.



Moreover, under outcome 1.2, a participation framework is designed, including an analysis of stakeholders and other activities such as training workshops, awareness campaigns and the design of an online participation platform. These activities are carried out in parallel to the process of elaborating the plan. Within these activities, special importance is attached to the training and strengthening of capacities of policy and decision makers and implementers from relevant institutions at national and local levels as an essential aspect for the appropriation and sustainability of this initiative.

Component 3 seeks to articulate the National Adaptation Plan at the regional level, providing support, training, specific tools and a legal framework to develop and implement local adaptation plans at regional level and for the main five cities in the country.

Component 4 will be developed in order to provide the National Adaptation Plan with a monitoring and follow-up framework that will allow the country to show progress made in adaptation (in this respect, the project complements the Readiness BUR initiative as indicated in section 1.9) and, on the other hand, to obtain lessons learned for subsequent planning cycles. This component draws on the studies and information elaborated in Output 1.1.2. The National Adaptation Plan designed under component 2 will provide the components of the system and will link key activities to adaptation outcomes. Component 2 will also provide a baseline against which progress is measured. On this basis, under component 4, indicators will be chosen and data sources, measurement tools, processes and resources required to implement the M&E system will be identified.

Finally, component 5 will be developed to identify funding sources for the priority initiatives identified in the Plan. One of the deliverables is therefore the development of concept notes for concrete interventions. At this point, coherence with the activities under the ongoing Readiness project implemented by UNDP, in particular with the development of the country program, is particularly relevant. For this reason, during the whole process the project management system (described in section 6 of this document) is conceived to ensure coordination between ongoing initiatives.

All components participate in the participation framework designed in Output 1.2, since workshops and processes of online participation through the platform are envisaged throughout the entire process.

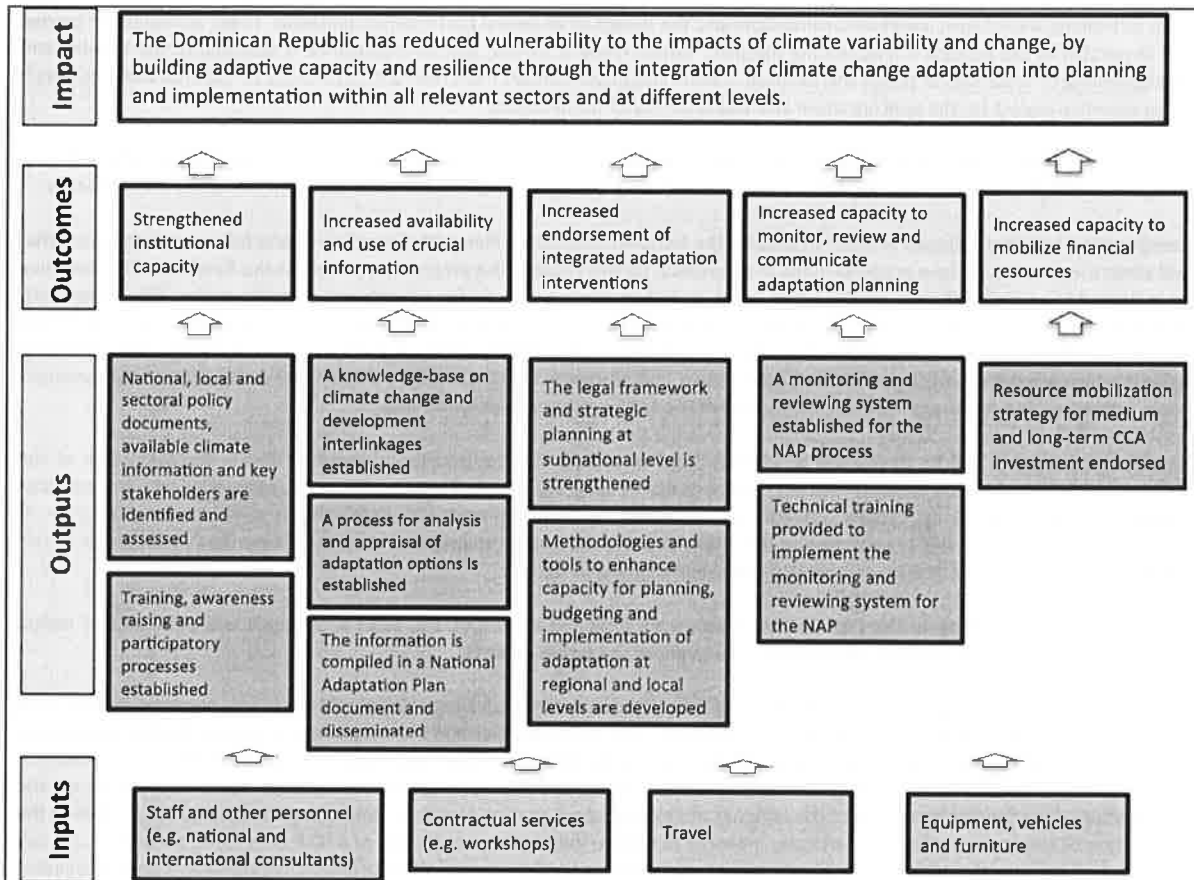
This integrated logic also applies at the activity level. For instance, activity 2.3.1 consolidates all the inputs prepared before, such as the production of climate and social scenarios, the analysis of vulnerabilities and the assessment and prioritization of adaptation options. Likewise, to reflect the hierarchy of planning instruments, the planning process focuses first on the national and sectoral level, and then on the territorial (regional and municipal) level; and the development of concept notes builds both on the preparation of the funding strategy and the regional and municipal adaptation planning. Similarly, while activity 2.1.1 refers to the production of climate scenarios in a particular moment of time to inform the preparation of a NAP document, activities 4.2.4 and 4.2.5 build internally the technical and institutional capacities needed to review this document periodically. Capacity building activities including training sessions and development of tools also follow this integrated and sequential logic. In this sense, while raising awareness activities will be conducted in the preparation phase for the civil society and the private sector, training will be provided to facilitate implementation of NAP and to monitor and review it to government staff at different levels.

In this light, the outcomes, outputs and activities are tuned to meet collectively the key objective of sustainably building country capacity in identifying, prioritising, planning and implementing measures that address medium- and long-term adaptation needs. By strengthening the institutional, legal, policy and planning frameworks; engaging key stakeholders in climate change adaptation planning and implementation; producing high-quality and pertinent knowledge; and building capacity at different levels, the outcomes, outputs and activities contribute as a whole to reduce the vulnerability of the country to the impacts of climate change, by building adaptive capacity and resilience. Synergies with the GCF preparedness processes have been identified and exploited.

Figure 2 below illustrates the theory of change, which is logically linked to the gaps/barriers presented in figure 1²².

Figure 2. Theory of change of the project

²² The formulation of outcomes and outputs has been shortened for the sake of graphic clarity.



The lines below present the outcomes, outputs and activities and how they build upon and complement the work already done.

Component 1: National mandate, strategy and steering mechanisms are in place

Under output 1.1, the NAP management framework will be defined on the basis of the existing institutional setting that include, at national level, a National Council for Climate Change and the Clean Development Mechanism (CNCCMDL), a National Climate Change Committee, a Technical Advisory Committee on Reducing Emissions from Deforestation and Forest Degradation (REDD), and a Dominican Environmental Consortium. Other institutions, in particular the Ministry of Economy, Planning and Development (MEPyD) will also be involved under the coordination of the Directorate of Climate Change of the Ministry of Environment and Natural Resources. At subnational level, the National Coalition for Resilient Cities and the Council on Municipal Development (CSO) will also be considered, as well as business organisations to represent the private sector. Table 1 in section 6 provides further detail on the participation of different stakeholders.

Activity 1.1.2 intend to compile the relevant studies carried out in the country and to identify the gaps and carry out the necessary studies to complete the missing information in relation with climatic vulnerability assessments and scenarios. Available information on climate change impacts, vulnerability and adaptation include existing vulnerability assessments (such as “Critical issues regarding vulnerability to climate variability and change and adaptation to them in the Dominican Republic”, USAID 2013) and the climate change scenarios developed in the framework of the Third National Communication.

The institutions mentioned above will benefit from capacity building and awareness raising activities under output 1.2. The design of the different training sessions and awareness campaigns will take into account previous experiences, in particular the “Strategy to Strengthen Human Resources and Capacities to move towards a Green Development, with Low Emissions and Climate

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Resilience²³ (2012), as well as the Dominican Republic adaptation priorities established in the NDC and in the existing strategic framework for climate change adaptation. These priorities are mainly reflected in the NAPA (2008), developed in the framework of the Second National Communication to the UNFCCC and updated in 2016, and in the adaptation road map developed in the framework of the Third National Communication (TNC) to the UNFCCC (2016-2017).

Component 2: Preparatory elements for the NAP in place to develop a knowledge-base and formulate a NAP.

Activities under component 2 will update and complement existing vulnerability assessments (such as “Critical issues regarding vulnerability to climate variability and change and adaptation to them in the Dominican Republic”, USAID 2013) and the climate change scenarios developed in the framework of the Third National Communication. As a result, this activity will provide further details at sub-national level. Also, sectoral priorities already established in the NDC and the NAPCCC will be taken into account, as a basis for identifying and prioritizing adaptation options that, in time (under component 5), will be part of the strategy for funding adaptation.

The studies elaborated in the framework of the Third National Communication will be considered for the elaboration of socio-economic analysis (output 2.1). Existing sectoral adaptation plans, including the Climate Change Adaptation Plan for the Agricultural Sector (for the period 2014-2020), the Technology Transfer Action Plan for Climate Change Adaptation for the water, forestry and tourism sectors, the Management Plan for Protected Areas, and the National Plan for Integral Management of Disaster Risk will be reviewed and updated when necessary (under output 2.1), to integrate them in a coherent manner into the National Adaptation Plan.

As part of the process for analysis and appraisal of adaptation options, a report on lessons learned on adaptation planning and implementation from past adaptation interventions will be produced (output 2.2). Particular attention will be paid to GEF and Adaptation Fund projects at the global, regional and national level, as mentioned in section 3 on the baseline.

The existing strategic and planning framework for adaptation will provide the basis for the preparation the National Adaptation Plan as such, including priorities and strategic orientations of the NAPA and the Adaptation road map. In addition, the strategic and planning framework for mitigation will also be considered as background information to ensure coherence in the country's climatic action. This will include the National Plan of Action for the Development of Projects for the Clean Development Mechanism (2010), the Climate Change-Compatible Economic Development Plan (2011) and the NDC.

Component 3: NAP implementation is facilitated

Under output 3.1, five regional adaptation plans and five municipal adaptation plans will be formulated with technical inputs from the studies developed under component 2. On going local adaptation plans, with progress in five main cities (Santo Domingo, San Pedro de Macoris, Santiago and Las Terrenas) will serve as a basis, as well as the local economic development plans developed for 11 out of the 31 provinces of the country, although climate change is not mainstreamed in the latter.

In addition, activities providing support, training, specific tools and a legal framework will be conducted. Specifically, on the basis of Resolution no. 02-2014 introducing climate change adaptation elements in the environmental impact assessments for the design and development of infrastructures, protocols will be elaborated as well as training for staff responsible for approval of infrastructure projects.

Component 4: National and Sectoral Planning Unit of the key Ministries are capable of reviewing, monitoring and communicating results of the NAP process

Component 4 will be developed in order to provide the National Adaptation Plan with a monitoring and follow-up framework that will allow the country to show progress made in adaptation and to obtain lessons learned for subsequent planning cycles.

In this respect, as indicated in section 1.9, this component complements the ongoing Readiness BUR Initiative and the proposal under formulation to be submitted to the GEF Capacity Building Initiative for Transparency (CBIT). Complementarity is ensured as both the BUR and the Capacity Building Initiative for Transparency (CBIT) project focus on mitigation, addressing adaptation issues only when preparing integrated reports.

²³ Coordinated by the NCCCCDM with support provided by UN CC:Learn and funding from the Swiss Development Cooperation.



This component will draw on the studies and information elaborated in Output 1.1. 2. The National Adaptation Plan designed under component 2 will provide the components of the system and will link key activities to adaptation outcomes. Component 2 will also provide a baseline against which progress is measured. On this basis, under component 4, indicators will be chosen and data sources, measurement tools, processes and resources required to implement the M&E system will be identified.

Through this component, the existing National System on Environmental and climate change information will be strengthened. Meteorological offices will be involved, as well as the Environmental Network of Dominican Universities and the Ministry of Education, Science and Technology (MESCyT, Spanish acronym) that supports research on climate change and will provide relevant information and experience in this regard.

Component 5: Funding strategy for the NAP and CCA is available

Existing information on the costs of adaptation developed by the Economic Commission for Latin America and the Caribbean (ECLAC, 2011), and others such as the "Estimation of financial needs for adaptation in water and tourism" (UNPD, 2011) or the "Economic estimation of disaster risk" (World Bank, 2015) will serve as a basis for output 5.1 (Resource mobilization strategy for medium and long-term CCA investment endorsed), providing relevant information (and identification of gaps) for a estimation of the costs of adaptation in the medium and long term.

Finally, in order to develop GCF concepts and associated documentation for two adaptation programmes, one focusing on urban areas and one on rural areas, the GCF project pipeline mentioned in section 3 will be analysed to ensure that funds are used in a synergistic way. As mentioned in section 1.9, to ensure complementarity and avoid duplication with the readiness support, this project will only develop concept notes with a territorial approach, which will be mentioned in the Country Work Programme, but not formulated through the readiness grant already being implemented, given that this which will favour a sectoral approach regarding the development of concept notes.

In this framework, the outcomes, outputs and activities are the following:

Outcome 1: National and local institutions are capable of integrating Climate Change Adaptation into development policy and plans.

Output 1.1: National, local and sectoral policy documents, available climate information and key stakeholders are identified and assessed to facilitate integration of adaptation options and to promote an integrated approach to adaptation planning.

1.1.1 Initiate and launch the NAP process

1.1.2 Conduct stocktake, identify available information on climate change impacts, vulnerability and adaptation, and assess quantitative and qualitative gaps, with particular attention being paid to the sectors prioritized in the NDC and the NAPCCC.

1.1.3 Define the NAP management framework, indicating the tasks and responsibilities of key stakeholders, linking climate change planning (e.g. NCCCCP) and the climate change system to medium and long term national planning (e.g. END 2030 and PNPSP) and the National Planning System; strengthening the institutional links between climate change institutions and the institutions responsible of other cross-cutting issues

Output 1.2: Training, awareness raising and participatory processes for public and private sectors at national and local levels, NGOs, CSOs and local communities on the NAP process established.

1.2.1 Develop a resolution that expands the functions and formalizes the role of the existing participatory platforms in the formulation and implementation of the NAP.

1.2.2 Provide orientation and training for policy and decision makers and implementers from relevant institutions at national and local levels on i) the importance of climate change adaptation planning and implementation (including vulnerabilities, potential impacts and opportunities); ii) the proposed process to develop, implement and update the NAP; and iii) methodologies and critical issues to implement adaptation measures, with particular attention being paid to the sectors prioritized in the NDC and the NAPCCC

1.2.3 Develop awareness raising campaigns at national and local levels for the Council for Municipal Planning, NGOs, CSOs and local communities on i) the importance of climate change adaptation planning and implementation (including



vulnerabilities, potential impacts and opportunities); ii) the proposed process to develop, implement and update the NAP; and iii) methodologies and critical issues to implement adaptation measures, with particular attention being paid to the sectors prioritized in the NDC and the NAPCCC

1.2.4 In collaboration with business organisations, develop awareness raising campaigns at national and local levels for the private sector on i) the importance of climate change adaptation planning and implementation (including vulnerabilities, potential impacts and opportunities); ii) the proposed process to develop, implement and update the NAP; and iii) methodologies and critical issues to implement adaptation measures at firm level, including investment opportunities, with particular attention being paid to the sectors prioritized in the NDC and the NAPCCC

1.2.5 Develop and maintain a digital platform for public participation in the process. The platform seeks to foster the participation of civil society stakeholders at any time and from any area of country with internet connection. In this sense, the digital platform will serve as a hub for accessing information and exchanging views, complementing the in person consultation processes. In particular, the public will be able to access legal and planning documents, studies and reports of consultation processes. These documents will include both existing documents and documents developed as a result of the project to fill information gaps. The platform contributes to overcome the challenge related to the weak participation of the civil society and the private sector in adaptation planning and implementation. After the project, the Ministry of Environment will take care of its maintenance.

Outcome 2: Technical/Sectoral Institutions are able to use up to date climate information for risk assessment and appraisal of adaption interventions

Output 2.1: A knowledge-base on climate change and development interlinkages established.

2.1.1 Develop temperature and precipitation scenarios for the period 2020-2040 and sea level rise scenarios for the periods 2020-2040, 2041-2060, 2061-2080, providing details at sub-national level

2.1.2 Analyse future socio-economic scenarios taking into account the latest studies and development planning (e.g. those developed as part of the preparation of the Third National Communication)

2.1.3 Assess climate vulnerabilities and socio-economic opportunities and identify adaptation options at the sector, subnational, national and other appropriate levels, identifying priority climate change impacts, with particular attention being paid to the sectors prioritized in the NDC and the NAPCCC

2.1.4 Develop reports on the relationships of climate change adaptation with other cross-cutting issues, such as environmental sustainability, disaster risk management, gender equity and land use and territorial cohesion, including conceptual, legal, policy, institutional, vulnerability and strategic aspects, identifying entry points for proper two-directional integration.

Output 2.2: A process for analysis and appraisal of adaptation options is established, based on lessons learned from the Dominican Republic and other countries.

2.2.1 Review and appraise adaptation options, including economic, environmental and social costs and benefits, considering potential unintended effects (and correctly valuing ecosystem services). This exercise will use multiple criteria, including cost effectiveness, impact over time, and feasibility to implement, as informed by activity 2.2.2. It will pay particular attention to the sectors prioritized in the NDC and the NAPCCC.

2.2.2 Assess the effectiveness of past adaptation interventions to learn lessons on adaptation planning and implementation, with particular attention being paid to the sectors prioritized in the NDC and the NAPCCC.

2.2.3 Conduct exchange visits to 2 countries to learn lessons on adaptation planning and implementation during the preparation of the National Adaptation Plan

Output 2.3: The information is compiled in a National Adaptation Plan document and disseminated.



2.3.1 Consolidate the inputs developed in a National Adaptation Plan document that explicitly presents the prioritized adaptation solutions

2.3.2 Communicate the National Adaptation Plan

Outcome 3: Government of the Dominican Republic endorses plans and concrete integrated climate change adaptation interventions at sub-national level

Output 3.1: The legal framework and strategic planning at subnational level is strengthened

3.1.1 Support the approval of a Law on Climate Change in order to prioritize climate change adaptation in national planning and budgeting

3.1.2 Develop one adaptation plan for each of the 5 unified planning regions of the country

3.1.3 Develop adaptation plans in Santo Domingo and the other 4 most populous cities in the country, including interventions at systemic level and in two specific slums in each city

Output 3.2 Methodologies and tools to enhance capacity for planning, budgeting and implementation of adaptation at regional and local levels are developed

3.2.1 Develop protocols for and train staff responsible for approval of infrastructure projects on climate resilience for the implementation of the law 64-0000

3.2.2 Develop and disseminate methodological (step-by-step) guides on climate change adaptation planning and implementation at regional and local levels to enhance capacity for planning, budgeting and implementation of adaptation, with particular attention being paid to the sectors prioritized in the NDC and the NAPCC

3.2.3 Provide institutional support to enhance the efficiency of the procurement and tendering process and preparation of preliminary tender documents to enable private sector participation and promote the establishment of public-private partnerships

Outcome 4: National and Sectoral Planning Unit of the key Ministries are capable of reviewing, monitoring and communicating results of the NAP process.

Output 4.1: A monitoring and reviewing system established for the NAP process.

4.1.1 Develop a monitoring and review framework for the NAP, including indicators, baselines, targets at different moments in time, means of verification and sources of information at national, sectoral and local levels

4.1.2 Support the creation of an Integrated National Climate Change Planning and Control System and the strengthening of the National System on Environmental and Climate Change Information

Output 4.2: Technical training provided to national and local government representatives and stakeholders to implement the monitoring and reviewing system for the NAP

4.2.1 Develop guidelines and tools for technical staff of relevant government institutions on data collection, analysis and dissemination, including the documentation of lessons learned and adaptive management

4.2.2 Train technical staff of relevant government institutions in the use of these guidelines at the national and local level

4.2.3 Develop annual progress and effectiveness reports (including financial aspects) and disseminate them using different formats

4.2.4 Train staff from meteorological offices developing climate change scenarios

4.2.5 Strengthen the collaboration of all institutions dealing with meteorological data



4.2.6 Together with the Ministry of Education, Science and Technology, identify research priorities on climate change adaptation and promote research on these topics through scaling-up the existing fund and setting cooperation frameworks with research institutions, including the Environmental Network of Dominican Universities

4.2.7 Conduct exchange visits to 2 countries to learn from them on adaptation planning and implementation during the implementation of NAP

4.2.8 Develop and institutionalize a strategy for the periodic review (every two years) of NAP, including the financing strategy, based on i) updated climate information; ii) updated socio-economic vulnerabilities, opportunities and plans; iii) lessons learned regarding adaptation planning (at legal, policy and institutional level); iii) lessons learned regarding implementation of adaptation measures on the ground (for iii and iv both nationally and internationally)

Component 5: Funding strategy for the NAP and CCA is available

Outcome 5: Government of the Dominican Republic endorses resource mobilization strategy for medium and long-term CCA investment

Output 5.1 Resource mobilization strategy for medium and long-term CCA investment endorsed

5.1.1 Review reports produced on costing adaptation in the Dominican Republic (such as the ECLAC (2011), UNDP (2011) and World Bank (2015) reports)²⁴, compile existing information and identify information gaps for a reliable estimation of costs for implementing climate change adaptation in the medium and long term

5.1.2 Fill the information gaps by undertaking a detailed economic study that estimates the costs of implementing the prioritized adaptation interventions at national, sectoral and local levels

5.1.3 Identify, analyse and recommend options for scaling up financing for adaptation, including through domestic public budgets, public-private partnerships and international cooperation

5.1.4 Develop an adaptation finance strategy to access new identified sources of adaptation finance. While activity 5.1.3 will identify, analyse and recommend options, activity 5.1.4 will develop a full strategy to access resources and mobilize and attract funds. In this sense, the objective of the strategy will be to help mobilize resources for climate change adaptation by aligning efforts of all national stakeholders towards internal and external mobilization. The strategy will also constitute a reference for the private sector and the international development partners.

5.1.5 Elaborate a report analysing the most convenient Institutional arrangements to centrally and systematically manage all climate change-related funds and channelling them effectively across sectors and regions

5.1.6 Develop training toolkits and provide training to strengthen the capacity of relevant government stakeholders (at all levels) to initiate the implementation of the adaption finance strategy

5.1.7 Develop GCF concepts and associated documentation for two adaptation programmes, one focusing on urban areas and one on rural areas

SECTION 5: BUDGET, PROCUREMENT, IMPLEMENTATION AND DISBURSEMENT

²⁴As noted before, ECLAC provided some numbers in 2011 and an estimation of financial needs for adaptation in water and tourism was conducted in 2011 with support from UNPD. In 2015 the World Bank made an economic estimation of disaster risk.



Budget per output, category and year

Budget per output, category and year

| GCF Output | Budgetary Categories Description | Amount Y1 (USD) | Amount Y2 (USD) | Amount Y3 (USD) | Total |
|---------------------------------------------------------------------------------------------------------------|-----------------------------------------------|------------------|-----------------|------------------|------------------|
| Output 1: National mandate, strategy and steering mechanisms are in place and gaps are assessed and addressed | Staff and other personnel costs (consultants) | 135,550 | 45,400 | 41,350 | 222,300 |
| | Travel | 21,906 | 12,185 | 10,985 | 45,076 |
| | Contractual services | 123,750 | 150,000 | 75,000 | 348,750 |
| | | 281,206 | 207,585 | 127,335 | 616,126 |
| 2. Preparatory elements for the NAP in place to develop a knowledge-base and formulate a NAP | Staff and other personnel costs (consultants) | 479,500 | 105,250 | 8,500 | 593,250 |
| | Travel | 73,712 | 8,249 | 0 | 81,961 |
| | Contractual services | 38,750 | 65,000 | 0 | 103,750 |
| | | 591,962 | 178,499 | 8,500 | 778,961 |
| 3. NAP Implementation facilitated | Staff and other personnel costs (consultants) | 45,750 | 0 | 375,750 | 421,500 |
| | Travel | 6,154 | 0 | 58,232 | 64,386 |
| | Contractual services | 43,750 | 0 | 87,500 | 131,250 |
| | | 95,654 | 0 | 521,482 | 617,136 |
| 4. Mechanisms for Reporting, Monitoring and Review of NAPs and adaptation progress in place | Staff and other personnel costs (consultants) | 35,000 | 64,950 | 3,500 | 103,450 |
| | Travel | 6,354 | 10,022 | 24,450 | 40,826 |
| | Contractual services | 23,750 | 43,750 | 18,750 | 86,250 |
| | | 65,104 | 118,722 | 46,700 | 230,526 |
| 5. Funding strategy for the NAP and CCA is available | Staff and other personnel costs (consultants) | 0 | 53,800 | 209,000 | 262,800 |
| | Travel | 0 | 6,449 | 25,320 | 31,769 |
| | Contractual services | 0 | 21,250 | 60,000 | 81,250 |
| | | 0 | 81,499 | 294,320 | 375,819 |
| Total Project Outputs | | 1,033,926 | 586,305 | 998,337 | 2,618,568 |
| Project Management | Staff and other personnel costs (staff) | 35,000 | 35,000 | 35,000 | 105,000 |
| | Travel | 2,700 | 3,600 | 3,600 | 9,900 |
| | Equipment and furniture | 3,000 | 0 | 0 | 3,000 |
| | | 40,700 | 38,600 | 38,600 | 117,900 |
| Delivery Partner Fee (10 %) | | 87,286 | 87,286 | 87,286 | 261,857 |
| Total Project | | 1,161,912 | 712,191 | 1,124,223 | 2,998,325 |

Procurement plan

Overall financial management and procurement of goods and services under this readiness and preparatory support proposal will be guided by UN Environment's regulations, rules, policies and procedures as well as its programme manual for nationally implemented project modalities. Further, procurement of goods and services will follow the general principles stated under clause 7 of Framework

Readiness and Preparatory Support Grant Agreement (Framework Agreement) between Green Climate Fund (GCF) and UN Environment²⁵..”

For this readiness and preparatory support proposal, services of a technical nature will be recruited, or acquired, and directly managed by UN Environment, in consultation with the Ministry of Environment and Natural Resources and GCF’s National Designated Authority (NDA) of the Dominican Republic. Recruitment and management of consultants will be in accordance with UN Environment rules, policies and procedures. UN Environment will coordinate with the Ministry of Environment and Natural Resources to procure goods and services in delivering activities at national level [for example meetings, workshops, etc] in accordance with the agreed procurement management plan. The types of procurement and process to be followed for this readiness and preparatory support project is presented below:

Procurement Plan

| Item | Item Description | Estimated Cost (US \$) | Procurement Method | Thresholds | Estimated Start Date | Projected Contracting Date | | |
|-----------------------------------------------------------------------|-------------------------------------------------------------------|------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|------|------|
| Goods and Non-Consulting Services | | | | | | | | |
| Workshops in Santo Domingo (region 1) | Large and small workshops for all activities Y1 | 87,500 | Request for Quotations 1. Vendors can submit bids via email to a centralized email address 2. Evaluation criteria are pass/fail basis only and a vendor needs to pass all criteria to be considered | US\$10,000 > | Y1Q1 | Y1Q1 | | |
| | Large and small workshops for all activities Y2 | 78,750 | | | Y2Q1 | Y2Q1 | | |
| | Large and small workshops for all activities Y3 | 38,750 | | | Y3Q1 | Y3Q1 | | |
| Workshops in unified planning region 2 | Large and small workshops for all activities Y1 | 42,500 | | | Y1Q1 | Y1Q1 | | |
| | Large and small workshops for all activities Y2 | 36,250 | | | Y2Q1 | Y2Q1 | | |
| | Large and small workshops for all activities Y3 | 32,500 | | | Y3Q1 | Y3Q1 | | |
| Workshops in unified planning region 3 | Large and small workshops for all activities Y1 | 27,500 | | | Y1Q1 | Y1Q1 | | |
| | Large and small workshops for all activities Y2 | 36,250 | | | Y2Q1 | Y2Q1 | | |
| | Large and small workshops for all activities Y3 | 26,250 | | | Y3Q1 | Y3Q1 | | |
| Workshops in unified planning region 4 | Large and small workshops for all activities Y1 | 27,500 | | | Y1Q1 | Y1Q1 | | |
| | Large and small workshops for all activities Y2 | 36,250 | | | Y2Q1 | Y2Q1 | | |
| | Large and small workshops for all activities Y3 | 30,000 | | | Y3Q1 | Y3Q1 | | |
| Workshops in unified planning region 5 | Large and small workshops for all activities Y1 | 21,250 | | | Y1Q1 | Y1Q1 | | |
| | Large and small workshops for all activities Y2 | 30,000 | | | Y2Q1 | Y2Q1 | | |
| | Large and small workshops for all activities Y3 | 30,000 | | | Y3Q1 | Y3Q1 | | |
| Travel agency | For activity 2.2.3 | 12,000 | Low Value Procurement Three informal quotations must be obtained with relevant information (price, quantity, delivery, time, etc) via email, fax etc using the best value for money approach | US\$ <10,000 | Y3Q1 | Y1Q1 | | |
| DSA for country visits | For activity 2.2.3 | 11,250 | | | Y3Q1 | Y3Q1 | | |
| Travel agency | For activity 4.2.7 | 12,000 | | | Y3Q4 | Y3Q4 | | |
| DSA for country visits | For activity 4.2.7 | 11,250 | | | Y3Q4 | Y3Q4 | | |
| Travel / Coordination support | For project management | 29,355 | | | YQ1 | YQ3 | | |
| Equipment and furniture | | 3,000 | | | Y1Q1 | Y1Q1 | | |
| DSA for monitoring (flexible) | | 9,900 | | | | When needed | | |
| Sub-Total (US \$) | | 670,005 | | | | | | |
| Consultancy Services | | | | | | | | |
| International consultant | For activities 1.1.2, 1.1.3, 1.2.1 and 4.1.2; lump-sum | 42,458 | | | Recruitment Formulation of ToR and job vacancy announcement for a minimum of 7 days. 2. Desk review of Applications followed by shortlisting. 3. Interview of shortlisted applicants followed by selection by the Hiring Manager | | Y1Q1 | Y1Q1 |
| National consultant | For activities 1.1.2, 1.1.3, 1.2.1 and 4.2.1 | 22,750 | Y1Q1 | Y1Q1 | | | | |
| International consultant | For activities 1.2.2, 1.2.2 and 1.2.4; lump-sum including travel | 23,713 | Y1Q1 | Y1Q1 | | | | |
| National consultants | For activities 1.2.2, 1.2.3 and 1.2.4 | 47,200 | Y1Q1 | Y1Q1 | | | | |
| National consultant | For activity 1.2.5 | 6,750 | Y1Q1 | Y1Q1 | | | | |
| National consultant (coordination support) | For activities 1.2.1, 1.2.2, 1.2.3 and 1.2.4 | 108,150 | | | | | | |
| Consultancy firm on climate scenarios | For activities 2.1.1, 4.2.4 and 4.2.5 | 105,044 | Y1Q1 | Y1Q1 | | | | |
| Consultancy firm to support adaptation planning at the national level | For activities 2.1.2, 2.1.3, 2.1.4, 2.2.1, 2.2.2, 2.3.1 and 2.3.2 | 753,211 | Y1Q1 | Y1Q2 | | | | |
| Consultancy firm to support adaptation planning at the local level | For activities 3.1.2, 3.1.3, 3.2.1, 3.2.2, 3.2.3 | 485,886 | Y2Q3 | Y3Q1 | | | | |
| Consultancy firm to support the monitoring and evaluation | For activities 4.1.1, 4.2.1, 4.2.2 and 4.2.8 | 43,422 | Y2Q1 | Y2Q3 | | | | |
| National consultant | For activity 4.2.3 | 17,600 | Y1Q4 | Y2Q1 | | | | |
| National consultant | For Activity 4.2.6 | 11,850 | Y2Q2 | Y2Q3 | | | | |
| Consultancy firm to support the finance component | For activities 5.1, 5.2, 5.3, 5.4, 5.5, 5.6 and 5.7 | 291,429 | Y1Q4 | Y2Q2 | | | | |
| National Consultant | Project coordinator | 22,500 | Y1Q1 | Y1Q1 | | | | |
| National consultant | Administrative assistant | 82,500 | Y1Q1 | Y1Q1 | | | | |
| Sub-Total (US \$) | | 2,068,463 | | | | | | |
| Total | | 2,738,468 | | | | | | |

Detailed costed logframe is provided as [Annex I](#).

The national project coordinator and the international project manager will be in charge of monitoring the indicators and updating the log-frame on an annual basis, for review by senior management at MENR and UN Environment, with support from the finance and administrative officer.

Please note that the timeframe (annex IV) presents when each activity will be conducted and therefore when each Input is required.

²⁵ UN Environment will comply with its obligation under clause 7(a) of the Framework Agreement, which states “The procurement of Goods and Services for Approved Readiness Support Proposals, whether by the Delivery Partner or by a third party, shall be done in accordance with the rules, policies and procedures of the Delivery Partner”.



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| |
| Disbursement schedule |
| <p>Specify the proposed schedule for requesting disbursements from the GCF, including amounts and periodicity. For amounts requested, keep to multiples of USD 5,000, and for periodicity, specify whether it's quarterly, bi-annually or annually only.</p> <p>UN Environment, as the Delivery Partner for this Readiness and Preparatory Support Proposal, will submit requests for disbursement for approved proposals to the GCF in accordance with the Framework Readiness and Preparatory Support Grant Agreement between the GCF and UN Environment. Requests for disbursements will be made on an annual basis in line with Clause 4 of the Framework Readiness Agreement. Disbursement requests will be signed by the authorised representative of the UN Environment and will include details of the bank account into which the grant will be deposited. UN Environment, the Delivery Partner for this R&P Support Proposal for the Dominican Republic, will administer the grant disbursed by the GCF in accordance with UN Environment's regulations, rules, and procedures including maintenance of records of grant, disbursements and expenditure. UN Environment will follow the disbursement schedule as per the Framework Readiness and Preparatory Support Grant Agreement between the GCF and UN Environment.</p> <p>UN Environment will allocate the grant proceeds as appropriate, in accordance with its obligations under clause 5 (Use of Grant Proceeds by the Delivery Partner) of Framework Readiness and Preparatory Support Grant Agreement between Green Climate Fund (GCF) and UN Environment. Requests for disbursements will be made on an annual basis in line with Clause 4.02a of the Framework Readiness Agreement</p> <p>Disbursement schedule will follow the indicative yearly budget provided in the table above and in accordance with the procurement plan (costed logframe) presented in Annex I.</p> |
| Additional information |
| <p>This box provides an opportunity to include further explanations related to the budget, procurement plan and disbursement schedule, including any details on the assumptions to justify costs presented in the budget.</p> <p>All travel within the proposal will be managed under the UN travel policy.</p> |





SECTION 6: IMPLEMENTATION ARRANGEMENTS AND OTHER INFORMATION

Please attach an “implementation map” or describe how funds will be managed by the NDA/FP or delivery partner

If the entity implementing the readiness support is not an accredited entity of the GCF, please complete the Financial Management Capacity Assessment (FMCA) questionnaire (URL) and submit it with this proposal.

As the selected Delivery Partner for this project, UN Environment will manage the funds for the activities under this readiness grant. UN Environment will agree on a plan with the Ministry of Environment and Natural Resources to monitor the implementation of the activities using the grant proceeds. However, UN Environment will be responsible for the implementation of the activities under this readiness and preparatory support proposal.

UN Environment’s operating policies and procedures will follow the UN Environment’s programme manual. As the DP for this project, UN Environment through its regional office for Latin America and the Caribbean will be responsible for overseeing the implementation and evaluation of the project in coordination with the Project Steering Committee (PSC) and the Project Management Unit (PMU), including inter alia M&E reports, a Mid-term Review and a Terminal Evaluation. A UN Environment Programme Officer (PO) will be responsible for project supervision to ensure consistency with GCF and UN Environment policies and procedures. The PO will formally participate in the following: a) Annual Project Steering Committee (PSC) meetings; b) facilitating the the mid-term and final evaluations; c) the clearance of periodic Progress Reports and Project Implementation Reviews; and d) the technical review of project deliverables e) providing input to periodic readiness portfolio reporting to GCF; f) preparing requests for disbursements, etc.

The Project Steering Committee will be composed by the Ministry of Environment; the Ministry of Economy, Planning and Development; the Ministry of Finance and the Ministry of Treasury. The PSC will have a decision making capacity and will primarily serve to provide project oversight and advisory support, including: a) overseeing project implementation; and b) reviewing annual budget and workplans. The PSC will meet at least twice a year (once per semester) – with *ad hoc* meetings held as and when necessary to deal with emerging issues – to discuss the project’s main performance indicators and provide strategic guidance. A representative of UN Environment will also sit in the PSC.

In addition, there will be an Advisory Committee composed by the CNCCMDL; the Ministry of Foreign Affairs; the National Emergency Commission, dealing with disaster risk management; the Ministry of Women; and the Dominican Federation of Municipalities to provide strategic advice to the Project Steering Committee. The Advisory Committee will meet at least twice a year (once per semester), with *ad hoc* meetings held as and when necessary to deal with emerging issues.

In addition to this, a Technical Committee will be constituted under the chairmanship of the Ministry of Environment to provide technical guidance and ensure ownership, communication and reporting on the NAP process with national adaptation communities. The Technical Committee will be composed by the adaptation focal points of the following institutions: the CNCCMDL, the Ministries of Economy, Planning and Development; Finance; Treasury; Foreign Affairs; Agriculture; Public Works and Communication; Energy and Mines; Industry and Commerce; Tourism; Education, Science and Technology; and Women; the National Office for Statistics, the National Office for Meteorology; the National Commission on Emergencies; the National Institute on Housing; the National Institute on Water Resources; the Dominican Association of Municipalities²⁶; the National Coalition for Resilient Cities; the Council on Municipal Development (CSO); the National Council of Private Enterprises; the National Network for Private Support to Environmental Protection (ECORED by its initials in Spanish); the Dominican Association of Agro Businesses; the Dominican Environmental Consortium (NGO), a national and a local NGO on a rotatory basis; the Environmental Network of Dominican Universities (RAUDO by its initials in Spanish); and the National Council for Agricultural, Livestock and Forestry Research. In addition, the coordinators of the GCF readiness and the CBIT projects will also be members of this committee. The Technical Committee will also punctually invite other stakeholders to provide specific technical support. The Technical Committee will meet at least twice a year (once per semester), with *ad hoc* meetings held as and when necessary to deal with emerging issues.

A Project Management Unit will be established for day to day management of the project. Based in the Ministry of Environment and Natural Resources, the PMU will consist of a national Project Coordinator (PC) and a Finance and Administrative Officer. The PMU will coordinate activities between the project’s DPex and various partners to oversee the implementation of the project’s activities and reports. Approval of any changes to the project’s targets, activities or timelines will be done by the GCF.

²⁶ The Liga Municipal Dominicana originally in Spanish.

UN Environment through its Regional Office for Latin America and the Caribbean (ROLAC) will provide the overall oversight functions of this project and provide necessary services to the Government of the Dominican Republic in an expedited manner. In particular, in consultation of the NAP coordinating body, UN Environment will monitor project activities to ensure that the Grant proceeds are used for their intended purposes as listed in the project document, including providing technical advisory services to the coordinating body and other parts of the project execution team.

Figure 3 illustrates the institutional arrangements.

Figure 3. Project institutional structure



Table 1 provides more details on the participation of different stakeholders.

Table 1. Participation of stakeholders.

| Name of key stakeholders | Responsibility/expertise/ involvement |
|-------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Ministry of Environment and Natural Resources | It is the institution responsible for the implementation of international environment treaties to which the Dominican Republic is a Part (including UNFCCC). It is the country's focal point for the GCF, the GEF, the Adaptation Fund, and REDD+ mechanism, among others. The Directorate for Climate Change in this Ministry is in charge of implementing all climate change-related initiatives and projects. In this line, it will chair the PSC, the Advisory Committee and the Technical Committee, and participate in all the activities of the project. |
| National Council for Climate Change and the Clean Development Mechanism | It is responsible for providing inputs to National Communications; Biennial Update Reports; and National Inventory Reports. It will be part of the Advisory Committee and the Technical Committee. It will participate in outputs 1.1, 1.2, 2.1, 2.2, 2.3, 3.1, 3.2, 4.1, 4.2 and 5.1. |
| Ministry of Economy, Planning and Development | It is responsible for national planning. It will part of the PSC and the Technical Committee. It will participate in outputs 1.1, 1.2 (particularly 1.2.1 and 1.2.5), 2.1, 2.2, 2.3, 3.1, 3.2, 4.1, 4.2 and 5.1. |





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| Ministry of Finance and Ministry of Treasure | They will be part of the PSC and the Technical Committee. They will participate in outputs 1.1, 1.2 (particularly 1.2.1 and 1.2.5), 2.1, 2.2, 2.3, 3.1, 3.2, 4.1, 4.2 and 5.1. |
| Ministry of Foreign Affairs | It is responsible for the country's international relationships. In coordination with the Ministry of Environment and Natural Resources, it is in charge of following up multilateral environmental agreements, including the UNFCCC. It will participate in outputs 1.1, 1.2 (particularly 1.2.1 and 1.2.5), 2.1, 2.2, 2.3, 4.1, 4.2 and 5.1. |
| National Emergency Commission and Ministry of Women | They will be part of the Advisory Committee and the Technical Committee. It will participate in outputs 1.1, 1.2 (particularly 1.2.1 and 1.2.5), 2.1, 2.2, 2.3, 3.1, 3.2, 4.1, 4.2 and 5.1. |
| Sectoral Ministries and agencies | The adaptation focal points of the ministries of Agriculture; Public Works and Communications; Energy and Mines; Industry and Commerce; Tourism; Public Health and Social Assistance; and Education, Science and Technology will be part of the Technical Committee. The adaptation focal points of the National Office for Statistics, the National Office for Meteorology; the National Institute on Housing; the National Institute on Water Resources will also be part of the Technical Committee. They will participate in outputs 1.1, 1.2 (particularly 1.2.1 and 1.2.5), 2.1, 2.2, 2.3, 3.2, 4.1, 4.2 and 5.1. The National Office for Meteorology will be particularly involved in 2.1.1, 4.2.4 and 4.2.5, while the Ministry of Education, Science and Technology will be particularly involved in 4.2.6. |
| Dominican Federation of Municipalities, the National Coalition for Resilient Cities, the Council on Municipal Development (CSO), and regional and local governments | According to the Law on Municipalities, local governments have responsibilities regarding land use plans and environmental management. The Dominican Federation of Municipalities will be part of the Advisory Committee. The National Coalition for Resilient Cities and the Council on Municipal Development (CSO) will participate in the Technical Committee. These institutions and local governments will participate in outputs 1.1, 1.2 (particularly in activity 1.2.1, 1.2.2 and 1.2.5), 2.1, 2.2, 2.3, 3.1, 3.2, 4.1, 4.2, 5.1. |
| Civil society | The Dominican Environmental Consortium (NGO), a national and a local NGO on a rotatory basis; the Environmental Network of Dominican Universities (RAUDO by its initials in Spanish); and the National Council for Agricultural, Livestock and Forestry Research will participate in the Technical Committee. They will participate in outputs 1.1, 1.2 (particularly in activities 1.2.1, 1.2.3 and 1.2.5), 2.1, 2.2, 2.3, 3.1 and 5.1. RAUDO and the National Council for Agricultural, Livestock and Forestry Research will be particularly involved in activity 4.2.6. |
| Private sector | The National Council of Private Enterprises; the National Network for Private Support to Environmental Protection (ECORED by its initials in Spanish) and the Dominican Association of Agro Businesses will participate in the Technical Committee. They will participate in outputs 1.1, 1.2 (particularly activity 1.2.1, 1.2.4 and 1.2.5), 2.1, 2.2, 2.3, 3.1 and 5.1. |

As noted in section 1.9, this project has been designed with the GCF Readiness, GEF BUR and CBIT projects in mind, avoiding duplications and exploiting complementarities. At this regard, as the other projects, this project will be closely overseen by the Ministry of Environment, the country's NDA for the GCF and the GEF, and which has to endorse all proposals. In particular, the project will involve the close supervision of the Directorate of Climate Change, including the Director and the whole team. As noted, the PSC includes the Ministry of Economy, Planning and Development, which oversees all planning processes in the country, and the Ministry of Treasury in charge of budget oversight in the country. Reflecting these efforts for a fruitful integration of the four projects, the BUR and the CBIT will focus on mitigation and this project will only develop concept notes with a territorial approach, which will be mentioned in the Country Work Programme developed under the GCF readiness project,



but not formulated through that grant, which will favour a sectoral approach regarding the development of concept notes. Furthermore, the coordinators of each of the projects will participate in the Technical Committees of the other projects.

Other relevant information

This box provides an opportunity to include any important information you wish to bring to the attention of the GCF Secretariat, but did not have an opportunity to provide in the sections above.

Value added for GCF involvement

The Marrakech Accord in 2001 provided LDCs an opportunity to access funding from LDCF for NAPA preparation and implementation. Nepal prepared its NAPA in 2008 with seed funding from the LDCF. This funding provided the Dominican Republic to expedite NAPA preparation, build country capacity and engage stakeholders.

Parties to the UNFCCC decided to request the GCF to provide funds for NAP formulation and implementation, as the operational entity to the UNFCCC and Paris agreement and a major funding source for the LDCs to implement future dedicated adaptation options to protect people and ecosystem resources from the adverse impacts of climate change. In this light, the GCF Board Decision (B.13/09) invited "national designated authorities and focal points to collaborate with readiness delivery partners and accredited entities, as appropriate, in order to submit requests for support to formulate their respective national adaptation plans and/or other adaptation planning processes".

With this in perspective, the Government of the Dominican Republic has decided to prepare this request. While it developed a NAPA in 2008 and has made significant efforts since the in adaptation planning and implementation, the Dominican Republic urgently needs to plan how to address its medium and long term adaptation needs. Unfortunately, the Dominican Republic doesn't have sufficient financial resources to carry out a NAP process in line with decisions 1/CP.16, 5/CP.17 and COPs' other decisions and Article 7 of the Paris Agreement. Hence, GCF resources are urgently needed and required to properly plan adaptation and protect people and ecosystems from the adverse impacts of climate change. Furthermore, the Dominican Republic would benefit from the experience of GCF in climate change adaptation.

Exit strategy

The financial sustainability of the project is ensured through (i) the inclusion of key activities in the national budget; and (ii) the mobilization of climate finance (GCF concept notes).

Every four years, the Dominican Republic draws up a National Multiannual Plan for the Public Sector. This Plan establishes the priorities to be addressed by the different governmental entities, in coherence with the National Development Strategy 2030 (approved as Law). This multiannual plan is accompanied by annual budgets (General State Budget Law).

In this context, the Ministry of Environment and Natural Resources will:

- Include the "Promotion and Establishment of Adaptation Measures to Climate Change" in its annual budgets to allow the continuity of the activities initiated by this project.



- Provide advice to public institutions linked to the National Adaptation Plan to include in their planning and budgets climate change adaptation activities that have been identified under the NAP.
- Support public institutions linked to the National Adaptation Plan in access to climate finance, as the designated National Authority to the Green Climate Fund and focal point to other climate change funds.

In particular, these mechanisms will ensure:

- The revision and updating of the National Adaptation Plan, including the updating of scenarios and other climate information every two years.
- The continuous process of monitoring the adaptation trajectories, including the monitoring of the indicators and the continuous improvement of the system.
- Continuous training of public institutions linked to the NAPA funding strategy.

In financial terms, not only the project will estimate the cost of implementing priority adaptation interventions in the medium and long-term, but it will identify, analyse and recommend policy options for scaling up financing for adaptation, including through public-private partnerships, and, as noted above, develop a financial strategy. Moreover, in order to secure initial funds, as mentioned above, the project will develop GCF concept notes.

The project's exit strategy is based on building capacity at institutional, legal, policy, planning, technical, financial and governance levels for planning and implementing climate change adaptation in a sustainable way. In this sense, this proposal provides holistic support to ensure the existence of an adequate enabling environment for climate change adaptation well beyond the duration of the project so that medium and long-term adaptation needs are consistently and effectively addressed over time.

Institutionally, the project will strengthen the climate change adaptation planning and management framework and the institutional links between climate change institutions and the institutions responsible of other cross-cutting issues, and create an Integrated National Climate Change Planning and Control System. Importantly, the PMU will coordinate this project as part of the country's climate change portfolio, ensuring lessons learned from this project are used in others, and vice versa.

In legal, policy and planning terms, the project will set the ground for mainstreaming adaptation. Not only it will result in the development and approval of key legal instruments, such as the Law on Climate Change and a legal instrument to integrate climate change adaptation and disaster risk management in the life cycle of public and private infrastructure, but it will also involve the development of critical policies, such as the National Adaptation Plan and the Adaptation Finance Strategy. Furthermore, the project will develop adaptation plans at the regional and local levels. Moreover, crucially, the project will involve the development of GCF concept notes based on the plans ensuring the implementation of their key priorities.

In technical terms, the project will raise awareness and provide training, as well as produce methodological guides for government staff in different sectors, including meteorological offices; at different levels of responsibility (from the international negotiation team to administrative staff, via policy and decision makers and project managers); and at national, regional and municipal levels.

In terms of governance, the project will contribute to increase the involvement of the civil society, the academia and the private sector. Workshops will be organized to raise the awareness of these stakeholders, but also to get their inputs and ensure full ownership of adaptation planning and implementation. Indeed, these two types of workshops will take place throughout the project, that is, while preparing the development of a NAP document and its revision (through awareness raising workshops), during its development (through consultation workshops) and during its monitoring and review (through consultation workshops). The involvement of the civil society, the academia and the private sector in the implementation of plans will be crucially considered in their development and in the preparation of GCF concept notes.

Importantly, the project will create an M&E framework, build capacity to conduct M&E activities and review climate change adaptation planning based on strategic information. In this sense, the project will not only produce information and generate knowledge for one single moment in time, but will boost scientific, technological and social research, and will establish mechanisms to learn from the process of preparing, developing and implementing the NAP. Indeed, the project will develop and institutionalize a strategy to review the NAP every two years in parallel to the development of the biannual reports to the UNFCCC.

All these elements re-enforce each other, in the sense that stronger institutional arrangements and increased technical capacity will help implement laws, policies and plans, and that a robust M&E dynamic will inform decision-making, further engaging governmental stakeholders at local level and non-governmental stakeholders at all levels.



Importantly, the project supports existing efforts, which are considerable, building on what has already been built and what is now being done, such as through the GCF readiness project. This allows the project to focus on filling in strategic gaps so that the efforts already made can be capitalized to ensure sustained climate change adaptation planning and implementation in the medium and long term. At this regard, it is worth noting that the Dominican Republic has a crucial commitment with resilience to climate change, as demonstrated in its Constitution and its long-term development plan, and that the Government of the country is totally committed to make the outcomes of this NAP process sustainable.

Potential for knowledge and learning

The project has a strong knowledge and learning content. While it will support the generation of knowledge for the development of a NAP document, the project will generate knowledge in a sustained way, in a continuous process, so that adaptation planning can be reviewed over time when necessary based on robust information and detailed analysis. In this sense, the project will boost scientific, technological and social research and will establish mechanisms, including a M&E framework, to learn from the process of preparing, developing and implementing the NAP. Knowledge and learning will be two ways. The Dominican Republic will learn from other countries not only by online research and exchange, but also by personal face-to-face interaction through country visits, which allow understanding the context and meeting with stakeholders from different sectors, in a direct and interactive way, and are efficient complement to information platforms and videoconferences. In turn, the Dominican Republic will share its lessons with other relevant stakeholders in these interaction opportunities and through the production of annual and biannual reports for the UNFCCC, which will include a section on lessons learned. These lessons will also be shared in adaptation communities, such as the NAPs Community of Practice of UN Environment-REGATTA and the South-South Cooperation Forum and Platform, among others. Importantly, updated knowledge and lessons learned from domestic processes and international exchange will be used to revise adaptation planning and implementation. To that end, the project will establish a system to revise this every two years.

Project Management Costs

As noted above, a Project Management Unit (PMU) will be established for the day to day management of the project. Based in the Ministry of Environment and Natural Resources, the PMU will consist of a national Project Coordinator (PC) and a Finance and Administrative Officer. For their operations, 2 computers and a printer will be purchased. In addition, the project management costs include resources for coordination and monitoring missions of the Project Coordinator (PC) and members of the Project Steering Committee (12 days per year for three years for the former and 6 days in the first year and 12 days in the second and third year for the latter). It has to be noted that the PMC have been prepared taking into account GCF's guideline that this cannot exceed 5%. The salaries of the PC and the Finance and Administrative Officer are competitive (similar to salaries for similar positions or consultancies in the country) and their level of effort enough to ensure an appropriate management of the project. It is worth noting that in order to follow the budget guidelines and at the same time ensure proper management, the project coordinator will conduct some technical facilitation activities that will be covered by the technical budget. The cost of equipment and DSA are all realistic in the specific context of the Dominican Republic.

SECTION 7: ARRANGEMENTS FOR MONITORING, REPORTING AND EVALUATION

Please provide project/programme specific institutional setting and implementation arrangements for monitoring and reporting and evaluation. Please indicate how the interim/mid-term and final evaluations will be organized, including the timing.



UN Environment will agree on a plan with the Ministry of Environment and Natural Resources to monitor the implementation of the activities using the grant proceeds. The activities included in the proposal pay significant attention to monitoring, reporting and evaluation of the process. The project will create an M&E framework and build capacity to conduct M&E activities. In this sense, the project will establish mechanisms to learn from the process of preparing, developing and implementing the NAP. Indeed, the project will develop and institutionalize a strategy to review the NAP every two years in parallel to the development of the biannual reports to the UNFCCC.

In accordance with the Framework Readiness and Preparatory Support Grant Agreement, Clauses 9.02 and 10.02, UN Environment shall provide reports as below:

- Portfolio report: due 30 July and 30 Jan of the year
- Final report (completion report) together with Portfolio Report: either 30 July or 30 Jan, whichever is closer to the completion date
- Preliminary financial report based on the unaudited financial statements: by 30 March
- A certified annual financial report based on the Audited financial statements: by 30 June

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| Outcome | GCF Output | Project Output | Activity | FT30 Class | Class of expenditure | Explanation of cost | | Cost | | | | |
|---------|------------|------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|---------------------------------|----------------------------------------------------------------------|---------------------------------------------------|-------|---------------|---------------|---------------|----------------|
| | | | | | | Type of input | Unitary Cost (USD/day; USD/flight/trip; USD/year) | Units | Y1 | Y2 | Y3 | Total |
| | | participatory processes for public and private sectors at national and local levels, NGOs, CSOs and local communities on the NAP process established | the existing participatory platforms in the formulation and implementation of the NAP | 160 | Travel | Travel International Consultant / Flight (2) | 1,200 | 1 | 1,200 | 0 | 0 | 1,200 |
| | | | | 160 | Travel | Travel International Consultant / DSA Santo Domingo (2) | 259 | 5 | 1,295 | 0 | 0 | 1,295 |
| | | | | 10 | Staff and other personnel costs | National Consultant / Coordination Support / Fees | 350 | 51 | 5,950 | 5,950 | 5,950 | 17,850 |
| | | | | | Travel | Travel / Coordination support / Road trips (USD/ day) | 95 | 51 | 1,615 | 1,615 | 1,615 | 4,845 |
| | | | | 120 | Contractual services | Large validation workshop | 6,250 | 1 | 6,250 | 0 | 0 | 6,250 |
| | | | | | | | | | 29,310 | 7,565 | 7,565 | 44,440 |
| | | | | 10 | Staff and other personnel costs | International Consultant / Climate Change Planning Expert / Fees (3) | 650 | 20 | 13,000 | 0 | 0 | 13,000 |
| | | | | 160 | Travel | Travel International Consultant / Flight (3) | 1,200 | 2 | 2,400 | 0 | 0 | 2,400 |
| | | | | 160 | Travel | Travel International Consultant / DSA Santo Domingo (3) | 259 | 7 | 1,813 | 0 | 0 | 1,813 |
| | | | 1.2.2 Provide orientation and training on climate change adaptation for policy and decision makers and implementers from relevant institutions at national and local levels | 10 | Staff and other personnel costs | National Consultant / Climate Change Planning Expert / Fees (4) | 350 | 29 | 7,000 | 2,100 | 1050 | 10,150 |
| | | | | 10 | Staff and other personnel costs | National Consultant / Coordination Support / Fees | 350 | 75 | 8,750 | 8,750 | 8,750 | 26,250 |
| | | | | | Travel | Travel / Coordination support / Road trips (USD/ day) | 95 | 75 | 2,375 | 2,375 | 2,375 | 7,125 |
| | | | | 120 | Contractual services | Large validation workshop (two every 9 months) (2 SD, 6 elsewhere) | 6,250 | 8 | 12,500 | 25,000 | 12,500 | 50,000 |
| | | | | | | | | | 47,838 | 38,225 | 24,675 | 110,738 |



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| Outcome | GCF Output | Project Output | Activity | FT30 Class | Class of expenditure | Type of Input | Explanation of cost | | | | Cost | | |
|---------|------------|----------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------|---------------------------------|----------------------------------------------------------------------|---------------------|---------------|---------------|---------------|----------|----------|----------------|
| | | | | | | | Units | Y1 | Y2 | Y3 | Y1 | Y2 | Y3 |
| | | | | 10 | Staff and other personnel costs | International Consultant / Climate Change Planning Expert / Fees (3) | 5 | 3,250 | 0 | 0 | 0 | 0 | 3,250 |
| | | | | 10 | Staff and other personnel costs | National Consultant / Climate Change Planning Expert / Fees (3) | 18 | 3,250 | 2,000 | 1,100 | 0 | 0 | 6,350 |
| | | | 1.2.3 Develop awareness raising campaigns at national and local levels for the Council for Municipal Planning, NGOs, CSOs and local communities | 10 | Staff and other personnel costs | National Consultant / Communication expert / Fees (5) | 40 | 14,000 | 0 | 0 | 0 | 0 | 14,000 |
| | | | | 10 | Staff and other personnel costs | National Consultant / Coordination Support / Fees | 90 | 10,500 | 10,500 | 10,500 | 0 | 0 | 31,500 |
| | | | | | Travel | Travel / Coordination support / Road trips (USD/day) | 90 | 2,850 | 2,850 | 2,850 | 0 | 0 | 8,550 |
| | | | | 160 | Travel | Travel national / Car (6) | 16 | 600 | 1,200 | 600 | 0 | 0 | 2,400 |
| | | | | 160 | Travel | Travel / DSA outside Santo Domingo (6) | 16 | 600 | 1,200 | 600 | 0 | 0 | 2,400 |
| | | | | 120 | Contractual services | Large validation workshops (one per region every 9 months) | 20 | 31,250 | 62,500 | 31,250 | 0 | 0 | 125,000 |
| | | | | | | | | 66,300 | 80,250 | 46,900 | 0 | 0 | 193,450 |
| | | | | 10 | Staff and other personnel costs | International Consultant / Climate Change Planning Expert / Fees (4) | 5 | 3,250 | 0 | 0 | 0 | 0 | 3,250 |
| | | | 1.2.4 In collaboration with business organisations, develop awareness raising campaigns at national and local levels for the private sector | 10 | Staff and other personnel costs | National Consultant / Climate Change Planning Expert / Fees (6) | 24 | 4,200 | 3,150 | 1,050 | 0 | 0 | 8,400 |
| | | | | 10 | Staff and other personnel costs | National Consultant / Communication expert / Fees (6) | 10 | 3,500 | 0 | 0 | 0 | 0 | 3,500 |
| | | | | 10 | Staff and other personnel costs | National Consultant / Coordination Support / Fees | 93 | 10,850 | 10,850 | 10,850 | 0 | 0 | 32,550 |

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| Outcome | GCF Output | Project Output | Activity | FT30 Class | Class of expenditure | Type of Input | Explanation of cost | | | Cost | | |
|---------|------------|----------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|---------------------------------|----------------------------------------------------------------------|------------------------------------------------------------|----------------|----------------|----------------|----------------|--|
| | | | | | | | Units | Y1 | Y2 | Y3 | Total | |
| | | | | | | | Unitary Cost (USD/day; USD/flight/trip; USD/year) | Y1 | Y2 | Y3 | Total | |
| | | | | | Travel | Travel / Coordination support / Road trips (USD/day) | 95 | 2,945 | 2,945 | 2,945 | 8,835 | |
| | | | | 120 | Contractual services | Large validation workshop (one per region every 9 months) | 6,250 | 31,250 | 62,500 | 31,250 | 125,000 | |
| | | | | | | | | 55,995 | 79,445 | 46,095 | 181,535 | |
| | | | 1.2.5 Develop and maintain a digital platform for public participation in the process | 10 | Staff and other personnel costs | National Web Developer and Manager (development and maintenance) (7) | 350 | 4,550 | 2,100 | 2,100 | 8,750 | |
| | | | | | | | | 4,550 | 2,100 | 2,100 | 8,750 | |
| | | | | | | | | 281,206 | 207,585 | 127,335 | 616,126 | |
| | | | 2.1.1 Develop temperature and precipitation scenarios for the period 2020-2040 and sea level rise scenarios for the periods 2020-2040, 2041-2060, 2061-2080, providing details at sub-national level (8) | 10 | Staff and other personnel costs | International Consultant / Climate Change Scenarios Expert / Fees | 650 | 58,500 | 0 | 0 | 58,500 | |
| | | | | 160 | Travel | Travel/International Consultant / Flight | 1,200 | 4,800 | 0 | 0 | 4,800 | |
| | | | | 160 | Travel | Travel/International Consultant / DSA Santo Domingo | 259 | 2,590 | 0 | 0 | 2,590 | |
| | | | Output 2.1: A knowledge-base on climate change and development inter-linkages established. | | | | | | | | | |
| | | | 2. Preparatory elements for the NAP in place to develop a knowledge-base and formulate a NAP | 10 | Staff and other personnel costs | National Consultant / Climate Change Scenarios Expert / Fees | 350 | 21,000 | 0 | 0 | 21,000 | |
| | | | | 120 | Contractual services | Small training workshop | 2,500 | 2,500 | 0 | 0 | 2,500 | |
| | | | | | | | | 89,390 | 0 | 0 | 89,390 | |
| | | | 2.1.2 Analyse future socio-economic scenarios taking into account the latest studies and development planning (e.g. those developed as part of the preparation of the Third National Communication) | 10 | Staff and other personnel costs | International Consultant / Socio-economic Planning Expert / Fees | 650 | 9,750 | 0 | 0 | 9,750 | |
| | | | | 160 | Travel | Travel/International Consultant / Flight | 1,200 | 2,400 | 0 | 0 | 2,400 | |
| | | | | 160 | Travel | Travel/International Consultant / DSA Santo Domingo | 259 | 1,554 | 0 | 0 | 1,554 | |

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| Outcome | GCF Output | Project Output | Activity | FT30 Class | Class of expenditure | Type of input | Explanation of cost | | | Cost | | |
|---------|------------|----------------|----------|------------|---------------------------------|------------------------------------------------------------------|---------------------|---------------|---------------|----------|---------------|--|
| | | | | | | | Units | Y1 | Y2 | Y3 | Total | |
| | | | | 10 | Staff and other personnel costs | National Consultant / Economic Planning Expert / Fees | 350 | 10,500 | 0 | 0 | 10,500 | |
| | | | | 10 | Staff and other personnel costs | National Consultant / Socio-demographic Planning Expert / Fees | 350 | 10,500 | 0 | 0 | 10,500 | |
| | | | | 120 | Contractual services | Small validation workshop | 2,500 | 2,500 | 0 | 0 | 2,500 | |
| | | | | | | | | 37,204 | 0 | 0 | 37,204 | |
| | | | | 10 | Staff and other personnel costs | International Consultant / Adaptation Planning Expert / Fees (9) | 650 | 13,000 | 6,500 | 0 | 19,500 | |
| | | | | 160 | Travel | Travel International Consultant / Flight | 1,200 | 1,200 | 1,200 | 0 | 2,400 | |
| | | | | 160 | Travel | Travel International Consultant / DSA Santo Domingo | 259 | 1,295 | 777 | 0 | 2,072 | |
| | | | | 10 | Staff and other personnel costs | National Consultant / Adaptation Planning Expert / Fees | 350 | 8,750 | 8,750 | 0 | 17,500 | |
| | | | | 160 | Travel | Travel national / Car | 150 | 600 | 0 | 0 | 600 | |
| | | | | 160 | Travel | Travel / DSA outside Santo Domingo | 150 | 1,200 | 0 | 0 | 1,200 | |
| | | | | 120 | Contractual services | Small validation workshop | 2,500 | 0 | 2,500 | 0 | 2,500 | |
| | | | | 120 | Contractual services | Large validation workshops (1 in each of planning regions) | 6,250 | 0 | 31,250 | 0 | 31,250 | |
| | | | | | | | | 26,045 | 50,977 | 0 | 77,022 | |
| | | | | 10 | Staff and other personnel costs | International Consultant / Adaptation Planning Expert / Fees | 650 | 13,000 | 0 | 0 | 13,000 | |
| | | | | 160 | Travel | Travel International Consultant / Flight | 1,200 | 2,400 | 0 | 0 | 2,400 | |



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| Outcome | GCF Output | Project Output | Activity | FT30 Class | Class of expenditure | Explanation of cost | | Cost | | | | | | |
|---------|------------|----------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|---------------------------------|-----------------------------------------------------------------------------|----------------------------------------------------------------------------|-------|---------------|----------|----------|----------|---------------|---------|
| | | | | | | Type of input | Unitary Cost (USD/day; USD/flight/trip; USD/year) | Units | Y1 | Y2 | Y3 | Total | | |
| | | | environmental sustainability, disaster risk management, gender equity and land use and territorial cohesion, including conceptual, legal, policy, institutional, vulnerability and strategic aspects, identifying entry points for proper two-directional integration. | 160 | Travel | Travel International Consultant / DSA Santo Domingo | 259 | 7 | 1,813 | 0 | 0 | 0 | 1,813 | |
| | | | | 10 | Staff and other personnel costs | National Consultant / Adaptation and Sustainable Development Expert / Fees | 350 | 40 | 14,000 | 0 | 0 | 0 | 14,000 | |
| | | | | 10 | Staff and other personnel costs | National Consultant / Adaptation and Disaster Risk Management Expert / Fees | 350 | 40 | 14,000 | 0 | 0 | 0 | 14,000 | |
| | | | | 10 | Staff and other personnel costs | National Consultant / Adaptation and Gender Expert / Fees | 350 | 40 | 14,000 | 0 | 0 | 0 | 14,000 | |
| | | | | 10 | Staff and other personnel costs | National Consultant / Adaptation and Land use planning Expert / Fees | 350 | 40 | 14,000 | 0 | 0 | 0 | 14,000 | |
| | | | | 120 | Contractual services | Large validation workshop | 6,250 | 1 | 6,250 | 0 | 0 | 0 | 6,250 | |
| | | | | | | | | | 79,463 | 0 | 0 | 0 | 79,463 | |
| | | | | | 10 | Staff and other personnel costs | International Consultant / Adaptation Planning Expert / Team Leader / Fees | 800 | 60 | 48,000 | 0 | 0 | 0 | 48,000 |
| | | | | | 10 | Staff and other personnel costs | International Consultant / Adaptation Planning Expert / Fees | 650 | 210 | 136,500 | 0 | 0 | 0 | 136,500 |
| | | | | | 160 | Travel | Travel International Consultant / Flight | 1,200 | 8 | 9,600 | 0 | 0 | 0 | 9,600 |
| | | | | 160 | Travel | Travel International Consultant / DSA Santo Domingo | 259 | 40 | 10,360 | 0 | 0 | 0 | 10,360 | |
| | | | | 10 | Staff and other personnel costs | National Consultant / Adaptation Planning Expert / Fees | 350 | 210 | 73,500 | 0 | 0 | 0 | 73,500 | |
| | | | | 160 | Travel | Travel National / Car | 150 | 15 | 2,250 | 0 | 0 | 0 | 2,250 | |

Output 2.2: A process for analysis and appraisal of adaptation options is established, based on lessons learned from the Dominican Republic and other countries

2.2.1 Review and appraise adaptation options, including economic, environmental and social costs and benefits, considering potential unintended effects (and correctly valuing ecosystem services)

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| Outcome | GCF Output | Project Output | Activity | FT30 Class | Class of expenditure | Type of input | Explanation of cost Unitary Cost (USD/day; USD/flight/trip; USD/year) | Units | Cost | | | |
|---------|------------|----------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|---------------------------------|--------------------------------------------------------------|-----------------------------------------------------------------------------------|-------|----------------|----------|----------|----------------|
| | | | | | | | | | Y1 | Y2 | Y3 | Total |
| | | | | 160 | Travel | Travel National DSA Outside Santo Domingo | 150 | 56 | 8,400 | 0 | 0 | 8,400 |
| | | | | 120 | Contractual services | Large validation workshop | 6,250 | 4 | 25,000 | 0 | 0 | 25,000 |
| | | | | | | | | | 313,610 | 0 | 0 | 313,610 |
| | | | 2.2.2 Assess the effectiveness of past adaptation interventions to learn lessons on adaptation planning and implementation | 10 | Staff and other personnel costs | International Consultant / Adaptation Planning Expert / Fees | 650 | 10 | 6,500 | 0 | 0 | 6,500 |
| | | | | 10 | Staff and other personnel costs | National Consultant / Adaptation Planning Expert / Fees | 350 | 40 | 14,000 | 0 | 0 | 14,000 |
| | | | | 120 | Contractual services | Small validation workshop | 2,500 | 1 | 2,500 | 0 | 0 | 2,500 |
| | | | | | | | | | 23,000 | 0 | 0 | 23,000 |
| | | | 2.2.3 Conduct exchange visits to 2 countries to learn lessons on adaptation planning and implementation during the preparation of the National Adaptation Plan | 160 | Travel | Travel National Decision-Makers / Flight (10) | 1,200 | 10 | 12,000 | 0 | 0 | 12,000 |
| | | | | 160 | Travel | Travel National Decision-Makers / DSA (11) | 225 | 50 | 11,250 | 0 | 0 | 11,250 |
| | | | | | | | | | 23,250 | 0 | 0 | 23,250 |
| | | | | 10 | Staff and other personnel costs | International Consultant / Adaptation Planning Expert / Fees | 650 | 90 | 0 | 58,500 | 0 | 58,500 |
| | | | | 160 | Travel | Travel International Consultant / Flight | 1,200 | 2 | 0 | 2,400 | 0 | 2,400 |
| | | | 2.3.1 Consolidate the National Adaptation Plan that explicitly presents the prioritized adaptation solution and establishes an implementation strategy | 160 | Travel | Travel International Consultant / DSA Santo Domingo | 259 | 8 | 0 | 2,072 | 0 | 2,072 |
| | | | | 10 | Staff and other personnel costs | National Consultant / Adaptation Planning Expert / Fees | 350 | 90 | 0 | 31,500 | 0 | 31,500 |
| | | | | 160 | Travel | Travel national / Car | 150 | 4 | 0 | 600 | 0 | 600 |
| | | | | 160 | Travel | Travel / DSA outside Santo Domingo | 150 | 8 | 0 | 1,200 | 0 | 1,200 |

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| Outcome | GCF Output | Project Output | Activity | FT30 Class | Class of expenditure | Type of input | Explanation of cost | | Cost | | | | | |
|---------|------------|----------------|----------|------------|---------------------------------|---------------------------------------------------------------------------------|---------------------|---------------------------------------------------|--------|----|----|-------|---------|---------|
| | | | | | | | Units | Unitary Cost (USD/day; USD/flight/trip; USD/year) | Y1 | Y2 | Y3 | Total | | |
| | | | | 120 | Contractual services | Large data collection and validation workshops | 5 | 6,250 | 0 | 0 | 0 | 0 | 31,250 | 31,250 |
| | | | | | | | | | 0 | 0 | 0 | 0 | 220,207 | 220,207 |
| | | | | 10 | Staff and other personnel costs | International Consultant / Adaptation Planning Expert / Team Leader / Fees (19) | 30 | 800 | 0 | 0 | 0 | 0 | 24,000 | 24,000 |
| | | | | 10 | Staff and other personnel costs | International Consultant / Adaptation Planning Expert / Fees (20) | 150 | 650 | 0 | 0 | 0 | 0 | 97,500 | 97,500 |
| | | | | 160 | Travel | Travel International Consultant / Flight | 12 | 1,200 | 0 | 0 | 0 | 0 | 14,400 | 14,400 |
| | | | | 160 | Travel | Travel International Consultant / DSA Santo Domingo (21) | 18 | 259 | 0 | 0 | 0 | 0 | 4,662 | 4,662 |
| | | | | 10 | Staff and other personnel costs | National Consultant / Adaptation Planning Expert / Fees (22) | 200 | 350 | 0 | 0 | 0 | 0 | 70,000 | 70,000 |
| | | | | 160 | Travel | Travel national / Car (23) | 16 | 200 | 0 | 0 | 0 | 0 | 3,200 | 3,200 |
| | | | | 160 | Travel | Travel National Consultant / DSA outside Santo Domingo (24) | 12 | 150 | 0 | 0 | 0 | 0 | 1,800 | 1,800 |
| | | | | 120 | Contractual services | Small validation workshop | 5 | 2,500 | 0 | 0 | 0 | 0 | 12,500 | 12,500 |
| | | | | 120 | Contractual services | Large data collection and validation workshops | 5 | 6,250 | 0 | 0 | 0 | 0 | 31,250 | 31,250 |
| | | | | | | | | | 0 | 0 | 0 | 0 | 259,312 | 259,312 |
| | | | | 10 | Staff and other personnel costs | International Consultant / Vulnerability and Adaptation Expert / Fees | 30 | 650 | 19,500 | 0 | 0 | 0 | 0 | 19,500 |
| | | | | 160 | Travel | Travel International Consultant / Flight | 2 | 1,200 | 2,400 | 0 | 0 | 0 | 0 | 2,400 |
| | | | | 160 | Travel | Travel International Consultant / DSA Santo Domingo | 6 | 259 | 1,554 | 0 | 0 | 0 | 0 | 1,554 |

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| Outcome | GCF Output | Project Output | Activity | FT30 Class | Class of expenditure | Explanation of cost | | Cost | | | | |
|---------|------------|-----------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|------------|---------------------------------|---------------------------------------------------------------------------------|---------------------------------------------------|-------|---------------|----------|---------------|---------------|
| | | | | | | Type of input | Unitary Cost (USD/day; USD/flight/trip; USD/year) | Units | Y1 | Y2 | Y3 | Total |
| | | regional and local levels are developed | | 10 | Staff and other personnel costs | National Consultant / Vulnerability and Adaptation Expert / Fees | 350 | 50 | 17,500 | 0 | 0 | 17,500 |
| | | | | 160 | Travel | Travel national / Car | 200 | 5 | 1,000 | 0 | 0 | 1,000 |
| | | | | 160 | Travel | Travel DSA outside Santo Domingo | 150 | 8 | 1,200 | 0 | 0 | 1,200 |
| | | | | 120 | Contractual services | Small validation workshops | 2,500 | 2 | 5,000 | 0 | 0 | 5,000 |
| | | | | | | | | | 48,154 | 0 | 0 | 48,154 |
| | | | | 10 | Staff and other personnel costs | International Consultant / Adaptation Planning and Implementation Expert / Fees | 650 | 20 | 0 | 0 | 13,000 | 13,000 |
| | | | | 160 | Travel | Travel International Consultant / Flight | 1,200 | 2 | 0 | 0 | 2,400 | 2,400 |
| | | | 3.2.2 Develop and disseminate methodological guides on climate change adaptation planning and implementation at regional and local levels | 160 | Travel | Travel International Consultant / DSA Santo Domingo | 259 | 7 | 0 | 0 | 1,813 | 1,813 |
| | | | | 10 | Staff and other personnel costs | National Consultant / Adaptation Planning and Implementation Expert / Fees | 350 | 30 | 0 | 0 | 10,500 | 10,500 |
| | | | | 120 | Contractual services | Large validation workshops | 6,250 | 2 | 0 | 0 | 12,500 | 12,500 |
| | | | | | | | | | 0 | 0 | 40,213 | 40,213 |
| | | | | 10 | Staff and other personnel costs | National Consultant / Adaptation Planning Expert / Fees | 350 | 30 | 8,750 | 0 | 1,750 | 10,500 |
| | | | | 120 | Contractual services | Small validation workshop | 2,500 | 1 | 2,500 | 0 | 0 | 2,500 |
| | | | | | | | | | 11,250 | 0 | 1,750 | 13,000 |



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| Outcome | GCF Output | Project Output | Activity | FT30 Class | Class of expenditure | Type of Input | Explanation of cost | | | Cost | | | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------------------|-----------------------------------------------------------------------|-------|--------|-------|--------|-------|--------|-------|
| | | | | | | | Units | Y1 | Y2 | Y3 | Total | | | | | |
| Outcome 4: National and Sectoral Planning Unit of the key Ministries are capable of reviewing, monitoring and communicating results of the NAP process | 4. Mechanisms for Reporting, Monitoring and Review of NAPs and adaptation progress in place | Output 4.1: A monitoring and reviewing system established for the NAP process. | 4.1.1 Develop a monitoring and review framework for the NAP, including indicators, baselines, targets at different moments in time, means of verification and sources of information at national, sectoral and local levels. | 10 | Staff and other personnel costs | International Consultant / Adaptation M&E Expert / Fees | 650 | 15 | 0 | 9,750 | 0 | 9,750 | | | | |
| | | | 160 | Travel | Travel / International Consultant / Flight | 1,200 | 2 | 0 | 2,400 | 0 | 2,400 | 0 | 2,400 | | | |
| | | | 160 | Travel | Travel / International Consultant / DSA Santo Domingo (33) | 259 | 6 | 0 | 1,554 | 0 | 1,554 | 0 | 1,554 | | | |
| | | | 10 | Staff and other personnel costs | National Consultant / Adaptation M&E Expert / Fees | 350 | 20 | 0 | 7,000 | 0 | 7,000 | 0 | 7,000 | | | |
| | | | 120 | Contractual services | Large validation workshops | 6,250 | 1 | 0 | 6,250 | 0 | 6,250 | 0 | 6,250 | | | |
| | | | | | | | | | | 0 | 26,954 | 0 | 26,954 | 0 | 26,954 | |
| | | | | | | 4.1.2 Support the creation of an Integrated National Climate Change Planning and Control System and the strengthening of the National System on Environmental and Climate Change Information | 10 | Staff and other personnel costs | International Consultant / Climate Change Planning Expert / Fees (34) | 650 | 15 | 9,750 | 0 | 9,750 | 0 | 9,750 |
| | | | | | | | 10 | Staff and other personnel costs | National Consultant / Climate Change Planning Expert / Fees (35) | 350 | 15 | 5,250 | 0 | 5,250 | 0 | 5,250 |
| | | | | | | | 120 | Contractual services | Small workshop | 2,500 | 1 | 2,500 | 0 | 2,500 | 0 | 2,500 |
| | | | | | | | | | | | 17,500 | 0 | 17,500 | 0 | 17,500 | |
| | | Output 4.2: Technical training of national and local government representatives and stakeholders to | 4.2.1 Develop guidelines and tools for technical staff of relevant government institutions on data collection, analysis and dissemination, including the documentation of | 10 | Staff and other personnel costs | International Consultant / Adaptation M&E Expert / Fees | 650 | 15 | 9,750 | 0 | 9,750 | 0 | 9,750 | | | |
| | | | | 10 | Staff and other personnel costs | National Consultant / Adaptation M&E Expert / Fees | 350 | 15 | 5,250 | 0 | 5,250 | 0 | 5,250 | | | |
| | | | | | | | | 0 | 15,000 | 0 | 15,000 | 0 | 15,000 | | | |



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| Outcome | GCF Output | Project Output | Activity | FT30 Class | Class of expenditure | Type of input | Explanation of cost | | Cost | | | | |
|---------|------------|----------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|---------------------------------|-------------------------------------------------------------------------------------|---------------------|----|---------------|---------------|----------|---------------|-------|
| | | | | | | | Units | Y1 | Y2 | Y3 | Total | | |
| | | | | 160 | Travel | Travel International Consultant / DSA Santo Domingo | 259 | 4 | 1,036 | 0 | 0 | 1,036 | |
| | | | | 120 | Contractual services | Small workshop | 2,500 | 1 | 2,500 | 0 | 0 | 2,500 | |
| | | | | | | | 16,886 | | 16,886 | 0 | 0 | 16,886 | |
| | | | 4.2.5 Strengthen the collaboration of all institutions dealing with meteorological data | 10 | Staff and other personnel costs | International Consultant / Climate Change Scenario Expert / Fees | 650 | 5 | 3,250 | 0 | 0 | 3,250 | |
| | | | | 160 | Travel | Travel International Consultant / DSA 1 | 259 | 2 | 518 | 0 | 0 | 518 | |
| | | | | | | | 3,768 | | 3,768 | 0 | 0 | 3,768 | |
| | | | 4.2.6 Together with the Ministry of Education, Science and Technology, identify research priorities on climate change adaptation and promote research on these topics through scaling-up the existing fund and setting cooperation frameworks with research institutions, including the Environmental Network of Dominican Universities. | | | National Consultant / Adaptation Expert / Fees | 350 | 30 | 0 | 10,500 | 0 | 10,500 | |
| | | | | 160 | Travel | Travel national / Car | 150 | 5 | 0 | 750 | 0 | 0 | 750 |
| | | | | 160 | Travel | Travel Consultant / DSA outside Santo Domingo | 150 | 4 | 0 | 600 | 0 | 0 | 600 |
| | | | | 120 | Contractual services | Large validation workshop | 6,250 | 1 | 0 | 6,250 | 0 | 0 | 6,250 |
| | | | | | | | | | 0 | 18,100 | 0 | 18,100 | |
| | | | 4.2.7 Conduct exchange visits to 2 countries to learn from them on adaptation planning and implementation during the implementation of NAP | 160 | Travel | Travel National Decision-Makers / Flight (5 people for each of the 2 trips) | 1,200 | 10 | 0 | 0 | 12,000 | 12,000 | |
| | | | | 160 | Travel | Travel National Decision-Makers / DSA (5 days for 5 people for each of the 2 trips) | 225 | 50 | 0 | 0 | 0 | 11,250 | |
| | | | | | | | | | 0 | 0 | 0 | 23,250 | |

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| Outcome | GCF Output | Project Output | Activity | FT30 Class | Class of expenditure | Explanation of cost | | | Cost | | | |
|---------|------------|----------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|---------------------------------|---------------------------------------------------------------|---------------------------------------------------|-------|------|--------|----|--------|
| | | | | | | Type of input | Unitary Cost (USD/day; USD/flight/hrip; USD/year) | Units | Y1 | Y2 | Y3 | Total |
| | | | adaptation interventions at national, sectoral and local levels | 160 | Travel | Travel International Consultant / DSA Santo Domingo | 259 | 4 | 0 | 1,036 | 0 | 1,036 |
| | | | | 10 | Staff and other personnel costs | National Consultant / Adaptation Financing Expert / Fees | 350 | 10 | 0 | 3,500 | 0 | 3,500 |
| | | | | 120 | Contractual services | Large validation workshop | 6,250 | 1 | 0 | 6,250 | 0 | 6,250 |
| | | | | | | | | | 0 | 24,986 | 0 | 24,986 |
| | | | | 10 | Staff and other personnel costs | International Consultant / Adaptation Financing Expert / Fees | 650 | 10 | 0 | 6,500 | 0 | 6,500 |
| | | | 5.1.3 Identify, analyse and recommend options for scaling up financing for adaptation, including through domestic public budgets, public-private partnerships and international cooperation | 160 | Travel | Travel International Consultant / Flight 1 | 1,200 | 1 | 0 | 1,200 | 0 | 1,200 |
| | | | | 160 | Travel | Travel International Consultant / DSA Santo Domingo | 259 | 4 | 0 | 1,036 | 0 | 1,036 |
| | | | | 10 | Staff and other personnel costs | National Consultant / Adaptation Financing Expert / Fees | 350 | 5 | 0 | 1,750 | 0 | 1,750 |
| | | | | 120 | Contractual services | Small validation workshop | 2,500 | 1 | 0 | 2,500 | 0 | 2,500 |
| | | | | 120 | Contractual services | Large validation workshop | 6,250 | 1 | 0 | 6,250 | 0 | 6,250 |
| | | | | | | | | | 0 | 19,236 | 0 | 19,236 |
| | | | | 10 | Staff and other personnel costs | International Consultant / Adaptation Financing Expert / Fees | 650 | 10 | 0 | 6,500 | 0 | 6,500 |
| | | | 5.1.4 Develop an adaptation finance strategy to access new identified sources of adaptation finance | 160 | Travel | Travel International Consultant / Flight | 1,200 | 1 | 0 | 1,200 | 0 | 1,200 |
| | | | | 160 | Travel | Travel International Consultant / DSA Santo Domingo | 259 | 3 | 0 | 777 | 0 | 777 |
| | | | | 10 | Staff and other personnel costs | National Consultant / Adaptation Financing Expert / Fees | 350 | 5 | 0 | 1,750 | 0 | 1,750 |



| Outcome | GCF Output | Project Output | Activity | FT30 Class | Class of expenditure | Explanation of cost | | | Cost | | | | | |
|---------|------------|----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|---------------------------------|----------------------------------------------------------------------------|---------------------------------------------------|-------|------|--------|----|---------|---|---------|
| | | | | | | Type of Input | Unitary Cost (USD/day; USD/flight/trip; USD/year) | Units | Y1 | Y2 | Y3 | Total | | |
| | | | | 120 | Contractual services | Large validation workshop | 6,250 | 1 | 0 | 6,250 | 0 | 6,250 | 0 | 6,250 |
| | | | 5.1.5 Explore the most convenient institutional arrangements to centrally and systematically manage all climate change-related funds and channelling them effectively across sectors and regions | 10 | Staff and other personnel costs | International Consultant / Adaptation Financing Expert / Fees | 650 | 20 | 0 | 13,000 | 0 | 13,000 | 0 | 13,000 |
| | | | | 10 | Staff and other personnel costs | National Consultant / Adaptation Financing Expert / Fees | 350 | 10 | 0 | 3,500 | 0 | 3,500 | 0 | 3,500 |
| | | | | | | | | | 0 | 16,477 | 0 | 16,477 | 0 | 16,477 |
| | | | | 10 | Staff and other personnel costs | International Consultant / Adaptation Financing Expert / Fees | 650 | 10 | 0 | 0 | 0 | 6,500 | 0 | 6,500 |
| | | | | 160 | Travel | Travel International Consultant / Flight | 1,200 | 1 | 0 | 0 | 0 | 1,200 | 0 | 1,200 |
| | | | 5.1.6 Develop training toolkits and provide training to strengthen the capacity of relevant government stakeholders (at all levels) to initiate the implementation of the adaptation finance strategy | 160 | Travel | Travel International Consultant / DSA Santo Domingo | 259 | 3 | 0 | 0 | 0 | 777 | 0 | 777 |
| | | | | 10 | Staff and other personnel costs | National Consultant / Adaptation Financing Expert / Fees | 350 | 10 | 0 | 0 | 0 | 3,500 | 0 | 3,500 |
| | | | | 120 | Contractual services | Small validation workshop | 2,500 | 1 | 0 | 0 | 0 | 2,500 | 0 | 2,500 |
| | | | | | | | | | 0 | 14,477 | 0 | 14,477 | 0 | 14,477 |
| | | | | 10 | Staff and other personnel costs | International Consultant / Adaptation Planning Expert / Team Leader / Fees | 800 | 30 | 0 | 0 | 0 | 24,000 | 0 | 24,000 |
| | | | | 10 | Staff and other personnel costs | International Consultant / Adaptation Planning Expert / Fees (25) | 650 | 175 | 0 | 0 | 0 | 113,750 | 0 | 113,750 |
| | | | | 160 | Travel | Travel International Consultant / Flight (26) | 1,200 | 11 | 0 | 0 | 0 | 13,200 | 0 | 13,200 |



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| Outcome | GCF Output | Project Output | Activity | FT30 Class | Class of expenditure | Explanation of cost | | | | Cost | | |
|---------|------------|----------------|----------------------------|------------|----------------------|----------------------------------------------------------|---------------------------------------------------|-------|-----------|---------|-----------|-----------|
| | | | | | | Type of input | Unitary Cost (USD/day; USD/flight/trip; USD/year) | Units | Y1 | Y2 | Y3 | Total |
| | | | | 160 | Travel | Travel DSA outside Santo Domingo Steering Committee (36) | 150 | 36 | 900 | 1,800 | 1,800 | 4,500 |
| | | | | | | | | | 40,700 | 38,600 | 38,600 | 117,900 |
| | | | Delivery Partner Fee (10%) | | | | | | 87,286 | 87,286 | 87,286 | 261,857 |
| | | | TOTAL | | | | | | 1,161,912 | 712,191 | 1,124,223 | 2,998,325 |

- (1) Consultant with more than 10 years of experience
- (2) The same international and national consultants (with expertise in climate change institutional arrangements) will conduct activities 1.2, 1.3 and 1.4 in order to be more cost-efficient. In this sense, the travel costs included in activity 1.2 include the travel for 1.3 and 1.4.
- (3) The international consultant would be the same for activities 1.5, 1.6 and 1.7. He/she will provide the training for 1.5, but will not conduct the training for activities 1.6 and 1.7, which will be conducted by national consultant. The role of the international consultant in activities 1.6 and 1.7 will be to provide technical backstopping on those trainings and the media campaigns.
- (4) The national consultant with experience at local level would be the same for activities 1.5, 1.6 and 1.7. Note that this consultant will conduct the workshops at local level alone.
- (5) The national communication expert would be the same for activities 1.6 and 1.7
- (6) 10 for initial design, 5 per training session, 1 to review before every training session
- (7) Five (5) days to develop it, and 0.5 days per month over three years to maintain it.
- (8) This will involve strengthening the capacity of the National Meteorological Office, including training and improving institutional arrangements. The inputs for and costs of these are considered for activities 4.7 and 4.8 respectively.
- (9) Note that the international adaptation expert for activities 2.2, 2.3, 2.4, 2.5, 2.6, 2.8 and 2.9 will be the same. Synergies on the missions have been ensured.
- (10) 5 people for each of the 2 trips
- (11) 5 days for 5 people for each of the 2 trips



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- (12) 5 general and 5 per region
- (13) 20 per consultant
- (14) team leader 1, the rest 2 each
- (15) 3 the one that is in charge of the region including Santo Domingo; 8 days the one who is charge of it; 3 days the team leader
- (16) 20 per consultant
- (17) 8 consultants 2 returns
- (18) 5 days 8 consultants
- (19) 5 general and 5 per city
- (20) 30 per city
- (21) 4 per consultant, plus 2 more one consultant TL 4
- (22) 20 per city
- (23) 4 cars 4 days
- (24) 3 nights in each of the cities outside
- (25) for the cities 20 days; for the other areas 25 days
- (26) for the cities 1, for the other areas 2
- (27) for the cities 3; for the other areas 4
- (28) for the cities 10 days; for the other areas 15 days
- (29) for the non-urban areas 3 days
- (30) for the non-urban areas 3 days
- (31) for the non-urban areas 1 each
- (32) Full time – some coordination activities of a more technical nature are covered by the technical budget.
- (33) Full time
- (34) 2 computers, 1 printer
- (35) 12 days per year
- (36) 6 days on year 1, 12 days in years 2 and 3.



Annex II. Additional reasoning and documentation

Paradigm shift and replication potential

This project seeks to change the mindset and behaviour of the society of the Dominican Republic so that climate change adaptation becomes an integral part of any development plan, strategy or action. In this sense, NAP is considered a comprehensive process to mainstream adaptation into development planning and implementation across stakeholders, sectors and levels of government. Indeed, the NAP process aims not only to integrate climate change adaptation into relevant existing and new policies and programmes, but also to ensure that private investment, small and big, boost resilience, making it a norm rather than an afterthought in all climate-sensitive sectors. To that end, the project will facilitate the consistent integration of climate change adaptation in all decision-making processes, from national to municipal via regional and provincial development planning, ensuring the rules and incentives strengthen the capacity of the country to address medium and long term adaptation needs.

Contribution to the creation of an enabling environment

In line with the proposed paradigm shift, the project seeks to create an enable environment that facilitates climate change adaptation. As noted above, the project follows a comprehensive approach to achieve this, addressing the existing institutional, legal, policy, planning, technical, financial and governance barriers for planning and implementing climate change adaptation in a sustainable way. This integral approach will ensure that the regulatory framework is robust and strategic, that government institutions at all levels have the technical capacity, that public and private stakeholders of different sort have additional financial resources to complement their own adaptation investments and that all actors can learn from the experience through an effective monitoring and review mechanisms. With all these elements the enable environment will definitely lead to increased sustainable adaptive capacity.

Contribution to regulatory framework and policies

The project will fill in existing legal, policy and planning gaps for climate change adaptation. The NAP process will include the development and approval of key legal instruments, such as the Law on Climate Change, and an instrument to integrate climate change adaptation and disaster risk management in the life cycle of public and private infrastructure. Furthermore, the project will result in the formulation of critical policies, namely the National Adaptation Plan and the Adaptation Finance Strategy. Moreover, the project will develop adaptation plans at regional/provincial and municipal levels. In addition, the project entails the preparation of GCF concept notes to ensure the implementation of key adaptation priorities.

It is important to note that coherence will be a crucial principle. The laws and policies to be developed will be in line with existing ones. The Law on Climate Change will be aligned to the National Climate Change Policy, the END 2030 and the new PPSP, as well as to other laws and policies, as appropriate. Regional/provincial and municipal plans will be in tune with this overall regulatory framework, while GCF concept notes will be a logical development of these. In terms of processes, this project will be in harmony with other efforts, such as the GCF readiness process, among other projects.

Environmental, social and economic co-benefits, including gender-sensitive development impact

The NAP process in the Dominican Republic will have a comprehensive scope, integrating climate change adaptation in development policies, plans and actions across sectors. In this sense, the NAP process will help all sectors become climate-resilient and adaptation-responsive. In that process, the NAP process will contribute to make the most of development efforts, not only avoiding mal-adaptation, which makes these efforts unsustainable and can turn them in counterproductive actions, but also exploiting any potential opportunities, multiplying when possible socio-economic benefits. Importantly, the Dominican Republic NAP process will favour ecosystem-based adaptation, identifying and exploiting synergies between socio-economic benefits and environmental benefits in the medium and long term. While hard infrastructure options will also be considered, soft and ecosystem-based options will be favoured when appropriate.

In addition, the NAP process will accord high priority to inclusiveness. Following national policy documents, the NAP process will ensure that the needs of disadvantaged communities, indigenous and traditional groups, disables, women and youth are carefully considered and addressed. In this light, the project will fully involve these groups during the NAP process, ensuring their participation in all stages of the process. This general framework will ensure a gender-sensitive process, as well as gender-sensitive results in terms of the actions that are prioritized for implementation.

Vulnerability of country and beneficiary groups



Despite being a middle-income country, the Dominican Republic is extremely vulnerable to the impacts of climate change, given the development challenges that it still faces, its condition of small insular state, and its tropical location, which implies significant exposure to cyclonic activity. Economic losses related to big hurricanes and tropical storms have been estimated in USD 9,470 million, with smaller yet important events taking place regularly. The Global Climate Change Risk Index 2017 ranked the Dominican Republic as the 11th country in the world most affected by extreme weather events between 1996 and 2015 – it was 7th most affected country in the world in terms of annual average fatalities per 100,000 inhabitants in the same period²⁹.

Climate scenarios produced during the development of the Third National Communication to the UNFCCC suggest that climate change is likely to be considerable in the future. Minimum average near-surface temperatures are expected to increase between 2 and 3 degree Celsius between 2050 and 2070, while maximum average temperatures would increase between 1 and 3°C in the same period. Precipitation is expected to diminish up to 17 per cent in the same period, with higher variability implying both longer and more intense dry spells and droughts and heavier rains. The national vulnerability study conducted in 2013 found that 13 of the 31 provinces of the country, including the most populated ones, such as Santo Domingo, are highly or very highly vulnerable to the impacts of climate change³⁰.

As noted above, the objective of the NAP process in the Dominican Republic will be to create the enable environment for sustained climate change adaptation that increases the resilience of the Dominican society. In this sense, the NAP process will have a comprehensive scope, addressing the medium and long term adaptation needs of all sectors, groups and individuals. However, the NAP process will pay especial attention to the most vulnerable sectors and groups. In the Dominican Republic, the most vulnerable sectors to climate change are water for human consumption, energy (particularly, electricity supply), the National System of Protected Areas, human settlements, and tourism. Regarding social groups, the poor tend to be the most vulnerable. According to the latest data from the National Office for Statistics, 7 per cent of the population of the country lived below the extreme poverty line in 2015, while 32.3 per cent of Dominicans lived below the general poverty line that year³¹. In tune with the national development plan and its corresponding strategies, the NAP process will contribute to poverty reduction, ensuring that efforts in that front are resilient and creating synergies and complementarities with other actions.

Need for strengthening institutional capacity (financial, economic, social and institutional needs)

As noted above, there is a need to strengthen the institutional capacity for climate change adaption in the Dominican Republic in several fronts. Institutionally, the project will strengthen the climate change adaptation planning and management framework and the institutional links between climate change institutions and the institutions responsible of other cross-cutting issues, in which there are critical gaps in the country. In addition to strengthening existing institutional arrangements and mechanisms, the project will facilitate the creation of institutions that are missing, an issue that has been prioritize in policy documents. In particular, an Integrated National Climate Change Planning and Control System and a National Fund on Carbon and Climate Change will be created as part of the NAP process.

Furthermore, the project will build technical capacity in different sectors, at different levels of responsibility (from the international negotiation team to administrative staff, via policy and decision makers and project managers) and at national, regional/provincial and municipal levels, also a critical issue. To that end the project will raise awareness, provide training, produce methodological guides and favour learning-by-doing approach, in which government staff and other relevant stakeholders will improve their understanding of climate change adaptation while analysing challenges, identifying and prioritizing coping strategies and implementing them, using the knowledge that they already have and the technical information transmitted in workshops and guides.

Moreover, the project will address economic and financial needs for adaptation. To start with the project will estimate the cost of implementing priority adaptation interventions in the medium and long-term, taking into account the information already generated. Based on this, the NAP process will involve the identification, analysis and recommendation of policy options for scaling up financing for adaptation, including through public-private partnerships. A financial strategy will result from this process. Furthermore, in order to secure initial funds, as mentioned above, the project will develop GCF concept notes. As also indicated above, a special fund will be created to manage incoming financial resources centrally and in an efficient way.

²⁹ Kreft, S.; Eckstein, D.' and Melchior, I. (2017). Global climate risk index 2017: Who suffers most from extreme weather events? Weather related loss Events in 2015 and 1996 to 2015.

³⁰ USAID (2013): Critical issues regarding vulnerability to climate variability and change and adaptatio to them in the Dominican Republic (spanish)), p. 30.

³¹ The extreme poverty line is based on the cost of a basic food basket, while the general poverty line is based on the cost of a basic food and non-food basket.



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Finally, the project will try to overcome the existing difficulties in terms of monitoring and evaluation, and of ensuring adaptation planning and implementation is a continuous process instead of the development of a document in a particular moment in time. To that end, the project will create an M&E framework, build capacity to conduct M&E activities and set a mechanism to review climate change adaptation planning based on strategic information every two years.

The capacity needs at institutional, technical, economic and financial level mentioned above, and which the project will address in a holistic and consistent way, are clearly highlighted in policy documents and have been confirmed throughout the consultation sessions undertaken during the preparation of this proposal.

Existence of national coordination mechanism that creates coherence with existing development and climate change plans and policies

As noted above, this project will be overseen by a Steering Committee that includes all relevant institutions, and which will be chaired by the Directorate of Climate Change and the Clean Development Mechanism. Importantly, the PMU will be attached to the Directorate. This structure will ensure that this project is coordinated as part of the country's climate change portfolio, ensuring full institutional, legal and policy coherence and facilitating that lessons learned from this project are used in others, and vice versa.

Application of best practices

The Dominican Republic developed a NAPA in 2008. Since then, as noted above, the country has made significant progress on climate change adaptation planning and implementation. The NAP process will build upon this experience, using lessons learned from both good and failure practices, an important number of which have been used in the design of this project and have been presented above. Furthermore, the NAP process has a strong focus on monitoring and review, so that lessons from the implementation of the project can be drawn continuously and the process can be adjusted as appropriate. The participatory character of the process will ensure that lessons from all relevant stakeholders are considered throughout implementation.

Environmental, social assessments, including gender considerations

Focused on advancing a National Adaptation Plan Process, this project will not impose additional pressure on environmental and social resources. Hence, there is no need for conducting environmental and social impact assessment to identify, predict and evaluate environmental impacts and propose corresponding measures to avoid, mitigate or compensate the significant adverse environmental and social impacts. Hence, there is neither a need for a separate environmental and social management plan nor to comply with Funds' environmental and social safeguard standards. As noted above, the NAP process follows a gender-sensitive approach, ensuring gender participation in the process and seeking to increase the resilience of women and other vulnerable groups to the impacts of climate change.

Risk assessment summary

A critical risk is the involvement of all relevant stakeholders. The robustness of the planning and the breath and depth of implementation crucially depend on ownership by all concerned bodies and individuals. To ensure high participation the project will conduct awareness raising workshops in different regions, produce awareness raising campaigns in different media and offer different ways of participation, including digital. The institutional arrangements of the project, with all key ministries represented both in the Steering Committee and the Technical Committee, and with a PMU in charge of coordinating implementation, will ensure the political, technical and operational leadership. The alignment of the NAP process with the Constitution and the main development planning instruments in the country, such as the END 2030 and the future PPSP, also contribute to ensure institutional ownership across sectors.

Another risk is associated with the availability of quality scientific data on climate scenarios, exposure and vulnerability. The project will use existing data, taken into account that information has been generated recently as part of the development of the Third National Communication to the UNFCCC. Importantly, the project includes funds to build the capacity of the meteorological office, so that climate information can be produced in-house whenever is needed. The project will also boost scientific research.

In addition, weak technical capacity may be a driver of failure. To mitigate this risk, the project includes the provision of training a range of stakeholders as well as the development of technical guides. Importantly, the M&E mechanism will ensure a learning by doing, so that government staff and other stakeholders gain technical capacity as a result of being reflective about what they are doing, achieving and failing to achieve.

Finally, funding is a key limiting factor for keeping the NAP process ongoing and its timely completion. Timely funding and disbursement will minimize this risk. At this regard, the Ministry of Environment and Natural Resources of the Dominican Republic, the NDA of the country before the GCF, has proved capacity to manage international projects. The involvement of UN Environment as the

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implementing agency further ensures delivery. The institutional structure and procurement process have been carefully designed to warranty proper financial management so that the political and technical process can take place as planned.

Annex III. Letters from the government of the Dominican Republic

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Annex IV. Timeline of project implementation

Per quarter

| Output | Activity | Deliverable | Timeline | | | | | | | | | | | | Milestones | | | | |
|--------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|----------|----|----|----|----|----|----|----|----|----|----|----|------------|--|--|--|-------------------------------------------------------------------------|
| | | | Y1 | | | | Y2 | | | | Y3 | | | | | | | | |
| | | | Q1 | Q2 | Q3 | Q4 | Q1 | Q2 | Q3 | Q4 | Q1 | Q2 | Q3 | Q4 | | | | | |
| 1. National mandate, strategy and steering mechanisms are in place and gaps are assessed and addressed | 1.1.1 Initiate and launch the NAP process | NAP launching workshop report | | | | | | | | | | | | | | | | | NAP launching workshop report by the end of the 1 st quarter |
| | 1.1.2 Conduct stocktake, identify available information, and assess quantitative and qualitative gaps | Report on available and non-available information on climate change impacts, vulnerability and adaptation | | | | | | | | | | | | | | | | | |
| | 1.1.3 Define the NAP management framework, indicating the tasks and responsibilities of key stakeholders, linking climate change planning (e.g. NCCCP) and the climate change system to medium and long term national planning (e.g. END 2030 and PNPP) and the National Planning System; strengthening the institutional links between climate change institutions and the institutions responsible of other cross-cutting issues ³² | Report on the NAP management framework work | | | | | | | | | | | | | | | | | |
| | 1.2.1 Develop a resolution that expands the functions and formalizes the role of the | Draft resolution on the role of | | | | | | | | | | | | | | | | | |

³² Once strategies for this have been designed, multi-stakeholder, cross-sectoral coordination meetings will take place through the Technical Committee of the project.



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| <p>existing participatory platforms in the formulation and implementation of the NAP</p> | <p>the existing participatory platforms in the formulation and implementation of the NAP for political approval</p> | |
| <p>1.2.2. Provide orientation and training for policy and decision makers and implementers from relevant institutions at national and local levels</p> | <p>Training sessions for policy makers and implementers from relevant institutions at national and local levels</p> | |
| <p>1.2.3 Develop awareness raising campaigns at national and local levels for the Council for Municipal Planning, NGOs, CSOs and local communities</p> | <p>Awareness raising campaign at national and local levels for the Council for Municipal Planning, NGOs, CSOs and local communities</p> | |
| <p>1.2.4 In collaboration with business organizations, develop awareness raising campaigns at national and local levels for the private sector</p> | <p>Awareness raising campaigns at national and local levels for the private sector</p> | |



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| <p>2. Preparatory elements for the NAP in place to develop a knowledge-base and formulate a NAP</p> | <p>1.2.5 Develop and maintain a digital platform for public participation in the process</p> <p>2.1.1.1 Develop temperature and precipitation scenarios for the period 2020-2040 and sea level rise scenarios for the periods 2020-2040, 2041-2060, 2061-2080, providing details at sub-national level</p> | <p>Operating digital platform for public participation in the NAP process</p> <p>Temperature and precipitation scenarios for the period 2020-2040 and sea level rise scenarios for the periods 2020-2040, 2041-2060 and 2061-2080, providing details at sub-national level</p> | | | | | | |
| <p>2.1.2 Analyse future socio-economic scenarios</p> | <p>2.1.3 Assess climate vulnerabilities and socio-economic opportunities and identify adaptation options at sector, subnational and national levels, identifying priority climate change impacts</p> | <p>Report on future socio-economic scenarios</p> <p>Report on climate vulnerabilities and socio-economic opportunities and adaptation options at the sector, sub-national and national levels</p> | | | | | | <p>Report on climate vulnerabilities and socio-economic opportunities, and adaptation options at the sector, sub-national and national levels by the end of the 5th quarter</p> |



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| | <p>2.1.4 Develop reports on the relationships of climate change adaptation with other cross-cutting issues, identifying entry points for proper two-directional integration</p> | <p>Integrated report on the relationship of climate change adaptation with other cross-cutting issues, identifying entry points for two-directional integration</p> | | | | | | | | |
| <p>2.2.1 Review and appraise adaptation options, including economic, environmental and social costs and benefits</p> <p>2.2.2 Assess the effectiveness of past adaptation interventions</p> | | <p>Assessment report on adaptation options</p> <p>Report on lessons learned on adaptation planning and implementation from past adaptation interventions</p> | | | | | | | | |
| <p>2.2.3 Conduct exchange visits to 2 countries to learn lessons on adaptation planning and implementation</p> | | <p>Exchange visit report, presenting the lessons learned by the team on adaptation planning and implementation</p> | | | | | | | | |
| <p>2.3.1 Consolidate the National Adaptation Plan, prioritizing climate change adaptation options and establishing an implementation strategy</p> | | <p>National Adaptation Plan document, including priority adaptation actions</p> | | | | | | | | <p>National Adaptation Plan document, including priority adaptation actions by the</p> |



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| <p>2. Preparatory elements for the NAP in place to develop a knowledge-base and formulate a NAP</p> | <p>1.2.5 Develop and maintain a digital platform for public participation in the process</p> | <p>Operating digital platform for public participation in the NAP process</p> | |
| <p>2.1.1 Develop temperature and precipitation scenarios for the period 2020-2040 and sea level rise scenarios for the periods 2020-2040, 2041-2060, 2061-2080, providing details at sub-national level</p> | <p>2.1.1 Develop temperature and precipitation scenarios for the period 2020-2040 and sea level rise scenarios for the periods 2020-2040, 2041-2060, 2061-2080, providing details at sub-national level</p> | <p>Temperature and precipitation scenarios for the period 2020-2040 and sea level rise scenarios for the periods 2020-2040, 2041-2060 and 2061-2080, providing details at sub-national level</p> | |
| <p>2.1.2 Analyse future socio-economic scenarios</p> | <p>2.1.2 Analyse future socio-economic scenarios</p> | <p>Report on future socio-economic scenarios</p> | |
| <p>2.1.3 Assess climate vulnerabilities and socio-economic opportunities and identify adaptation options at sector, subnational and national levels, identifying priority climate change impacts</p> | <p>2.1.3 Assess climate vulnerabilities and socio-economic opportunities and identify adaptation options at sector, subnational and national levels, identifying priority climate change impacts</p> | <p>Report on climate vulnerabilities and socio-economic opportunities, and adaptation options at the sector, sub-national and national levels</p> | <p>Report on climate vulnerabilities and socio-economic opportunities, and adaptation options at the sector, sub-national and national levels by the end of the 5th quarter</p> |



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| | <p>2.1.4 Develop reports on the relationships of climate change adaptation with other cross-cutting issues, identifying entry points for proper two-directional integration</p> | <p>Integrated report on the relationship of climate change adaptation with other cross-cutting issues, identifying entry points for two-directional integration</p> | | | | | | | | | | | | | | |
| | <p>2.2.1 Review and appraise adaptation options, including economic, environmental and social costs and benefits</p> <p>2.2.2 Assess the effectiveness of past adaptation interventions</p> | <p>Assessment report on adaptation options</p> <p>Report on lessons learned on adaptation planning and implementation from past adaptation interventions</p> | | | | | | | | | | | | | | |
| | <p>2.2.3 Conduct exchange visits to 2 countries to learn lessons on adaptation planning and implementation</p> | <p>Exchange visit report, presenting the lessons learned by the team on adaptation planning and implementation</p> | | | | | | | | | | | | | | |
| | <p>2.3.1 Consolidate the National Adaptation Plan, prioritizing climate change adaptation options and establishing an implementation strategy</p> | <p>National Adaptation Plan document, including priority adaptation actions</p> | | | | | | | | | | | | | | <p>National Adaptation Plan document, including priority adaptation actions by the</p> |



**READINESS AND PREPARATORY SUPPORT
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| 3. NAP Implementa- tion facilitated | 2.3.2 Communicate the National Adapta- tion Plan | Communication Strategy for the NAP | | | | | | | | | | | | | | | | |
| | 3.1.1 Support the approval of a Law on Cli- mate Change in order to prioritize climate change adaptation in national planning and budgeting | Draft Law on Climate Change for political ap- proval | | | | | | | | | | | | | | | | |
| | 3.1.2 Develop one adaptation plan for each of the 5 unified planning regions of the country | 5 adaptation plans at the mu- nicipal level, in urban areas (Santo Domingo and the other 4 most populous cities in the country) | | | | | | | | | | | | | | | | |
| | 3.1.3 Develop adaptation plans in Santo Domingo and the other 4 most populous cities in the country | Training ses- sions for staff responsible for approval of in- frastructure projects; Proto- cols on climate resilience of in- frastructure projects for the same audience | | | | | | | | | | | | | | | | |
| | 3.2.1. Develop protocols for and train staff responsible for approval of infrastructure projects on climate resilience for the imple- mentation of the law 64-00 | Methodological guide on cli- mate change adaptation planning and implementation at regional and local levels | | | | | | | | | | | | | | | | |
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| <p>4. Mechanisms for Reporting, Monitoring and Review of NAPs and adaptation progress in place</p> | <p>3.2.2 Develop and disseminate methodological guides on climate change adaptation planning and implementation at regional and local levels</p> <p>3.3.3 Provide institutional support to enhance the efficiency of the procurement and tendering process</p> | <p>Report with recommendations to enhance the efficiency of the procurement and tendering process; Preliminary tender documents</p> <p>Preliminary tender documents to enable private sector participation and promote the establishment of public-private partnerships.</p> <p>Monitoring and review framework for the NAP</p> <p>Creation Act of the Integrated National Climate Change Planning and Control System, in coordination with the National System on Environmental and Climate Change Information</p> | | | | | | | | <p>Monitoring and review framework for the NAP by the end of the 8th quarter</p> |
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| <p>4.2.6 Together with the Ministry of Education, Science and Technology, identify research priorities on climate change adaptation and promote research on these topics through scaling-up the existing fund and setting cooperation frameworks with research institutions</p> | <p>Report on the research priorities on climate change adaptation; Cooperation agreements between the Directorate of Climate Change and research institutions</p> | | | | | |
| <p>4.2.7 Conduct exchange visits to 2 countries to learn from them on adaptation planning and implementation during the implementation of NAP</p> | <p>Exchange visit report, presenting the lessons learned by the team on adaptation planning and implementation</p> | | | | | |
| <p>4.2.8 Develop and institutionalize a strategy for the periodic review (every two years) of NAP</p> | <p>Strategy for periodic review of NAP</p> | | | | | |
| <p>5. Funding strategy for the NAP and CCA is available</p> | <p>5.1.1 Review reports produced on costing adaptation in the Dominican Republic, compile existing information and identify information gaps</p> | <p>Report on the information available and non-available on costs of adaptation</p> | | | | |
| | <p>5.1.2 Undertake a detailed economic study to estimate the costs of implementing the prioritized adaptation interventions</p> | <p>Economic assessment of the costs of implementing the prioritized adaptation interventions at national, sectoral</p> | | | | |



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| INPUTS AND KEY DELIVERABLES | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| importance of climate change adaptation planning and implementation (including vulnerabilities, potential impacts and opportunities); ii) the proposed process to develop, implement and update the NAP; and iii) methodologies and critical issues to implement adaptation measures <u>Deliverable:</u> Training sessions for policy and decision makers and implementers from relevant institutions at national and local levels | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1.2.3 Develop awareness raising campaigns at national and local levels for the Council for Municipal Planning, NGOs, CSOs and local communities on i) the importance of climate change adaptation planning and implementation (including vulnerabilities, potential impacts and opportunities); ii) the proposed process to develop, implement and update the NAP; and iii) methodologies and critical issues to implement adaptation measures <u>Deliverable:</u> Awareness raising campaign at national and local levels for the Council for Municipal Planning, NGOs, CSOs and local communities | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1.2.4 In collaboration with business organisations, develop awareness raising campaigns at national and local levels for the private sector on i) the importance of climate change adaptation planning and implementation (including vulnerabilities, potential impacts and opportunities); ii) the proposed process to develop, implement and update the NAP; and iii) methodologies and critical issues to implement adaptation measures at firm level, including investment opportunities <u>Deliverable:</u> Awareness raising campaigns at national and local levels for the private sector | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |



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| INPUTS AND KEY DELIVERABLES | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1.2.5 Develop and maintain a digital platform for public participation in the NAP process to foster the participation of civil society stakeholders at any time and from any area of country with internet connection. <u>Deliverable:</u> Operating digital platform for public participation in the NAP process | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.1.1 Develop temperature and precipitation scenarios for the period 2020-2040 and sea level rise scenarios for the periods 2020-2040, 2041-2060, 2061-2080, providing details at sub-national level <u>Deliverable:</u> Temperature and precipitation scenarios for the period 2020-2040 and sea level rise scenarios for the periods 2020-2040, 2041-2060 and 2061-2080, providing details at sub-national level | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.1.2 Analyse future socio-economic scenarios taking into account the latest studies and development planning (e.g. those developed as part of the preparation of the Third National Communication) <u>Deliverable:</u> Report on future socio-economic scenarios | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.1.3 Assess climate vulnerabilities and socio-economic opportunities and identify adaptation options at the sector, subnational and national levels, identifying priority climate change impacts <u>Deliverable:</u> Report on climate vulnerabilities and socio-economic opportunities, and adaptation options at the sector, sub-national and national levels | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.1.4 Develop reports on the relationships of climate change adaptation with other cross-cutting issues, such as environmental sustainability, disaster risk management, gender equity and land use and territorial cohesion, including conceptual, legal, policy, institutional, vulnerability and strategic | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |



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| INPUTS AND KEY DELIVERABLES | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| aspects, identifying entry points for proper two-directional integration. <u>Deliverable:</u> Integrated report on the relationship of climate change adaptation with other cross-cutting issues, identifying entry points for two-directional integration | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.2.1 Review and appraise adaptation options, including economic, environmental and social costs and benefits, considering potential unintended effects (and correctly valuing ecosystem services) <u>Deliverable:</u> Assessment report on adaptation options | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.2.2 Assess the effectiveness of past adaptation interventions to learn lessons on adaptation planning and implementation <u>Deliverable:</u> Report on lessons learned on adaptation planning and implementation from past adaptation interventions | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.2.3 Conduct exchange visits to 2 countries to learn lessons on adaptation planning and implementation during the preparation of the National Adaptation Plan <u>Deliverable:</u> Exchange visit report, presenting the lessons learned by the team on adaptation planning and implementation | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.3.1 Consolidate the inputs developed in a National Adaptation Plan document that explicitly presents the prioritized adaptation solutions <u>Deliverable:</u> National Adaptation Plan document, including priority adaptation actions | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.3.3 Communicate the National Adaptation Plan <u>Deliverable:</u> Communication Strategy for the NAP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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| INPUTS AND KEY DELIVERABLES | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Deliverable: Methodological guide on climate change adaptation planning and implementation at regional and local levels | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3.2.3 Provide institutional support to enhance the efficiency of the procurement and tendering process and preparation of preliminary tender documents to enable private sector participation and promote the establishment of public-private partnerships Deliverables: Report with recommendations to enhance the efficiency of the procurement and tendering process; Preliminary tender documents | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4.1.1 Develop a monitoring and review framework for the NAP, including indicators, baselines, targets at different moments in time, means of verification and sources of information at national, sectoral and local levels Deliverables: Monitoring and review framework for the NAP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4.1.2 Support the creation of an Integrated National Climate Change Planning and Control System and the strengthening of the National System on Environmental and Climate Change Information Deliverable: Creation Act of the Integrated National Climate Change Planning and Control System, in coordination with the National System on Environmental and Climate Change Information | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4.2.1 Develop monitoring and review guidelines and tools for technical staff of relevant government institutions on data collection, analysis and dissemination, including the documentation of lessons learned and adaptive management Deliverable: Monitoring and review guidelines and tools ofr technical staff of relevant government institutions | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |



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| INPUTS AND KEY DELIVERABLES | YEAR 1 | | | | | | | | | | | | YEAR 2 | | | | | | | | | | | | YEAR 3 | | | | | | | | | | | | | | | | | | | |
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| <p><u>Deliverable:</u> Exchange visit report, presenting the lessons learned by the team on adaptation planning and implementation</p> <p>4.2.8 Develop and institutionalize a strategy for the periodic review (every two years) of NAP, including the financing strategy, based on i) updated climate information; ii) updated socio-economic vulnerabilities, opportunities and plans; iii) lessons learned regarding adaptation planning (at legal, policy and institutional level); iii) lessons learned regarding implementation of adaptation measures on the ground (for iii and iv both nationally and internationally)</p> <p><u>Deliverable:</u> Strategy for periodic review of NAP</p> <p>5.1.1 Review reports produced on costing adaptation in the Dominican Republic³³, compile information and identify information gaps for a reliable estimation of costs for implementing climate change adaptation in the medium and long term</p> <p><u>Deliverable:</u> Report on the information available and non-available on costs of adaptation</p> <p>5.1.2 Fill the information gaps by undertaking a detailed economic study that estimates the costs of implementing the prioritized adaptation interventions at national, sectoral and local levels</p> <p><u>Deliverable:</u> Economic assessment of the costs of implementing the prioritized adaptation interventions at national, sectoral and sub-national levels</p> <p>5.1.3 Identify, analyse and recommend options for scaling up financing for adaptation, including through</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

³³ That is, the ECLAC (2011), UNDP (2011) and World Bank (2015) reports.

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| INPUTS AND KEY DELIVERABLES | YEAR 1 | | | | | | | | | | | | YEAR 2 | | | | | | | | | | | | YEAR 3 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 | 25 | 26 | 27 | 28 | 29 | 30 | 31 | 32 | 33 | 34 | 35 | 36 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>Deliverable: Exchange visit report, presenting the lessons learned by the team on adaptation planning and implementation</p> <p>4.2.8 Develop and institutionalize a strategy for the periodic review (every two years) of NAP, including the financing strategy, based on i) updated climate information; ii) updated socio-economic vulnerabilities, opportunities and plans; iii) lessons learned regarding adaptation planning (at legal, policy and institutional level); iii) lessons learned regarding implementation of adaptation measures on the ground (for iii and iv both nationally and internationally)</p> <p>Deliverable: Strategy for periodic review of NAP</p> <p>5.1.1 Review reports produced on costing adaptation in the Dominican Republic³³, compile information and identify information gaps for a reliable estimation of costs for implementing climate change adaptation in the medium and long term</p> <p>Deliverable: Report on the information available and non-available on costs of adaptation</p> <p>5.1.2 Fill the information gaps by undertaking a detailed economic study that estimates the costs of implementing the prioritized adaptation interventions at national, sectoral and local levels</p> <p>Deliverable: Economic assessment of the costs of implementing the prioritized adaptation interventions at national, sectoral and sub-national levels</p> <p>5.1.3 Identify, analyse and recommend options for scaling up financing for adaptation, including through</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

³³ That is, the ECLAC (2011), UNDP (2011) and World Bank (2015) reports.



GREEN CLIMATE FUND

READINESS AND PREPARATORY SUPPORT PROPOSAL TEMPLATE

| | YEAR 1 | | | | | | | | | | | | YEAR 2 | | | | | | | | | | | | YEAR 3 | | | | | | | | | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|---|---|---|---|---|---|---|---|----|----|----|--------|----|----|----|----|----|----|----|----|----|----|----|--------|----|----|----|----|----|----|----|----|----|----|----|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 15 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 | 25 | 26 | 27 | 28 | 29 | 30 | 31 | 32 | 33 | 34 | 35 | 36 |
| INPUTS AND KEY DELIVERABLES | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| domestic public budgets, public-private partnerships and international cooperation <u>Deliverable:</u> Report on options for scaling up financing for adaptation | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5.1.4 Develop an adaptation finance strategy to access new identified sources of adaptation finance <u>Deliverable:</u> Adaptation finance strategy | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5.1.5 Explore the most convenient institutional arrangements to centrally and systematically manage all climate change-related funds and channelling them effectively across sectors and regions <u>Deliverable:</u> Report on the most convenient institutional arrangements to centrally and systematically manage all climate change related funds | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5.1.6 Develop training toolkits and provide training to strengthen the capacity of relevant government stakeholders (at all levels) to initiate the implementation of the adaptation finance strategy <u>Deliverables:</u> Training tools; Training sessions for relevant government stakeholders on the implementation of the adaptation finance strategy | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5.1.7 Develop GCF concepts and associated documentation for two adaptation programmes, one focusing on urban areas (covering Santo Domingo and 4 other cities) and one focusing on rural areas (covering 2 rural areas and 1 protected ecosystem and its mitigation areas), in coordination with efforts conducted through the readiness grant already been implemented <u>Deliverable:</u> GCF concepts and associated documentation for 2 adaptation programmes, one focusing on urban areas and one on rural areas | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |



GREEN
CLIMATE
FUND

READINESS AND PREPARATORY SUPPORT
PROPOSAL TEMPLATE

Annex 5. Stakeholders consulted during the preparation of proposal

| No. | Name | Institution | Department | Position | Telephone | Email address | Meeting day |
|-----|-------------------------|------------------------------------------------------------------------|--------------------------------------------------|------------------------|------------------------------------|---------------------------------|-------------|
| 1 | Pedro García Brito | Ministry of Environment and Natural Resources | Directorate of Climate Change | Director | | pedro.garcia@ambiente.gob.do | 7/3/17 |
| 2 | Patria Sánchez | | | Adaptation Specialist | 809-905-7435 | patriavictoriasanchez@gmail.com | |
| 3 | Cynthia Ortiz | | | Climate Change Analyst | 829-747-0401 | cynthia.ortizrojas@gmail.com | |
| 4 | Joan Beras | | | REDD+ Specialist | 849-642-7006 | joan.beras@ambiente.gob.do | |
| 5 | Maribel Chalas | Directorate of Solid Waste | Technical Coordinator | 809-545-1995 | maribel.chalas@ambiente.gob.do | | |
| 6 | Francisco Cuevas | Directorate of Forestry | Director | 809-501-9457 | fracuevas@hotmail.com | | |
| 7 | Nelson García Marcano | Directorate of Biodiversity | Technical Assistant | 829-410-0871 | nelson.garcia@ambiente.gob.do | | |
| 8 | María C. Encarnación | Directorate of Environmental Information | Director | 809-972-3040 | maria.encarnacion@ambiente.gob.do | | |
| 9 | Bienvenido Santana | Viceministry of Coastal and Maritime Resources | Technical Assistant | 809-899-0640 | bienvenido.santana@ambiente.gob.do | | |
| 10 | Belkis Fernández | Formulation and Planning Division | Technical Assistant | 809-852-5135 | belkis.fernandez@gmail.com | | |
| 11 | Ramón Díaz | Viceministry of Forest Resources | | | ramon.diaz@ambiente.gob.do | | |
| 12 | Francisca Rosario | Viceministry of Forest Resources | Director of the Bank of Endemic and Native Seeds | | francisca.rosario@ambiente.gob.do | | |
| 13 | Victor Almanzar Rosario | | Directorate of Evaluation | Director | | | 17/3/2017 |
| 14 | Victor Viñas | National Council on Climate Change and the Clean Development Mechanism | | Technical Assistant | 829-471-6218 | v.vinas@cambioclimatico.gob.do | 7/3/2017 |
| 15 | Venecia Álvarez | Ministry of Foreign Affairs | Department for Science and Technology | Director | 809-987-1065 | venecia.alvarez@gmail.com | 8/3/17 |



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| | | | | | | | |
|----|-------------------|-------------------------------------------------------------------|-----------------------------------------|-------------------------|--------------|--------------------------------|--------|
| 16 | Felipe Ditre | Ministry of Energy and Mines | Department for Environmental Issues | Director | 849-410-4234 | fditre@mem.gob.do | 9/3/17 |
| 17 | Juan Mancebo | Ministry of Agriculture | Department of Risk Management | Director | 809-910-4204 | jmancebo62@yahoo.com | 9/3/17 |
| 18 | Yolanda Sosa | | Department of Livestock | Planning Officer | 809-535-9689 | planificacion.ganaderia@gob.do | |
| 19 | Solangel González | National Office on Meteorology | Department of Climate Change | Technical Assistant | 809-788-1122 | solangel1530@yahoo.com | 7/3/17 |
| 20 | Juana Sille | | | Technical Assistant | 809-788-1123 | investigacionjs@gmail.com | |
| 21 | Cesar F. Guzmán | Banco Agrícola | Department of Planning | Director | 809-535-8088 | c.guzman@bagricola.gob.do | 9/3/17 |
| 22 | Rafael Lorenzo | Dominican Federation of Municipalities | | Technical Assistant | 829-423-8426 | florenzo@fedomu.org.do | 8/3/17 |
| 23 | Rosario González | Instituto Dominicano de Desarrollo Integral | | Planning Officer | 809-481-1796 | rgonzalez@iddi.org | 9/3/17 |
| 24 | María Taveras | Instituto Dominicano de Desarrollo Integral | | Project Coordinator | 809-399-4122 | maria.taveras@iddi.org | |
| 25 | Juan Reyes | Instituto Dominicano de Investigaciones Agropecuarias y prestales | Department for Planning and Development | Director | 809-440-1944 | jreyes@idiaf.org | |
| 26 | Solhanlle Bonilla | Instituto Tecnológico de Santo Domingo | | Researcher | 829-986-5112 | solhanlle.bonilla@intec.edu.do | |
| 27 | Santiago Rivas | Centro para el Desarrollo Agroforestal | Technical Unit | Director | 809-565-5603 | srivas@cedaf.org.do | |
| 28 | Cesar Rodríguez | Consorcio Ambiental Dominicano | | Director | 809-385-0480 | sesar_rodriguez@yahoo.com | |
| 29 | Ana S. Ovalle | Sur futuro | Climate Change Office | | 809-472-0611 | aovalle@surfuturo.org | |
| 30 | Paul Guggenheim | Counterpart International | | National Representative | 829-641-8838 | pguggenheim@counterpart.org | |
| 31 | Marlig Pérez | Counterpart International | | Programme Coordinator | 809-210-3070 | mperez@counterpart.org | |



Details of the budget to be executed through the Small-Scale Funding Agreement (SSFA) with CEDAF for the first year of implementation of the project: “Capacity development to advance the process of the National Adaptation Plan in the Dominican Republic”

1. CEDAF will support the implementation of the project at the national level assuming:
 - I. The organization of the field consultation processes during the first year of project implementation in coordination with UN Environment and the Directorate of Climate Change of the Ministry of Environment of the Dominican Republic. This includes the payment to the different service providers.
 - II. The organization of consultation processes for the Project Steering Committee included in the Project Management Cost.
 - III. The purchase of equipment included in activity 1.1.1.
 - IV. The purchase of equipment and furniture for the Project Management Unit (Project Coordinator and Administrative Assistant).

2. Budget Details:

| OUTPUTS | ACTIVITIES | Class FT30 | TYPE OF EXPENSE | Expenses Details | | | Cost | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|------------|-------------------------|--------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|--------|----------------------|----------------------|
| | | | | TYPE OF INPUT | Unit Cost (USD / per day; USD / flight / trip; USD / year) | Units | Y1 | Total |
| Output 1.1: National, local and sectoral policy documents, available climate information and key stakeholders are identified and assessed to facilitate integration of adaptation options and to promote an | 1.1.1 Initiate and launch the NAP process Deliverable: NAP launching workshop report | 120 | Contractual services | Large (200 people) validation workshop ¹ | 4.620,00 | 5 | 23.100,00 | 23.100,00 |
| | | 135 | Equipment and furniture | Logistical and Administrative support Equipment and furniture (4 laptops for the project management Unit) | 430,00 1.500,00 | 5 4 | 2.150,00 6.000,00 | 21.50,00 6.000,00 |

¹ The cost associated to the organization of the large workshops includes: i) Costs associated to the venue rental and catering services, ii) Costs related to material preparation including printing and audio visual.

Annex 1C.

| integrated approach to adaptation planning. | | | | | | 31.250,00 | 31250,00 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----------------------|------------------------------------------------------------------------------------------|----------|---|-----------|------------------|
| 1.1.2 Conduct stock take, identify available information on climate change impacts, vulnerability and adaptation, and assess quantitative and qualitative gaps, with particular attention being paid to the sectors prioritized in the NDC and NAPCC. | 120 | Contractual services | Large (200 people) validation workshop ² | 5.715,00 | 1 | 5.715,00 | 5715,00 |
| | | | Logistical and Administrative support | 535,00 | 1 | 535,00 | 535,00 |
| | | | | | | | 6.250,00 |
| 1.1.3 Define the NAP management framework, indicating the tasks and responsibilities of key stakeholders, linking climate change planning (e.g. NCCCP) and the climate change system to medium and long term national planning (e.g. END 2030 and PNPS) and the National Planning System; strengthening the institutional links between climate change institutions and the institutions responsible of other cross-cutting issues. | 120 | Contractual services | Small (50 people) validation workshop (2) | 2.285,00 | 1 | 2.285,00 | 2285,00 |
| | | | Logistical and Administrative support | 215,00 | 1 | 215,00 | 215,00 |
| | | | | | | | 2.500,00 |
| 1.2.1 Develop a resolution that expands the functions and formalizes the role of the existing participatory platforms in the formulation and implementation of the NAP. | 120 | Contractual services | Large (200 people) validation workshop | 5.715,00 | 1 | 5.715,00 | 5715,00 |
| | | | Logistical and Administrative support | 535,00 | 1 | 535,00 | 535,00 |
| | | | | | | | 6.250,00 |
| Output 1.2: Training, awareness raising and participatory processes for public and private sectors at national and local levels, NGOs, CSOs and local communities on the NAP process established. | 120 | Contractual services | Large (200 people) validation workshop (two every 9 months) (2 in SD, 6 in other places) | 5.715,00 | 2 | 11.430,00 | 11430,00 |
| | | | Logistical and Administrative support | 535,00 | 2 | 1.070,00 | 1070,00 |
| | | | | | | | 12.500,00 |

² The cost associated to the organization of the small workshops includes: i) Costs associated to the venue rental and catering services, ii) Costs related to material preparation including printing and audio visual.

| | | | | | | | | |
|------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----------------------|------------------------------------------------------------------------|----------|---|------------------|------------------|
| | 1.2.3 Develop awareness raising campaigns at national and local levels for the Council for Municipal Planning, NGOs, CSOs and local communities. | 120 | Contractual services | Large (200 people) validation workshop (one per region every 9 months) | 5.715,00 | 5 | 28.575,00 | 28575,00 |
| | | | | Logistical and Administrative support | 535,00 | 5 | 2.675,00 | 2675,00 |
| | | | | | | | 31.250,00 | 31.250,00 |
| | 1.2.4 In collaboration with business organizations, develop awareness raising campaigns at national and local levels for the private sector. | 120 | Contractual services | Large (200 people) validation workshop (one per region every 9 months) | 5.715,00 | 5 | 28.575,00 | 28575,00 |
| | | | | Logistical and Administrative support | 535,00 | 5 | 2.675,00 | 2675,00 |
| | | | | | | | 31.250,00 | 31.250,00 |
| Total Outcome 1 | | | | | | | | |
| Output 2.1: A knowledgebase on climate change and development interlinkages established. | 2.1.1 Develop temperature and precipitation scenarios for the period 2020- 2040 and sea level rise scenarios for the periods 2020-2040, 2041-2060, 2061-2080, providing details at sub-national level. | 120 | Contractual services | Small (50 people) validation workshop | 2.285,00 | 1 | 2.285,00 | 2285,00 |
| | | | | Logistical and Administrative support | 215,00 | 1 | 215,00 | 215,00 |
| | | | | | | | 2.500,00 | 2.500,00 |
| | 2.1.2 Analyze future socio-economic scenarios taking into account the latest studies and development planning (e.g. those developed as part of the preparation of the Third National Communication). | 120 | Contractual services | Small (50 people) validation workshop | 2.285,00 | 1 | 2.285,00 | 2285,00 |
| | | | | Logistical and Administrative support | 215,00 | 1 | 215,00 | 215,00 |
| | | | | | | | 2.500,00 | 2.500,00 |
| | 2.1.4 Develop reports on the relationships of climate change adaptation with other cross-cutting issues, such as environmental sustainability, disaster risk management, gender equity and land use and territorial cohesion, including conceptual, legal, policy, institutional, vulnerability and strategic aspects, identifying entry points for proper two-directional integration. | 120 | Contractual services | Large (200 people) validation workshop | 5.715,00 | 1 | 5.715,00 | 5715,00 |
| | | | | Logistical and Administrative support | 535,00 | 1 | 535,00 | 535,00 |
| | | | | | | | 6.250,00 | 6.250,00 |

| | | | | | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----------------------|---------------------------------------------------------------------------------|--------------------|--------|-----------------------|--------------------------------------|
| Output 2.2: A process of analysis and evaluation of adaptation options is established based on the experience gained in the Dominican Republic and other countries. | 2.2.1 Review and appraise adaptation options, including economic, environmental and social costs and benefits, considering potential unintended effects. | 120 | Contractual services | Large (200 people) validation workshop Logistical and Administrative support | 2.285,00 215,00 | 1 1 | 2.285,00 215,00 | 2285,00 215,00 |
| Total Outcome 2. | | | | | | | | 2.500,00 13.750,00 |
| Output 3.1: The legal framework and strategic planning at the subnational level is strengthened. | 3.1.1 Support the approval of a Law on Climate Change in order to prioritize climate change adaptation in national planning and budgeting. | 120 | Contractual services | Small (50 people) validation workshop Logistical and Administrative support | 2.285,00 215,00 | 2 2 | 4.570,00 430,00 | 4570,00 430,00 |
| | | 120 | Contractual services | Large (200 people) validation workshop Logistical and Administrative support | 5.715,00 535,00 | 5 5 | 28.575,00 2.675,00 | 28575,00 2.675,00 |
| Total Outcome 3 | | | | | | | | 36.250,00 38.750,00 |
| Output 4.1: A monitoring and reviewing system established for the NAP process. | 4.1.2 Support the creation of an Integrated National Climate Change Planning and Control System and the strengthening of the National System on Environmental and Climate Change Information. | 120 | Contractual services | Small (50 people) validation workshop Logistical and Administrative support | 2.285,00 215,00 | 1 1 | 2.285,00 215,00 | 2.285,00 215,00 |
| 4.2.4 Train staff from meteorological offices developing climate change scenarios. | | 120 | Contractual services | Small (50 people) validation workshop Logistical and Administrative support | 2.285,00 215,00 | 1 1 | 2.285,00 215,00 | 2285,00 215,00 |
| Total Outcome 3 | | | | | | | | 2.500,00 2.500,00 |

| | | | | | |
|--------------------------------------------------------------|-------------------------|-----------------------------------------------------------------------------------------|----------|------------|------------|
| | | | | 2,500.00 | 2,500.00 |
| Total Outcome 4 | | | | 5,000.00 | 5,000.00 |
| Total of Project Activities | | | | 178,750.00 | 178,750.00 |
| 135 | Equipment and furniture | Equipment and furniture ³ | 3,000.00 | 1 | 3,000.00 |
| 160 | Travel | Project Management Team expenses (Per diem anywhere outside Santo Domingo) ⁴ | 150.00 | 12 | 1,800.00 |
| 160 | Travel | Steering meeting Expenses ⁵ | 150.00 | 6 | 900.00 |
| TOTAL PROJECT MANAGEMENT UNIT EXPENSES | | | | | 5,700.00 |
| TOTAL (ACTIVITIES + PROJECT MANAGEMENT UNIT EXPENSES) | | | | | 184,450.00 |

3. Notes

- I. The total amount of Per diem (DSA) cannot exceed the total amount of Per Diem established by the United Nations.
- II. The amount of Per diem established in the project equivalent to \$150 maximum includes food and hotel expenses, transportation (car rental if applicable) and miscellaneous expenses.
 - I. None of the air itineraries contemplated within the project will consider first-class or business tickets.
 - 4. Disbursement schedule.

³ The equipment referred in the Project Management Unit Expenses includes: The purchase of two laptops for the Project Management Unit.

⁴ The Project Management Team expenses referred in the Project Management Unit Expenses includes payment of Per Diem (if applicable) as well as any costs of transportation to the members of the Project Management Team to attend project related meetings and events.

⁵ The Steering Committee meeting expenses referred in the Project Management Unit Expenses includes payment of Per Diem (if applicable) as well as any costs of transportation to the members of the Steering Committee to attend the annual steering committee meeting. The meeting is expected to happen once a year although the number of meetings could double depend on the project requirements.

Annex 1C.



| Disbursement | Amount (USD) |
|--------------|-------------------|
| \$ | |
| 1 | 64,557.50 |
| 2 | 55,335.00 |
| 3 | 55,335.00 |
| 4 | 9,222.50 |
| Total | 184,450.00 |



**GREEN
CLIMATE
FUND**

EXECUTIVE DIRECTOR

His Excellency
Mr. Francisco Dominguez Brito
Minister
Ministry of Environment and
Natural Resources
Santo Domingo, Dominican Republic

Date : 11 July 2018
Reference : DCP/RAN/2018/208
Page : 1 of 1

Subject: Notification of Approval on Readiness and Preparatory Support Programme Proposal for the Green Climate Fund

Excellency,

I present my compliments to the Ministry of Environment and Natural Resources of the Government of the Dominican Republic. I also have the honour to invite to your attention that the Green Climate Fund (GCF) Secretariat has approved the Readiness Proposal for the Dominican Republic, initially submitted on 31 March 2017, in accordance with the terms of the Framework Readiness and Preparatory Support Grant Agreement between the Green Climate Fund and the United Nations Environment Programme (UNEP), dated 11 October 2016.

I am privileged to convey that the proposal was approved on 11 May 2018 for the amount of USD 2,998,325 for building capacity to advance the National Adaptation Planning Process in the Dominican Republic. A copy of the proposal is attached for your reference. Once UNEP sends a request for disbursements to the GCF Secretariat, the Government will be able to begin with the implementation.

The GCF Secretariat and I remain at your disposal should any assistance or additional information be required. We stand ready to respond to any queries your Ministry may direct to email: countries@gcfund.org.

I look forward to continuing a fruitful collaboration as we collectively advance this important process. Please allow me this opportunity to renew to your Excellency the assurance of my highest consideration.

Yours sincerely,

Javier Manzanares
Officer in Charge

Enclosure: Readiness Proposal of the Dominican Republic

cc: Ms. Ermira Fida, Senior Programme Management Officer, UNEP

Green Climate Fund
Songdo International Business District
G-Tower, 175 Art Center-daero
Yeonsu-gu, Incheon 22004
Republic of Korea



| Term | Meaning |
|-----------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Accredited Entity | Entity which develops funding proposals to be considered by the Green Climate Fund and oversees, supervises, manages and monitors the Green Climate Fund-approved projects. |
| Co-financing | Co-finance consists of new and additional funding generated because of the Green Climate Fund project. Co-financing may be in cash or in kind, and may take the form of (i) the Executing Entity's own resources (self-financing), (ii) financial contributions from other donors or (iii) contributions in kind from third parties (in duly justified cases). The Executing Entity must clearly specify the origin of the Co-financing funds |
| Delivery Partner | Institutions selected by the National Designated Authority (NDA) or focal point to implement activities approved under the Readiness and Preparatory Support Programme. Delivery partners provide services which may include: development of readiness request proposals; implementation and supervision; fiduciary management; progress reporting; and project completion and evaluation. |
| Eligible Expenditures | means, in relation to each Approved R&P Support Proposal, payments for Goods or Services required for the Readiness and Preparatory Support as set forth in the Detailed Budget, provided that (i) such Goods and Services have been procured in accordance with the provisions of this Framework Agreement; and (ii) such expenditures were incurred prior to the completion of the relevant Approved R&P Support Proposal |
| Executing Entity | Partner directly managing the project, executing project activities, monitoring project progress, sub-contracting, managing project staff and funds, and carrying out other project management functions. |
| Goods | means goods, equipment and materials to be financed out of the proceeds of a Grant, including related services such as transportation, insurance, installation, commissioning, training, and initial maintenance, but excluding Services. |
| Miscellaneous income | Means any income, interest or gains earned on the funds received from UNEP or any other receipts which do not fall under any other provisions of contributions from donors |
| Prohibited Practice | means any of the following: (a) the offering, giving, receiving, or soliciting, directly or indirectly, anything of value (including but not limited to gifts, gratuities, favors, invitations, and benefits of any kind) to influence improperly the actions of another party (" Corrupt Practice "); (b) any act or omission, including a misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain a financial or other benefit, or to avoid an obligation (" Fraudulent Practice "); (c) the impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party (" Coercive Practice "); (d) an arrangement between two or more parties designed to achieve an improper purpose, including to improperly influence the actions of another party (" Collusive Practice "); (e) includes (i) deliberately destroying, falsifying, altering, or concealing evidence material to an investigation; (ii) making false statements to investigators in order to materially impede an investigation; |

| | |
|-------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | <ul style="list-style-type: none"> (iii) other than pursuant to Error! Reference source not found., failing to comply with requests to provide information, documents or records in connection with a Fund investigation; (iv) threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or (v) other than pursuant to Error! Reference source not found., materially impeding the Fund's contractual rights of audit or access to information ("Obstructive Practice"); (vi) theft, misappropriation, waste or improper use of property or assets related to Fund-related Activity, either committed intentionally or through reckless disregard ("Theft"); (vii) any detrimental act, direct or indirect, recommended, threatened or taken against a whistleblower or witness, or person associated with a whistleblower or witness, in a manner material to a complaint because of the report or cooperation with a Fund investigation by the whistleblower or witness; (viii) any of: (A) the conversion or transfer of property, knowing that such property is the proceeds of crime, for the purpose of concealing or disguising the illicit origin of the property or of helping any person who is involved in the commission of the crime to evade the legal consequences of his or her action; (B) the concealment or disguise of the true nature, source, location, disposition, movement or ownership of or rights with respect to property, knowing that such property is the proceeds of crime; or (C) the acquisition, possession or use of property knowing at the time of its receipt that it is derived from a criminal offence ("Money Laundering"); and (ix) the act of, directly or indirectly, providing or collecting funds with the intention that they should be used or in the knowledge that they are to be used, in full or in part, to support individuals or entities associated with terrorism as identified on the list maintained by the United Nations Security Council Committee established pursuant to resolution 1267 (1999), which list can be accessed via https://www.un.org/sc/suborg/en/sanctions/1267/aq_sanctions_list. ("Terrorist Financing"); |
| Project closure date | Date when UN Environment is able to financially close the project in UN Environment's accounting system. |
| Project completion date | Date when substantive/technical activities carried out under the project are completed i.e. when all activities listed in the project document are satisfactorily completed, the envisaged outputs are produced and enough data is available to allow the Task Manager to prepare the final report. |
| Project effectiveness | Date of initial funds' disbursement by the Accredited Entity. |
| Project implementation period | The period during which the project shall be implemented, starting from the project commencing date and ending on the project completion date. |
| Project inception meeting | Meeting to be organized when the project execution team is in place, the Steering Committee has been established and project partners sub-contracted. Key outputs of the inception meeting are: refined definition of roles and responsibilities among partners, agreed monitoring plan including key outcome indicators, means of verification, and plan for gathering any additional baseline data. |
| Project management | In accordance with Green Climate Fund guidance, project management costs should not exceed 5% for projects with budgets over USD 2 million. |

| | |
|--------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| costs | For projects with budgets under USD 2 million, the project management costs should not exceed 10%. These refer to staff costs needed for project management and administration, audit, and related office infrastructure as specified in the project management component of the budget in the approved proposals. Any management costs in excess of the above percentages would need to be borne by the Executing Entity (in-kind co-financing) or from third party co-financing. |
| Suspension | Refers to the temporary halt of project activities and financial disbursements. Written notification between The Parties should take place. Re-initiation of activities should also be communicated in writing. |
| Task Manager | UN Environment staff assigned to oversee the project execution. |
| Termination | Refers to the termination of project activities before the expected completion date and before all activities take place. Written notification between the Parties is essential and should be done at least 3 months in advance on intended termination date to allow for the orderly conclusion of activities and withdrawal of personnel. |



Contact Details (PCA)

Correspondence on substantive and technical matters shall be addressed to:

| At the Partner | At UNEP |
|---------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| {Name} {the substantive person within the Executing Agency responsible for project execution} {Address} | Ms. Ermira Fida Green Climate Fund Coordinator Corporate Services Division P. O. Box 30552 Nairobi 00100, Kenya Tel: +254 207 233122 Email: Ermira.fida@unep.org |
| | {Name} Task Manager xxxx Division P. O. Box 30552 Nairobi 00100, Kenya Tel: +(254-20) 762-xxxx Email: |
| | Copy to: Fund Management Officer |

Correspondence on administrative and financial matters shall be addressed to:

| At the Partner | At UNEP |
|---------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| {Name} {the Administration/Financial Manager in the Executing Agency} {Address} | Chief, Corporate Services Division P.O. Box 30552 Nairobi 00100, Kenya Tel:+(254-20) 762-3795 Email:unepgcf@unep.org |
| | {Name} Fund Management Officer Division of GEF Coordination P.O. Box 30552 Nairobi 00100 Kenya Tel: +(254-20) 762- xxxx Fax:+(254-20) 762-4041 Email: |
| | Copy to: Task Manager |

Annex 5_Project Implementation Pla

| | Month | Year 1 | | | | | | | | | | | | AFTER PROJECT | | | | | |
|-------------------------------------------------------------------------------------------------------------------------------------------------|----------------|--------|---|---|---|---|---|---|---|---|----|----|----|---------------|----|----|----|----|----|
| | | J | F | M | A | M | J | J | A | S | O | N | D | J | F | M | A | M | J |
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 |
| GCF Readiness Proposal Title: | | | | | | | | | | | | | | | | | | | |
| Project executing partner: | | | | | | | | | | | | | | | | | | | |
| Project implementation period (add additional years as required): | | | | | | | | | | | | | | | | | | | |
| Note: Plan should cover an additional 6 months after the project is completed to cover requirements for final reporting and terminal evaluation | | | | | | | | | | | | | | | | | | | |
| Executing Agency | UN Environment | | | | | | | | | | | | | | | | | | |
| 1 Inception meeting/workshop + report of meeting | | | | | | | | | | | | | | | | | | | |
| 2 Procure equipment | | | | | | | | | | | | | | | | | | | |
| 3 Hire consultants and project staff | | | | | | | | | | | | | | | | | | | |
| 4 Prepare sub-contracts (if required) | | | | | | | | | | | | | | | | | | | |
| 5 Establish M&E system | | | | | | | | | | | | | | | | | | | |
| 6 Expenditure report - 15 April, 15 July, 15 October and 15 January | | | | | | | | | | | | | | | | | | | |
| 7 Progress report - by 15 January + 15 July | | | | | | | | | | | | | | | | | | | |
| 8 Annual audit report - Dec 31+ 180 days | | | | | | | | | | | | | | | | | | | |
| 9 Annual non-expendable equipment report Dec 31+ 30 days | | | | | | | | | | | | | | | | | | | |
| 10 Year end review of project accounts Dec 31+ 60 days | | | | | | | | | | | | | | | | | | | |
| 11 Project revisions | | | | | | | | | | | | | | | | | | | |
| 12 Progress reports to GCF | | | | | | | | | | | | | | | | | | | |
| 13 Project brochure/newsletter/banner (where applicable) | | | | | | | | | | | | | | | | | | | |
| 14 Training workshops/seminars | | | | | | | | | | | | | | | | | | | |
| 15 Project steering committee meeting + minutes of meeting | | | | | | | | | | | | | | | | | | | |
| 16 Site visits + mission reports | | | | | | | | | | | | | | | | | | | |
| 17 Technical/substantive completion | | | | | | | | | | | | | | | | | | | |
| 18 Final report + outputs | | | | | | | | | | | | | | | | | | | |
| 19 Completion revision | | | | | | | | | | | | | | | | | | | |
| 20 Final audit report for project | | | | | | | | | | | | | | | | | | | |
| 21 Terminal evaluation | | | | | | | | | | | | | | | | | | | |
| 22 Return unspent funds (if applicable) | | | | | | | | | | | | | | | | | | | |
| 23 Closing revision | | | | | | | | | | | | | | | | | | | |

CASH ADVANCE STATEMENT

(for projects where only the GEF project grant is channelled through UNEP)

Project title: _____
 Project number: GFL-2328-pppp-nnnn
 Project executing partner: _____
 Project implementation period: From: _____ To: _____
 Cash requirements for the period: From: _____ To: _____

GEF APPROVED BUDGET

| | | | |
|-------------------------------------------------|---|------|---|
| For use by project executing partner | A | US\$ | |
| For use by UNEP - budget lines (insert numbers) | | | |
| Total approved GEF Trust Fund budget | | | - |

STATEMENT OF CASH RECEIPTS AND EXPENDITURES

Cash advances for project received from UNEP to date

| Advance number | Date received | | US\$ |
|------------------------------------------|---------------|---------|------|
| 1 | _____ | | |
| 2 | _____ | | |
| 3 | _____ | | |
| 4 | _____ | | |
| 5 | _____ | | |
| 6 | _____ | | |
| Total cash advances received to date | | B | - |
| Cumulative expenditures reported to date | | C | |
| Cash balance held by executing partner | | D = B-C | - |

CASH ADVANCE REQUIREMENT

| | | |
|----------------------------------------------------------------------------------------------|---------|---|
| <u>Estimated disbursements</u> for the next period (as analysed on the appended schedule) | E | |
| New cash advance requested | F = E-D | - |

BALANCE OF GEF APPROVED BUDGET NOT YET REQUESTED

| | | |
|-------------------------------------------------|-----------|---|
| Total GEF budget approved for executing partner | A | |
| Total cash advances received to date | B | |
| New cash advance requested | F | |
| GEF approved budget not yet requested | H = A-B-F | - |

Request approved by

 Name of duly authorized official of the Executing Agency Signature Date

For UNEP official use only

I certify the figures reported in A, B, C & D and totals above are correct and are properly recorded in IMIS

 Name Signature Date

 UNEP DGEF certifying officer

I authorize a cash advance of US\$ _____

 UNEP project Task Manager

DETAILS OF THE ESTIMATED DISBURSEMENTS FOR THE NEXT PERIOD

From: _____

To: _____

| BL* | Budget Line description | Expenditure Estimate | Explanation |
|------|-------------------------------------------|----------------------|-------------|
| 1101 | Project Personnel | | |
| 1201 | Consultant | | |
| 1301 | Admin personnel | | |
| 1601 | Travel on official business | | |
| 2101 | Sub-contract (UN agency) | | |
| 2201 | Sub-contract (supporting organization) | | |
| 2301 | Sub-contract (business entity) | | |
| 3201 | Group training | | |
| 3301 | Meeting/Conference | | |
| 4101 | Expendable equipment | | |
| 4201 | Non-expendable equipment | | |
| 4301 | Premises | | |
| 5101 | Operations and Maintenance | | |
| 5201 | Reporting | | |
| 5301 | Sundry | | |
| 99 | Total (as per E in Cash Statement) | - | |

*Budget Lines (BL) in this report shall be exactly as specified in the approved budget of the project.

2

Annex 7_Quarterly expenditure statement template

| EXPLANATION FOR EXPENDITURES REPORTED IN QUARTERLY EXPENDITURE STATEMENT | | | | | | | |
|--------------------------------------------------------------------------|-----|-------|-----|-------------------------------|-------------|--|----------------------------------------------------------|
| From: | To: | From: | To: | Total expenditure for QUARTER | EXPLANATION | | |
| Cost Categories | | | | | | | |
| | | | | | | | |
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| | | | | | | | |
| | 99 | | | - | | | <i>equals total of column D, E, F or G (as relevant)</i> |

**Budget Lines (BL) in this report shall be exactly as specified in the approved budget (Appendix 1) of the project.

Name:

Title:

Signature:

Date:

Name of Project Manager:

Signature:

United Nations Environment Programme
 Half Yearly Progress Report To

Reporting Period: From: To

1. PROJECT GENERAL INFORMATION

| | |
|---------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Country | |
| 2. Project Title | |
| 3. Executing entity name | |
| 4. Project actual start date | |
| 5. Project intended completion date | |
| 6. Project expected completion date | |
| 7. Date of 1st disbursement received from UN Environment | Click or tap to enter a date. |
| 8. Tranche number of the committed funding during the reporting period | First Tranche |
| 9. Reporting period | From To: |
| 10. Total approved grant amount | |
| 11. Total grant amount received from UN Environment during the reporting period | USD |
| 12. Total grant amount expended during the reporting period | USD 0 |
| 13. Documents provided (Please tick the relevant boxes) | <input checked="" type="checkbox"/> Interim Progress Report <input type="checkbox"/> Procurement Plan <input type="checkbox"/> Subsequent Disbursement Request <input type="checkbox"/> Financial Report <input type="checkbox"/> Audited Financial Report |

2

2. PROJECT PROGRESS AND RISK MANAGEMENT

2.1 Narrative of project progress during the past semester¹

| |
|--|
| |
|--|

¹ Briefly describe progress made during the previous six months highlighting major outcomes/benchmarks achieved during the period.

2.2 Reporting On Country Readiness Logical Framework ²

Progress is reported for the period (should be consistent with section 1.8) From: Click or tap to enter a date. To: 6/30/2018

| Outcome 1: Country capacity strengthened | | | | | | | | | |
|----------------------------------------------------------------------------|--------------------------------------------------------------------------------|----------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|-------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Outcome narrative: Please provide a brief summary of the outcome achieved. | | | | | | | | | |
| Outputs | Baseline summary | Activities | Targets achieved | Indicators | Milestones and deliverables achieved ³ | Variance explanation | Qualitative assessment of activities undertaken | Planned activities and deliverables/milestones for the next reporting period | |
| 1.1 NDA/focal point lead effective coordination mechanism | Please provide a brief summary of the baseline scenario at the proposal stage. | [Example] 1.1.1 Develop operational guidelines/manuals for the functioning | Please rate the status of targets achieved through activities undertaken during the reporting period in the scale 0 to 2, against the baseline and the proposed targets in the proposal | Based on your approved proposal, please outline the key indicators | Please state the milestones and deliverables achieved as agreed in Grant Agreement. | In this column, identify and explain the reasons for the difference, if any, between a planned activity and the corresponding actual activity that took place during the reporting period. | In this column, summarize some of the qualitative results achieved through activities undertaken during the reporting period. | Please highlight activities, deliverables and milestones planned for the next reporting period and indicate any modifications that might be made from the original implementation plan. Also, indicate any corrective actions that need to be taken up to address impediments that emerged in the reporting period. | |

² Information provided in “Quarterly Expenditure Statement and Explanation of Expenditures Reported” should be in line with output/activity progress reported in this table.

³ If possible, please provide hyperlinks to supporting documents.

A

| Outcome 1: Country capacity strengthened | | | | | | | | | |
|-----------------------------------------------------------------------------------|------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|------------------|------------|---------------------------------------------------|----------------------|-------------------------------------------------|----------------------------------------------------------------------------------------------|--|
| Outcome narrative: Please provide a brief summary of the outcome achieved. | | | | | | | | | |
| Outputs | Baseline summary | Activities | Targets achieved | Indicators | Milestones and deliverables achieved ³ | Variance explanation | Qualitative assessment of activities undertaken | Planned activities and correspondingly deliverables/milestones for the next reporting period | |
| 1.2 No objection procedure established and implemented | | of the NDA, including a procedure to establish an effective coordination mechanism 1.1.2 <i>List activity 2</i> 1.1.3 <i>List activity 3</i> | | | NDA's roles and functions | | | | |
| 1.3 Bilateral agreements between the country and the GCF executed | | | Choose an item. | | | | | | |
| 1.4 Monitoring, oversight and streamlining of climate finance | | | Choose an item. | | | | | | |
| Outcome 2: Stakeholders engaged in consultative processes | | | | | | | | | |
| Outcome narrative: Please provide a brief summary of the outcome achieved. | | | | | | | | | |

| Outputs | Baseline summary | Activities | Targets achieved | Indicators | Milestones and deliverables achieved ⁴ | Variance explanation | Qualitative assessment of activities undertaken | Planned activities and deliverables/milestones for the next reporting period |
|---------------------------------------------------------------------------------------------|------------------|-------------------------------------------------------------------|------------------|------------|---------------------------------------------------|----------------------|-------------------------------------------------|------------------------------------------------------------------------------|
| 2.1 Stakeholders engaged in consultative processes | | [Example] 2.1.1 List activity 1 2.1.2 List activity 2 | Choose an item. | | | | | |
| 2.2 Country programmes, including adaptation priorities, developed and continuously updated | | | Choose an item. | | | | | |
| 2.3 Stakeholder consultations conducted with equal representation of women | | | Choose an item. | | | | | |
| 2.4 Annual participatory review of GCF portfolio in the country organized | | | Choose an item. | | | | | |

Outcome 3: Direct access realized

Outcome narrative: Please provide a brief summary of the outcome achieved.

⁴ If possible, please provide hyperlinks to supporting documents.

Annex 8_Portfolio report template

| Outputs | Baseline summary | Activities | Targets achieved | Indicators | Milestones and deliverables achieved ⁵ | Variance explanation | Qualitative assessment of activities undertaken | Planned activities and corresponding deliverables/milestones for the next reporting period |
|-----------------------------------------------------------------------------------|------------------|-----------------------------------------------------------------------|------------------|------------|---------------------------------------------------|----------------------|-------------------------------------------------|--------------------------------------------------------------------------------------------|
| 3.1 Candidate entities identified and nominated for accreditation | | [Example] 3.1.1.1 List activity 1 3.1.1.2 List activity 2 | Choose an item. | | | | | |
| 3.2 Direct access entity accredited | | | Choose an item. | | | | | |
| 3.3 Entity/ies annual/multi-annual work programme developed | | | Choose an item. | | | | | |
| 3.4 Funding proposals through enhanced direct access modality approved | | | Choose an item. | | | | | |
| Outcome 4: Access to finance | | | | | | | | |
| Outcome narrative: Please provide a brief summary of the outcome achieved. | | | | | | | | |

⁵ If possible, please provide hyperlinks to supporting documents.

Annex 8_Portfolio report template

| Outputs | Baseline summary | Activities | Targets achieved | Indicators | Milestones and deliverables achieved ⁶ | Variance explanation | Qualitative assessment of activities undertaken | Planned activities and corresponding deliverables/milestones for the next reporting period |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|-------------------------------------------------------------------|------------------|------------|---------------------------------------------------|----------------------|-------------------------------------------------|--------------------------------------------------------------------------------------------|
| 4.1 Structured dialogue between the NDA/Accredited Entities and the GCF Secretariat organized | | [Example] 4.1.1 List activity 1 4.1.2 List activity 2 | Choose an item. | | | | | |
| 4.2 Country programmes, concept notes, including on adaptation, developed that implement high-impact priorities identified in INDCs and other national strategies or plans | | | Choose an item. | | | | | |
| 4.3 Project/programme preparation support, including for adaptation, to develop funding proposals provided | | | Choose an item. | | | | | |

⁶ if possible, please provide hyperlinks to supporting documents.

Annex 8_Portfolio report template

| Outcome 4: Access to finance | | | | | | | | | |
|----------------------------------------------------------------------------------------------------|------------------|------------|------------------|------------|---------------------------------------------------|----------------------|-------------------------------------------------|--------------------------------------------------------------------------------------------|--|
| Outcome narrative: Please provide a brief summary of the outcome achieved. | | | | | | | | | |
| Outputs | Baseline summary | Activities | Targets achieved | Indicators | Milestones and deliverables achieved ⁶ | Variance explanation | Qualitative assessment of activities undertaken | Planned activities and corresponding deliverables/milestones for the next reporting period | |
| 4.4 Funding proposals, including for adaptation, that are aligned with country priorities approved | | | Choose an item, | | | | | | |

| Outcome 5: Private sector mobilization | | | | | | | | | |
|----------------------------------------------------------------------------|------------------|------------|------------------|------------|---------------------------------------------------|----------------------|-------------------------------------------------|--------------------------------------------------------------------------------------------|--|
| Outcome narrative: Please provide a brief summary of the outcome achieved. | | | | | | | | | |
| Outputs | Baseline summary | Activities | Targets achieved | Indicators | Milestones and deliverables achieved ⁷ | Variance explanation | Qualitative assessment of activities undertaken | Planned activities and corresponding deliverables/milestones for the next reporting period | |
| 5.1 Private sector engaged in country consultative processes | | | Choose an item, | | | | | | |

⁷ If possible, please provide hyperlinks to supporting documents.

| Outcome 5: Private sector mobilization | | | | | | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|------------|------------------|------------|---------------------------------------------------|----------------------|-------------------------------------------------|--------------------------------------------------------------------------------------------|--|
| Outcome narrative: Please provide a brief summary of the outcome achieved. | | | | | | | | | |
| Outputs | Baseline summary | Activities | Targets achieved | Indicators | Milestones and deliverables achieved ⁷ | Variance explanation | Qualitative assessment of activities undertaken | Planned activities and corresponding deliverables/milestones for the next reporting period | |
| 5.2 Enabling environment for crowding-in private sector investments at national, regional and international levels exists | | | Choose an item. | | | | | | |
| 5.3 Funding proposals for private sector projects/programmes, including for adaptation, approved | | | Choose an item. | | | | | | |
| 5.4 Funding proposals under the Private Sector Facility programmes (small- and medium-sized enterprises and mobilizing funds at scale) submitted and approved | | | Choose an item. | | | | | | |

2.3 Action plan to address any project shortcomings.

This section should be completed if project progress was rated MS, MU, U or HU during the previous Portfolio Report.

| Problem(s) identified in previous PR | Action(s) taken | By whom | When |
|--------------------------------------|-----------------|---------|------|
| | | | |
| | | | |
| | | | |

2.4 Risk management

If internal or external risks were rated as **Substantial** or **High** during the previous Portfolio Report, please indicate what risk mitigation measures were implemented during the period and with what results:

| Risk Statement | Action taken | By who | Date | Result |
|----------------|--------------|--------|------|--------|
| | | | | |
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3. MONITORING AND EVALUATION

3.1. Please describe activities for monitoring and evaluation carried out during the reporting period⁸

4. INVENTORY OF STAFF, CONTRACTS, MEETINGS AND OUTPUTS

⁸ Do not include routine project reporting. Examples of M&E activities include baseline data collection, stakeholder surveys, field surveys, steering committee meetings to assess project progress, peer review of documentation to ensure quality, mid-term review, etc.

Annex 8 Portfolio report template

4.1 Staffing details of Executing Entity (Applies to personnel, experts, consultants paid by the project budget

| Functional Title | Nationality | Budget Line (1101, 1102, 1201, 1301, etc) |
|------------------|-------------|-------------------------------------------|
| | | |
| | | |
| | | |
| | | |

4.2 Sub-contracts⁹

| Name of contractee | Address | Budget Line (2101, 2201, 2301, etc) |
|--------------------|---------|-------------------------------------|
| | | |
| | | |
| | | |
| | | |

4.3 Meetings¹⁰

| Meeting type ¹¹ | Title | Venue | Dates | Convened by | Organized by | Number of participants | Report issued Yes/No | Language | Dated |
|----------------------------|-------|-------|-------|-------------|--------------|------------------------|----------------------|----------|-------|
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

4.4 List(s) of meeting participants¹²

| No. | Name of participant | Nationality |
|-----|---------------------|-------------|
| | | |
| | | |
| | | |

⁹ Expand table if necessary

¹⁰ Expand table if necessary

¹¹ Meeting types: Inter-governmental meeting, expert group meeting, project inception workshop, training workshop/seminar, partners consultation workshop, project Steering Committee meeting, other.

¹² Expand table if necessary

4.5 Documents, other printed materials, videos, and soft products (such as CDs or websites)

| No | Type ¹³ | Title | Author(s) Editor(s) | Publisher | ISBN | Publication date |
|----|--------------------|-------|------------------------|-----------|------|---------------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |
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5. BUDGET & EXPENDITURE REPORTING

| Project Outcome | Activities | Cost Categories | Approved Budget | Expenditure | Commitment | Total Expenditure | Available Budget | Planned Budget for next reporting period | Fund Requested for the next reporting period |
|--------------------|------------|--------------------------|-----------------|-------------|------------|-------------------|------------------|---------------------------------------------|-------------------------------------------------|
| | | | | | | | | | |
| Outcome 1 | 1.1.1 | International Consultant | | | | | | | |
| | | National Consultant | | | | | | | |
| | | Workshops/Trainings | | | | | | | |
| Outcome 2 | 1.1.2 | Travel | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

¹³ Documents and printed material types are: Report to inter-governmental meeting, technical publication, meeting report, technical/substantive report, brochures, media releases, etc.

6. PROCUREMENT PLAN FOR THE NEXT IMPLEMENTATION PERIOD

| Item to procure | Unit Number or Work month/day | Lump sum or Unit rate | Total Budget iii = (i x ii) | Procurement procedures used |
|-----------------|----------------------------------|-----------------------|--------------------------------|-----------------------------|
| | i | | | |
| | | | | |
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|--------------------------|-------|-------------------------------------|-------|
| Name of Project Manager: | | Name of Project Manager Supervisor: | |
| Signature: | Date: | Signature: | Date: |

United Nations Environment Programme - Procurement Management Plan (PMP)

| Description of Goods/Services/Works | Offices for which the goods/services/works are required | For consulting services, indicate associated Return of Legal person | Procurement Method (V/P/PSQ/ ITB/ RFP) | Expected long term agreement or one time purchase | Regulating package completed | Advertisement / Posting of RFPs / RFQ / RFI | Pre-Bid Conference | Submission / Opening of bids / Evaluation | Technical Evaluation | Financial Evaluation | Notice of Award | Contract | Notice to Proceed / Purchase Order | Required date for commencement of services/delivery of goods | Estimated Budget (in USD) | Ref |
|-------------------------------------|---------------------------------------------------------|---------------------------------------------------------------------|----------------------------------------|---------------------------------------------------|------------------------------|---------------------------------------------|--------------------|-------------------------------------------|----------------------|----------------------|-----------------|----------|------------------------------------|--------------------------------------------------------------|---------------------------|-----|
| | | | | | | | | | | | | | | | | |
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| | | | | | | | | | | | | | | | | |
| GRAND TOTAL | | | | | | | | | | | | | | | | |

Notes:

Signature Over Printed Name
(Position / Designation)

Reviewed by Corporate Services Division:

Signature Over Printed Name

Date Received: _____
Date Released: _____

NOTES

FINAL REPORT

1. Background Information

| | |
|------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1.1 | Project Title: |
| 1.2 | Project Number: |
| 1.3 | Responsible Divisions/Units in UNEP: |
| 1.4 | Project starting date: |
| 1.5 | Project completion date: |
| 1.6 | Reporting Period: |
| 1.7 | Reference to UNEP Sub-Programme/GCF Investment Priority and expected accomplishments: |
| 1.8 | Overall objectives of the project: (maximum quarter of a page) |
| 1.9 | Total Budget (US\$): (specify contributions by donor/s) |
| 1.10 | Partners and leveraged resources: |
| | Describe collaboration with partners. Specify supporting organizations as well as cooperating agencies and state their role. |
| | List the additional resources leveraged (beyond those committed to the project itself at time of approval) as a result of the project (financial and in-kind) |

2. Project Status

REPORTING ON COUNTRY READINESS LOGICAL FRAMEWORK

| Outcome 1: Country capacity strengthened | | | | | | | | |
|----------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
| Outcome narrative: Please provide a brief summary of the outcome achieved. | | | | | | | | |
| Outputs | Activities | Post-completion targets achieved | Progress against baseline | Indicators | Milestones and deliverables achieved ¹ | Variance explanation | Qualitative assessment of activities undertaken | Lessons learned and best practices |
| [Example] 1.1 NDA/focal point lead effective coordination mechanism | [Example] 1.1.1 Develop operational guidelines/manuals for the functioning of the NDA, including a procedure to establish an effective | Please rate the status of targets achieved after completion of activities in the scale 0 to 2, against the baseline and | Please provide a brief summary of the new baseline scenario at the post-completion stage. | Based on your approved proposal, please outline the key indicators. | Please state the milestones and deliverables achieved as agreed in the Grant Agreement. [Example] | In this column, identify and explain the reasons for the difference, if any, between a planned activity and | In this column, summarize some of the qualitative results achieved through activities undertaken. | Please summarize the key lessons learned and best practices which can be replicated |

¹ If possible, please provide hyperlinks to supporting documents.

Annex 10_Final report template

| Outcome 1: Country capacity strengthened | | | | | | | | |
|-----------------------------------------------------------------------------------|-----------------------------------|-----------------------------------------|----------------------------------|-------------------|---------------------------------------------------------------------------------------|----------------------------------------------------|--------------------------------------------------------|-------------------------------------------|
| Outcome narrative: Please provide a brief summary of the outcome achieved. | | | | | | | | |
| Outputs | Activities | Post-completion targets achieved | Progress against baseline | Indicators | Milestones and deliverables achieved¹ | Variance explanation | Qualitative assessment of activities undertaken | Lessons learned and best practices |
| | coordination mechanism: 1.1.2 etc | the proposed targets in the proposal. | | | Deliverable: An operational manual defining and describing NDA's roles and functions. | the corresponding actual activity that took place. | | or taken to scale. |
| 1.1 NDA/focal point lead effective coordination mechanism | | Choose an item. | | | | | | |
| 1.2 No objection procedure established and implemented | | Choose an item. | | | | | | |
| 1.3 Bilateral agreements between the country and the GCF executed | | Choose an item. | | | | | | |
| 1.4 Monitoring, oversight and streamlining of climate finance | | Choose an item. | | | | | | |

Outcome 2: Country Programme Established

Outcome narrative: Please provide a brief summary of the outcome achieved.



Annex 10_Final report template

| Outputs | Activities | Post-completion targets achieved | Progress against baseline | Indicators | Milestones and deliverables achieved ² | Variance explanation | Qualitative assessment of activities undertaken | Lessons learned and best practices |
|---------------------------------------------------------------------------------------------|------------|----------------------------------|---------------------------|------------|---------------------------------------------------|----------------------|-------------------------------------------------|------------------------------------|
| 2.1 Stakeholders engaged in consultative processes | | Choose an item. | | | | | | |
| 2.2 Country programmes, including adaptation priorities, developed and continuously updated | | Choose an item. | | | | | | |
| 2.3 Stakeholder consultations conducted with equal representation of women | | Choose an item. | | | | | | |
| 2.4 Annual participatory review of GCF portfolio in the country organized | | Choose an item. | | | | | | |

Outcome 3: Direct access realized

Outcome narrative: Please provide a brief summary of the outcome achieved.

| Outputs | Activities | Post-completion targets achieved | Progress against baseline | Indicators | Milestones and deliverables achieved ³ | Variance explanation | Qualitative assessment of activities undertaken | Lessons learned and best practices |
|-------------------------------------------------------------------|------------|----------------------------------|---------------------------|------------|---------------------------------------------------|----------------------|-------------------------------------------------|------------------------------------|
| 3.1 Candidate entities identified and nominated for accreditation | | Choose an item. | | | | | | |

² If possible, please provide hyperlinks to supporting documents.

³ If possible, please provide hyperlinks to supporting documents.

Annex 10_Final report template

| Outcome 3: Direct access realized | | | | | | | | |
|-----------------------------------------------------------------------------------|------------|----------------------------------|---------------------------|------------|---------------------------------------------------|----------------------|-------------------------------------------------|------------------------------------|
| Outcome narrative: Please provide a brief summary of the outcome achieved. | | | | | | | | |
| Outputs | Activities | Post-completion targets achieved | Progress against baseline | Indicators | Milestones and deliverables achieved ³ | Variance explanation | Qualitative assessment of activities undertaken | Lessons learned and best practices |
| 3.2 Direct access entity accredited | | Choose an item. | | | | | | |
| 3.3 Entity/ies annual/multi-annual work programme developed | | Choose an item. | | | | | | |
| 3.4 Funding proposals through enhanced direct access modality approved | | Choose an item. | | | | | | |

| Outcome 4: Access to finance | | | | | | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------------|---------------------------|------------|---------------------------------------------------|----------------------|-------------------------------------------------|------------------------------------|
| Outcome narrative: Please provide a brief summary of the outcome achieved. | | | | | | | | |
| Outputs | Activities | Post-completion targets achieved | Progress against baseline | Indicators | Milestones and deliverables achieved ⁴ | Variance explanation | Qualitative assessment of activities undertaken | Lessons learned and best practices |
| 4.1 Structured dialogue between the NDA/Accredited Entities and the GCF Secretariat organized | | Choose an item. | | | | | | |
| 4.2 Country programmes, concept notes, including on adaptation, developed that implement high-impact priorities identified in INDCs and other national | | Choose an item. | | | | | | |

⁴ If possible, please provide hyperlinks to supporting documents.

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| Outcome 4: Access to finance | | | | | | | | |
|----------------------------------------------------------------------------------------------------------------------|------------|----------------------------------|---------------------------|------------|---------------------------------------------------|----------------------|-------------------------------------------------|------------------------------------|
| Outcome narrative: Please provide a brief summary of the outcome achieved. | | | | | | | | |
| Outputs | Activities | Post-completion targets achieved | Progress against baseline | Indicators | Milestones and deliverables achieved ⁴ | Variance explanation | Qualitative assessment of activities undertaken | Lessons learned and best practices |
| <i>strategies or plans</i> | | | | | | | | |
| 4.3 <i>Project/programme preparation support, including for adaptation, to develop funding proposals provided</i> | | Choose an item. | | | | | | |
| 4.4 <i>Funding proposals, including for adaptation, that are aligned with country priorities approved</i> | | Choose an item. | | | | | | |

| Outcome 5: Private sector mobilization | | | | | | | | |
|-------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------------|---------------------------|------------|---------------------------------------------------|----------------------|-------------------------------------------------|------------------------------------|
| Outcome narrative: Please provide a brief summary of the outcome achieved. | | | | | | | | |
| Outputs | Activities | Post-completion targets achieved | Progress against baseline | Indicators | Milestones and deliverables achieved ⁵ | Variance explanation | Qualitative assessment of activities undertaken | Lessons learned and best practices |
| 5.1 <i>Private sector engaged in country consultative processes</i> | | Choose an item. | | | | | | |
| 5.2 <i>Enabling environment for crowding-in private sector investments at national, regional and international levels exists</i> | | Choose an item. | | | | | | |
| 5.3 <i>Funding proposals for private sector projects/programmes, including for adaptation, approved by GCF Board</i> | | Choose an item. | | | | | | |

⁵ If possible, please provide hyperlinks to supporting documents.



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| Outcome 5: Private sector mobilization | | | | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------------|---------------------------|------------|---------------------------------------------------|----------------------|-------------------------------------------------|------------------------------------|
| Outcome narrative: Please provide a brief summary of the outcome achieved, | | | | | | | | |
| Outputs | Activities | Post-completion targets achieved | Progress against baseline | Indicators | Milestones and deliverables achieved ⁵ | Variance explanation | Qualitative assessment of activities undertaken | Lessons learned and best practices |
| 5.4 Funding proposals under the Private Sector Facility programmes (small- and medium-sized enterprises and mobilizing funds at scale) submitted and approved by GCF Board | | Choose an item. | | | | | | |

| | |
|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2.2 | List lessons learned and best practices |
| 2.3 | State how the project has nurtured sustainability. Is the project or project methodology replicable in other countries or regions? If yes, are there any concrete examples or requests? |

3. ACTUAL IMPLEMENTATION TIMETABLE

| Outcome 1. Country capacity strengthened | | Actual Overall Timeline of Implementation of Activities (Number of months can be adjusted according to the actual project duration and milestones.) | | | | | | | | | | | | | | | | | | | | | | | |
|------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| Outputs ⁶ | Activities | | | | | | | | | | | | | | | | | | | | | | | | |
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| | Please briefly describe activities and the deliverables agreed in the Grant Agreement, according to their actual duration and delivery. Please note that deliverables should be stated in a separate row from activities in consistency with the key deliverables listed in Section 1 of this report. A deliverable is expressed in some tangible form, whether it's a product, process, plan, policy or some other outcome. | <i>Please shade each box based on the progress of planned activities.</i> | | | | | | | | | | | | | | | | | | | | | | | |

⁶ If needed, please adjust the outputs based on the submitted readiness proposal.

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| Outcome 1. Country capacity strengthened | | | | | | | | | | | | | | | | | | | | | | | | | | |
|-------------------------------------------------------------------|--------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|--|
| Outputs ⁶ | Activities | Actual Overall Timeline of Implementation of Activities (Number of months can be adjusted according to the actual project duration and milestones.) | | | | | | | | | | | | | | | | | | | | | | | | |
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 | |
| | | (Example) 1.1 NDA/focal point lead effective coordination mechanism | [Example] 1.1.1 Develop operational guidelines/manuals for the functioning of the NDA, including a procedure to establish an effective coordination mechanism | | | | | | | | | | | | | | | | | | | | | | | |
| | Deliverable: An operational manual defining and describing NDA's roles and functions | | | | | | | | | | | | | | | | | | | | | | | | | |
| | [Example] 1.1.2 List activity 2 | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Deliverable | | | | | | | | | | | | | | | | | | | | | | | | | |
| | [Example] 1.1.3 List activity 3 | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1.1 NDA/focal point lead effective coordination mechanism | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1.2 No objection procedure established and implemented | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1.3 Bilateral agreements between the country and the GCF executed | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1.4 Monitoring, oversight and streamlining of climate finance | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Outcome 2. Stakeholders engaged | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Outputs ⁷ | Activities | Actual Overall Timeline of Implementation of Activities (Number of months can be adjusted according to the actual project duration and milestones.) | | | | | | | | | | | | | | | | | | | | | | | | |
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 | |
| | | 2.1 Stakeholders engaged in consultative processes | | | | | | | | | | | | | | | | | | | | | | | | |

⁷ If needed, please adjust the outcomes based on the submitted readiness proposal.



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| Outcome 2. Stakeholders engaged | | | | | | | | | | | | | | | | | | | | | | | | | |
|----------------------------------------------------------------------------------------------------|------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| Outputs ⁷ | Activities | Actual Overall Timeline of Implementation of Activities (Number of months can be adjusted according to the actual project duration and milestones.) | | | | | | | | | | | | | | | | | | | | | | | |
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| <i>2.2 Country programmes, including adaptation priorities, developed and continuously updated</i> | | | | | | | | | | | | | | | | | | | | | | | | | |
| <i>2.3 Stakeholder consultations conducted with equal representation of women</i> | | | | | | | | | | | | | | | | | | | | | | | | | |
| <i>2.4 Annual participatory review of GCF portfolio in the country organized</i> | | | | | | | | | | | | | | | | | | | | | | | | | |

| Outcome 3. Direct access realized | | | | | | | | | | | | | | | | | | | | | | | | | |
|-------------------------------------------------------------------------------|------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| Outputs ⁸ | Activities | Actual Overall Timeline of Implementation of Activities (Number of months can be adjusted according to the actual project duration and milestones.) | | | | | | | | | | | | | | | | | | | | | | | |
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| <i>3.1 Candidate entities identified and nominated for accreditation</i> | | | | | | | | | | | | | | | | | | | | | | | | | |
| <i>3.2 Direct access entity accredited</i> | | | | | | | | | | | | | | | | | | | | | | | | | |
| <i>3.3 Entity(ies) annual/multi-annual work programme developed</i> | | | | | | | | | | | | | | | | | | | | | | | | | |
| <i>3.4 Funding proposals through enhanced direct access modality approved</i> | | | | | | | | | | | | | | | | | | | | | | | | | |



⁸ If needed, please adjust the outcomes based on the submitted readiness proposal.

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| Outcome 4. Access to finance | | | | | | | | | | | | | | | | | | | | | | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|--|
| Outputs ⁹ | Activities | Actual Overall Timeline of Implementation of Activities (Number of months can be adjusted according to the actual project duration and milestones.) | | | | | | | | | | | | | | | | | | | | | | | | |
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 | |
| 4.1 Structured dialogue between the NDA/Accredited Entities and the GCF Secretariat organized | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4.2 Country programmes, concept notes, including on adaptation, developed that implement high-impact priorities identified in INDCs and other national strategies or plans | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4.3 Project/programme preparation support, including for adaptation, to develop funding proposals provided | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4.4 Funding proposals, including for adaptation, that are aligned with country priorities approved | | | | | | | | | | | | | | | | | | | | | | | | | | |

⁹ If needed, please adjust the outcomes based on the submitted readiness proposal.



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| Outcome 5. Private sector mobilization | | | | | | | | | | | | | | | | | | | | | | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| Outputs ¹⁰ | Activities | Actual Overall Timeline of Implementation of Activities (Number of months can be adjusted according to the actual project duration and milestones.) | | | | | | | | | | | | | | | | | | | | | | | |
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| 5.1 Private sector engaged in country consultative processes | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5.2 Enabling environment for crowding-in private sector investments at national, regional and international levels exists | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5.3 Funding proposals for private sector projects/programmes, including for adaptation, approved | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5.4 Funding proposals under the Private Sector Facility programmes (small- and medium-sized enterprises and mobilizing funds at scale) submitted and approved | | | | | | | | | | | | | | | | | | | | | | | | | |

5. EXPENDITURE REPORTING

 ¹⁰ If needed, please adjust the outcomes based on the submitted readiness proposal.

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| Project Outcome | Activities | Cost Categories | Approved Budget | Expenditure | Commitment | Total Expenditure | Available Budget |
|-----------------|------------|--------------------------|-----------------|-------------|------------|-------------------|------------------|
| | | | i | ii | iii | iv = (ii + iii) | v = (i - iv) |
| Outcome 1 | 1.1.1 | International Consultant | | | | 0 | 0 |
| | | National Consultant | | | | 0 | 0 |
| | | Workshops/Trainings | | | | 0 | 0 |
| | | | | | | 0 | 0 |
| | | | | | | 0 | 0 |
| | 1.1.2 | Travel | | | | 0 | 0 |
| Outcome 2 | | | | | | 0 | 0 |
| | | | | | | 0 | 0 |
| | | | | | | 0 | 0 |

6. PROCUREMENT

| Procured item | Cost | Procurement procedures used | Final disposition |
|---------------|------|-----------------------------|-------------------|
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| TOTAL | | | |

List of attached documents

| | |
|--|-----------------------------------------------------------------------------------------------------------|
| | (For example: publications, reports of meetings/training seminars/workshops, lists of participants, etc.) |
|--|-----------------------------------------------------------------------------------------------------------|



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| | | | |
|---------------------------------------------------------|--------------|---------------------------------|--------------|
| Name of authorized official of Executing Agency: | | Name of Project Manager: | |
| Title: | | | |
| Signature: | Date: | Signature: | Date: |

