

S1-32CBL-000001; SB-011185.03  
Grantor Program: GP00001678  
Total Cost to GEF: US\$ 1,100,000  
Executing Agency GEF Budget: US\$ 1,029,000  
UNEP ROLAC GEF Budget: \$41,000  
GEF Evaluation budget retained by UNEP: US\$ 30,000

**UNITED NATIONS ENVIRONMENT PROGRAMME  
PROJECT COOPERATION AGREEMENT (PCA)**

**FOR A**

**GLOBAL ENVIRONMENT FACILITY Medium Size Project Strengthening the capacity of  
the Dominican Republic to generate climate information and knowledge in the  
framework of the Paris Agreement**

This PROJECT COOPERATION AGREEMENT and its Appendixes (this "Agreement") is made:

**BETWEEN:** The United Nations Environment Programme (hereinafter referred to as "UNEP" and represented by the Director of Economy Division, an international inter-governmental organization established by the General Assembly of the United Nations, and having its office at P.O. Box 30552, Nairobi 00100, Kenya.

**AND:** Centro para el Desarrollo Agropecuario y Forestal (hereinafter referred to as "the Executing Agency"), a non-profit organization represented by its General Manager and having its office at Calle José Amado Soler # 50, Ensanche Paraíso, Santo Domingo, Postal code 567-2, Dominican Republic.

hereinafter collectively referred to as "the Parties".

WHEREAS, as a GEF Implementing Agency, and in accordance with the GEF Instrument, UNEP is accountable to the GEF Council for GEF-financed activities and to ensure that these are carried out in accordance with UNEP and GEF policies, criteria and procedures.

WHEREAS, Centro para el Desarrollo Agropecuario y Forestal affirms that it is a non-profit organization and non-partisan and that it has the capacities required to carry out the activities outlined in this Agreement, and that the activities under this Agreement shall be carried out without discrimination of any nature.

**Purpose**

1. The Agreement sets forth the terms and conditions of the cooperation between the Parties for the execution of the project Strengthening the capacity of the Dominican Republic to generate climate information and knowledge in the framework of the Paris Agreement (hereinafter referred to as the "project") as fully described in the CEO Endorsement Document appended as **Appendix 1**. The project was approved by the UNEP Project Approval Group (PAG) on \_\_\_\_\_ and by the Global Environment Facility (GEF) Chief Executive Officer (CEO) in the letter dated 31<sup>st</sup> March 2020 appended as **Appendix 2**.
2. The main objective of the project is to develop technical and logistical capacities for the creation and operation of an integrated monitoring system of Climate Change.

**Interpretation**

3. All Appendices appended to this Agreement shall be construed as an integral part of this Agreement.
4. Definitions of terms used in this Agreement are provided in **Appendix 3**.

### Duration

5. This Agreement shall come into force upon signature by the Parties, being effective from the date of the latest signature, and shall remain in force until 30<sup>th</sup> July 2024<sup>1</sup> after the last obligation of the Parties lapse, unless terminated earlier pursuant to clauses 52 to 63 of this Agreement. However, project effectiveness shall be the date of receipt by the Executing Agency of the first installment of funds.

### Cooperation

6. The Parties agree to cooperate with each other at all times and maintain close working relationships in order to achieve the objectives and outcomes of the project.
7. The Parties shall carry out their respective responsibilities in accordance with the provisions of this Agreement.
8. The Parties shall determine and communicate to each other the persons appointed as having the authority and responsibility for the project execution on its behalf.
9. Contact details for correspondence on substantive and technical matters as well as on administrative and financial matters are as set out in **Appendix 4**. Any changes to these contact details shall be communicated in a timely manner.
10. The Parties shall cooperate in any public relations or publicity exercises, when UNEP deems these appropriate or useful.

### Cost of the project

11. The total cost of the project is 1,460,000 of which 1,100,000 is GEF financing and the balance is co-financing as described below.

Cost to the GEF Trust Fund:	US\$ 1,100,000
Ministry of Environment and Natural Resources (in-kind):	US\$ 240,000
Ministry of Agriculture (in-Kind):	US\$ 60,000
Ministry of Energy and Mines (in-Kind):	US\$ 60,000
<b>Total cost of the project:</b>	<b>US\$ 1,460,000</b>

12. The detailed budget breakdown of the GEF funds in Annex H-1 of the CEO Endorsement Document (appended as **Appendix 1**) has been revised (Revision 1) and is appended as Appendix 16. For the detailed budget breakdown of co-finance refer to Annex H-2 of the CEO Endorsement Document (appended as **Appendix 1**)

### Terms and obligations of UNEP

13. With regard to project implementation, UNEP shall:
- a) Provide, in its role as GEF Implementing Agency, project oversight to ensure that GEF policies and criteria are adhered to and that the project meets its objectives and achieves expected outcomes in an efficient and effective manner. Project supervision is entrusted to the Director, who discharges this responsibility through the assigned UNEP/GEF Task Manager and Administrative Officer (refer to **Appendix 4**). Project supervision missions by the Task Manager and/or Administrative Officer are outlined in the project supervision plan appended as **Appendix 5**;

<sup>1</sup> The legal instrument remains in force for 12 months after the project completion date of 30<sup>th</sup> July 2023 to allow for receipt for all terminal reports including the final audit report and project financial closure.



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- b) Have a representative on the project steering committee (refer to Annex J of **Appendix 1**);
  - c) Perform the liaison function with the GEF Secretariat on the project;
  - d) Inform the GEF Secretariat whenever there is a potentially substantive co-financing change (i.e. one affecting the project objectives, the underlying concept, scale, scope, strategic priority, conformity with GEF criteria, likelihood of project success, or outcome of the project);
  - e) Rate, on an annual basis, progress in meeting project objectives, project implementation progress, risk, and quality of project monitoring and evaluation, and report to the GEF Secretariat through the Project implementation Review (PIR) report;
  - f) Review and clear manuscripts prepared by the Executing Agency before publication, and review and agree any publishing contracts;
  - g) Ensure that EO arranges for an independent terminal evaluation and submits its report to the GEF Evaluation Office (refer to Appendix 15);
  - h) As deemed appropriate, facilitate access to information, advisory services, technical and professional support available to UNEP and will assist the Executing Agency to access the advisory services of other United Nations Organizations, whenever necessary.
14. With regard to cash advances, UNEP shall:
- a) Provide all cash advances to the Executing Agency in US dollars up to the maximum amount of US\$ 1,029,000 by way of periodic cash advances.
  - b) From the total GEF grant of US\$1,100,000, UNEP shall withhold a total of US\$ 71,000, which shall be assigned as follows:
    - i. US\$41,000 for implementation of activities by the Regional Office for Latin America and the Caribbean (ROLAC) of UNEP, as per the revised budget (Appendix 16)
    - ii. US\$30,000 for the Terminal Evaluation as referenced in **clause 13 (g)**
  - c) Advance the first installment of US\$ 100,000 to the Executing Agency within 4 weeks following signature of the present Agreement;
  - d) Advance the second and subsequent installments to the Executing Agency within 2 weeks after a financial report and other agreed-upon documentation, as referenced in **clauses 34 and 37** of this Agreement, has been received and approved by UNEP showing satisfactory progress of activities and adequate management and use of GEF resources;
  - e) Make the final disbursement, normally amounting up to 5% of the total GEF-approved budget, upon submission and acceptance of the final report, outputs, final audited expenditure statement, co-finance report and final inventory of non-expendable equipment together with signed transfer agreement (if applicable). UNEP reserves the right to withhold the final payment in case of the Executing Agency's non-compliance of the abovementioned reporting obligations.
15. With regard to procurement, UNEP's clearance is required for the procurement of additional items costing above US\$ 2,500 that are not included in the procurement plan. The same principle of clearance by UNEP shall apply to service contracts or agreements to be procured that are not in the procurement plan (refer to **Appendix 6**) and costing above US\$ 5,000. UNEP may refuse to accept such expenditures being charged to the project budget in case of the Executing Agency's non-compliance of the abovementioned clearance obligation.

#### Terms and obligations of the Executing Agency



16. With regard to project execution, the Executing Agency shall:

- a) Undertake to be bound by the terms and obligations specified below, and shall accordingly ensure that the personnel performing project-related activities under the present Agreement comply with these obligations;
  - b) Not seek nor accept instructions regarding the activities under the present Agreement from any {other} Government or {other} authority external to UNEP;
  - c) Refrain from any conduct that would adversely reflect on the United Nations and shall not engage in any activity which is incompatible with the aims and objectives of the United Nations or the mandate of UNEP;
  - d) Before disclosing confidential information, each Party will obtain the express, written consent of the other party. In any event, such confidential information shall not be used for individual profit. The Executing Agency's focal point for this project may communicate with the media regarding the methods and scientific procedures used by the Executing Agency. However, UNEP clearance is required for the use of UNEP's name in conjunction with project activities in accordance with **clause 46** of this Agreement. The Executing Agency must adhere to the GEF Communication and Visibility Policy, including the Brand Guidelines and Graphics Standards of the GEF ([www.thegef.org](http://www.thegef.org)). This obligation shall not lapse upon termination of the present Agreement unless otherwise agreed between the Parties;
  - e) Inform UNEP in writing whenever there is a potentially substantive co-financing change;
  - f) Notify UNEP, in writing, about any expected variations on the project budget on an annual basis;
  - g) Share annual workplans and budgets with UNEP for review prior to the Project Steering Committee meetings;
  - h) Organize meetings of the Project Steering Committee (PSC) to review project progress, approve annual work plans and budgets and provide strategic guidance to the project (refer to Annex J of the CEO Endorsement Document, appended hereto as **Appendix 1**). Share the minutes of meeting of the Project Steering Committees with UNEP and the PSC members (within 2 weeks of the meeting);
  - i) With regard to subcontracts between the Executing Agency and its contractor(s), the Executing Agency shall:
    - I. Establish all subcontracts in writing which includes but is not limited to reporting and audit obligations which flow down in the subcontracts;
    - II. Maintain primary responsibility for ensuring successful completion of the project. This responsibility cannot be delegated or transferred to the Executing Agency's contractor(s);
    - III. Monitor the performance of their contractors and ensure that they comply with all applicable terms and conditions of their Agreement.
17. With regard to personnel administration, the Executing Agency shall be solely and completely responsible and accountable for all services performed by its personnel, agents, employees, or contractors (hereinafter referred to as "Personnel").
18. Personnel of the Executing Agency, its contractors or anyone else working for the Executing Agency in the execution of the project or otherwise, are not employees of UNEP and are not covered by the privileges and immunities applying to UNEP and its staff pursuant to the Convention on the Privileges and Immunities of the United Nations. UNEP shall not accept any liability for claims arising out of the activities performed under the Agreement, or any claims for death, bodily injury, disability, damage to property or other hazards that may be suffered by the Executing Agency's Personnel as a result of their work pertaining to the project under this Agreement.



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19. The Executing Agency shall ensure that its Personnel meet the highest standards of qualification and technical and professional competence necessary for the achievement of the objectives and results of the Project, and that decisions on employment related to the Project shall be free of discrimination of any nature. The Executing Agency shall ensure that all Personnel are free from any conflicts of interest relative to the project activities and they shall comply with their national statutory requirements.
20. The Executing Agency shall recruit the respective senior project personnel and consultants in accordance with the terms of reference set out in **Appendix 7**.
21. In the event that the Executing Agency assigns or seconds its existing employee to the project, the terms of reference and contractual conditions pertaining to the assignment/secondment shall be shared with UNEP, including the amount and source(s) of remuneration and the time allocated to perform the duties assigned within the framework of the project.
22. With regard to procurement, the Executing Agency shall:
  - a) Ensure that procurement of goods and consulting services financed by GEF funds shall be subject to rules and regulations of the Executing Agency and include written standards based on widely recognized processes and an internal control framework to protect against fraud, corruption and waste;
  - b) Ensure that, in its procedures for procurement of goods, services or other requirements with funds made available by GEF as provided for in the project document, it shall safeguard the principles of highest quality, economy and efficiency, and that the placing of such orders be based on an assessment of competitive quotations, bids, or proposals unless otherwise agreed to with UNEP.
23. Before the commencement of procurement, furnish the project procurement plan to be reviewed at the project inception meeting and cleared by UNEP.
24. Utilize the funds and any supplies and equipment provided by UNEP in full compliance with the project document.
25. Maintain complete and accurate records of non-expendable equipment purchased with GEF project funds and a duly authorized official of the Executing Agency shall take periodic physical inventories. Within 1 month of the year ending 31 December, i.e. on or before 31 January, the Executing Agency shall provide UNEP annually with the inventory of such non-expendable equipment as at 31 December, using the format appended as **Appendix 8A**.
26. Be responsible for the proper custody, maintenance and care of all non-expendable equipment as well as items of attraction (items costing less than US\$ 1,500, but with a useful life of more than a year) purchased with GEF funds. The Executing Agency shall, for the protection of such equipment and materials during implementation of the project, obtain appropriate insurance in such amounts as incorporated in the project budget.
27. In cases of damage, theft or other losses of property made available to the Executing Agency, provide UNEP with a comprehensive report, including police report, where appropriate, and any other evidence giving full details of the events leading to the loss of the property.
28. Obtain authorization of UNEP, in case the Executing Agency intends to dispose of the equipment during the duration of the project. Within 2 months of the project operational completion date or upon termination of this Agreement, the Executing Agency shall submit a final inventory of equipment to UNEP and a proposal for the disposal/transfer of the said equipment using the format appended as **Appendix 8B**, unless otherwise agreed upon between the Parties {and in consultation with the government coordinating



authority}.

29. With regard to cash advances, the Executing Agency shall:
- Provide the banking details to UNEP on the Funds Transfer Form appended as **Appendix 9A**;
  - Requests for subsequent cash advances using the formats appended as **Appendix 9B**;
  - Comply with the reporting requirements as referenced in **clauses 34 and 37** of this Agreement, failing which, UNEP may withhold further disbursements or may suspend the project until such time the Executing Agency meets its financial and operational obligations;
  - Confirm at least two weeks before the payment is due, that the expected rate of expenditure and actual cash position necessitate the payment, including a reasonable amount to cover "lead time" for the next remittance.
30. With regard to cost overruns, the Executing Agency shall ensure that, under this Agreement, total expenditures incurred by the Executing Agency do not exceed the GEF-approved budget as set out in **clauses 11 and 12** of this Agreement. The Executing Agency shall be authorized to make variations not exceeding 10 per cent on any one line item of the project budget provided that the total allocated for that specific budget component by UNEP is not exceeded. Any variations exceeding 10 per cent on any one line item that may be necessary for the proper and successful execution of the project shall be subject to prior consultations with and approval by UNEP. In such a case, a revision to the CEO Endorsement Document amending the budget shall be issued by UNEP. In the absence of such approval by UNEP, cost overruns shall be the sole responsibility of the Executing Agency.
31. With regard to project management cost, the Executing Agency shall ensure that project management costs for the Executing Agency do not exceed the GEF-approved amount in project budget (i.e. US\$ 100,000) in accordance with GEF rules. Any increase in management costs as a result of extending the duration of the project shall be the sole responsibility of the Executing Agency.
32. With regard to maintenance of records:
- The Executing Agency shall keep separate, accurate and up-to-date records and documents in respect of all expenditures incurred with the funds made available by UNEP to ensure that all expenditures are in conformity with the provisions of the project document. For each disbursement, proper supporting documentation shall be maintained, including original invoices, bills, and receipts pertinent to the transaction;
  - Upon operational completion of the project or termination of this Agreement, the Executing Agency shall maintain all records pertinent to the project for a period of at least 3 years unless otherwise agreed upon between the Parties.
33. With regard to unspent balances, should there remain a balance from the total GEF-approved budget after operational completion or termination of the project, the Executing Agency shall return the unspent funds to UNEP within 2 months of the expiry or termination of this Agreement. In the event that there is any delay in such disbursement, the Executing Agency will be financially responsible for any adverse movement in the exchange rates. If any of the financial, substantive and evaluation reports indicate that the funds provided under this PCA were not used for its intended purposes due to: a) Executing Agency's actions; and/ or b) action of a third party as a result of Executing Agency's gross negligence or willful misconduct, the Executing Agency shall promptly



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return to UNEP the amount of GEF resources indicated by such report as not having been used for the intended purposes provided under the PCA.

34. With regard to reporting:

- a) The Executing Agency shall provide all reports, including the audit report, for the project in English or translated to English as applicable;
- b) Inception Workshop report: Within 1 month of the Inception Workshop, the Executing Agency shall submit to UNEP an Inception Workshop report as described in Annex I of the CEO Endorsement Document (Appendix 1).
- c) Half-Yearly Progress report: Within 1 month of the end of reporting period, i.e. on or before 31 January, the Executing Agency shall submit to UNEP a Half-yearly Progress report for the 01 July - 31 December and the 01 January - 30 June periods using the format appended as **Appendix 10**;
- d) Project Implementation Review (PIR) report: Within 1 month of the end of the reporting period for the GEF fiscal year of 30 June, i.e., on or before 31 July, the Executing Agency shall submit to UNEP its input to the annual PIR report using the format appended as **Appendix 11**. The PIR report shall be accepted as the progress report for the period January-June;
- e) Final report: Using the format appended as **Appendix 12**, a draft copy of the Final Report shall be submitted by the Executing Agency to UNEP at the time of operational completion of the project. Within 2 months of the project operational completion, or termination of the present Agreement, the Executing Agency shall submit to UNEP a final copy of the afore-mentioned report. The quality of Final Report shall be assessed by the terminal Evaluation of the project.
- f) Financial report: All financial reporting shall be in US dollars, and any exchange differences accounted for within the total GEF-approved US dollar project budget. Within 1 month of the end of the quarter to which they refer, i.e., on or before 30 April, 31 July, 31 October and 31 January, the Executing Agency shall submit to UNEP quarterly expenditure reports and explanatory notes on the expenditures reported using the format appended as **Appendix 13**. The financial report shall contain information that forms the basis of a periodic financial review and its timely submission is a prerequisite to the continuing funding of the project. UNEP will act upon requests for advances of funds only upon its receipt and acceptance of a satisfactory financial and progress reports from the Executing Agency;
- g) The Executing Agency shall submit to UNEP a signed final statement of accounts within 3 months of operational completion of project activities. The final statement of accounts shall be prepared using the format appended as **Appendix 13**;
- h) Basis of accounting: The financial report has been designed to reflect the transactions of a project on a cash basis, and thus shall include only disbursements made by the Executing Agency and not commitments;
- i) Miscellaneous Income: The Executing Agency shall credit any miscellaneous income to the project accounts as a receipt of funds against agreed project requirements. Miscellaneous income shall include, inter alia, proceeds or receivable from the sale of any item or property provided under the project governed by this Agreement, as well as any bank interest earned or accrued on project funds remitted by UNEP and which have been deposited or temporarily placed in an interest-bearing account;
- j) Refund from sub-contractor: Any refund received by the Executing Agency from a supplier/third party shall be reflected in the financial report as a reduction of disbursements on the component to which it relates;
- k) Record of non-expendable equipment: the Executing Agency shall maintain complete and accurate records of non-expendable equipment purchased with GEF



- project funds administered by UNEP. Within 1 month of the year ending 31 December i.e. on or before 31 January, the Executing Agency shall provide to UNEP annually with the inventory of such non-expendable equipment as at 31 December, using the format appended as **Appendix 8A**;
- l) Co-financing report: Within 1 month of the PIR reporting period, i.e. on or before 31 July, the Executing Agency shall submit to UNEP, an annual co-financing report for the project as at 30 June showing amount of cash and in-kind co-financing realized compared to the amount of co-financing committed to at the time of the project approval in accordance with Annex H-2 of the CEO Endorsement Document appended as **Appendix 1**. Co-financing reporting shall be by partner, source and type using the format appended as **Appendix 14A**. In case additional co-financing is leveraged from new partners during the project's implementation, this should be reported using the format appended as **Appendix 14B**;
- m) Third party co-financing reporting: The Executing Agency shall ensure that the legal instruments prepared with its project partners require partners to report, on an annual basis, the actual co-financing received versus committed at the time of project approval by the GEF, and provide assurances that the identified in-kind resources be:
- I. Dedicated uniquely to the GEF project;
  - II. Valued at the lower of cost or market value of the required inputs they provide for the project;
  - III. Monitored with documentation available for any project evaluation;
- n) Consolidated reporting: All reports described above shall be consolidated reports and therefore include information from any third party sub-project(s).

#### Financial cost

35. UNEP shall not be liable for the payment of any expenses not outlined in the CEO Endorsement Document or project budget unless UNEP has explicitly agreed in writing to do so prior to the expenditure by the Executing Agency.

#### Audit requirements

36. All financial reporting in the audit report shall be in English and in US dollars.
37. The total expenditures incurred during the year ending 31 December, wherein GEF funding is clearly identified, shall be endorsed by a duly authorized official of the Executing Agency and audited by an independent audit authority, (i a recognized firm of certified public accountants or for Governments by a government auditor) and dispatched to UNEP within 180 days, i.e. on or before 30<sup>th</sup> June. The audit report and recommendations shall include such comments as the auditor may deem appropriate in respect of GEF funded operations and in particular, shall clearly indicate that in their opinion:
- a) GEF funds were covered by the scope of the audit;
  - b) Proper books of account have been maintained;
  - c) All project expenditures are supported by vouchers and adequate documentation;
  - d) Expenditures have been incurred in accordance with the objectives outlined in the CEO Endorsement Document;
  - e) The expenditure reports provide a true and fair view of the financial condition and performance of the project.

On operational completion of the project, a final audited statement of account containing signatures and audit opinion as required above, shall be dispatched to UNEP within 6 months.

38. Notwithstanding the above, UNEP shall have the right, at its own expense, to audit or



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review such books and records as it may require and have access to the books and record of the Executing Agency, as necessary. If requested, the Executing Agency shall facilitate an audit by the United Nations Office of Internal Oversight Services. Shall they wish to do so, the United Nations Board of Auditors may also carry out an audit of the project accounts.

#### **Responsibility for claims**

39. The Executing Agency shall indemnify, hold, and save harmless, and defend at its own expense, UNEP, its officials and persons performing services for UNEP, from and against all suits, claims, demands and liability of any nature and kind, including their cost and expenses, arising out of acts or omissions of the Executing Agency or its employees or persons hired for the management of the present Agreement and the project
40. The Executing Agency shall be responsible for, and deal with all claims brought against it by its personnel, employees, agents or subcontractors.

#### **Publications, acknowledgements, logos and emblems**

41. The Executing Agency shall submit to UNEP for review and prior clearance of any manuscripts for publication. It shall also inform UNEP of plans for its publication and discuss and agree on the publishing arrangements. Within 30 days of receipt, UNEP will provide substantive comments on/clearance of the manuscript. UNEP's review will include any suggestions for change and such wording (recognition, disclaimer, etc.) as it would wish to see figure in the preliminary pages or in the introductory texts. It will equally consider the publishing proposal of Executing Agency and will make comments thereon as advisable.
42. To accord proper acknowledgement to the GEF for providing funding to the project, any publications prepared or produced pursuant to this Agreement will give appropriate credit to GEF as per the GEF Communication and Visibility Policy, including the Brand Guidelines and Graphics Standards ([www.thegef.org](http://www.thegef.org)) in addition to that of UNEP as stipulated under **clause 46** of this Agreement. Any citation on project publications of projects funded by GEF resources shall also accord proper acknowledgement to GEF and UNEP.
43. The GEF logo shall appear on, amongst others, project hardware and vehicles purchased with GEF funds.
44. In no event will authorization of the name or emblem, or any abbreviation thereof, of GEF or UNEP, be granted for commercial purposes.
45. Should the Executing Agency be solely responsible for publishing arrangements, UNEP shall receive free of charge at least 5 copies of the published work, electronic material, film or any other applicable medium, in each of the languages, for non-commercial purposes.
46. The Executing Agency may only use the name and emblem of the United Nations or UNEP with prior written consent of UNEP.

#### **Intellectual property rights**

47. For the purpose of this Agreement, intellectual property would mean information, ideas, inventions, innovations, art work, data, designs, literary texts and any other matter or thing whatsoever as may be capable of legal protection or be subject to legal rights and shall include patents; information which is of a kind that has been communicated in such a manner as to give rise to a duty of confidentiality; copyright vesting in literary works



(including but not limited to computer programs); dramatic works, musical works, broadcast, published editions and other types of performance; registered trademarks; unregistered trademarks used or intended for use in business registered designs and designs capable of being registered; biological organism varieties and the rights of breeders of such varieties; layout design of integrated circuits; databases; and any other rights resulting from intellectual activity in the industrial, commercial, scientific, literary and artistic fields.

48. UNEP and the Executing Agency shall agree upon the question of copyrights and all other related rights in any material produced under the provisions of this Agreement.

#### **Confidentiality**

49. The handling of information will be subject to each Party's corporate confidentiality policies.
50. Before disclosing internal documents, or documents that by virtue of their content or the circumstances of their creation or communication must be deemed confidential, of the other [or another] Party to third parties, each Party will obtain the express, written consent of the other Party [or concerned Parties]. However, a Party's disclosure of another Party's internal and/or confidential documents to an entity the disclosing Party controls or with which it is under common control, or to an entity with which it has a confidentiality agreement, will not be considered a disclosure to a third party, and will not require prior authorization.
51. For UNEP, a principal or subsidiary organ of the United Nations established in accordance with the Charter of the United Nations will be deemed to be a legal entity under common control.

#### **Suspension and termination**

52. The Parties hereto recognize that the successful completion and accomplishment of the purposes of a technical cooperation activity are of paramount importance, and that UNEP may find it necessary to terminate the project, or to modify the arrangements for the management of the project, should circumstances arise that jeopardize successful completion or the accomplishment of the purposes of the project within the approved duration of the project.
53. UNEP shall consult with the Executing Agency if any circumstances arise that, in the judgment of UNEP, interfere or threaten to interfere with the successful operational completion of the project or the accomplishment of its purposes. The Executing Agency shall promptly inform UNEP of any such circumstances that might come to its attention. The Parties shall cooperate towards the rectification or elimination of the circumstances in question and shall exert all reasonable efforts to that end, including prompt corrective steps by the Executing Agency, where such circumstances are attributable to it or within its responsibility or control.
54. At any stage of the project cycle, participating country(ies), UNEP or the GEF Secretariat may recommend suspending or terminating the project for several reasons including force majeure, changes in national priorities, poor implementation performance, lack of compliance with financial or reporting obligations, leading to a conclusion that the project can no longer meet its objectives.
55. Following receipt of a recommendation for suspension or termination, and after appropriate consultations, UNEP may suspend or terminate the project by written notice to the Executing Agency. In the event of termination, such notice shall be provided at least 3 months prior to the effective date. If it is a suspension, UNEP shall indicate to the Executing Agency the conditions under which it is prepared to authorize project



activities to resume.

56. If the cause of suspension is not rectified or eliminated within the timeframe, as agreed between UNEP and the Executing Agency, after UNEP has given notice of suspension to the Executing Agency, UNEP may, by written notice at any time thereafter during the continuation of such cause: (a) terminate the project; or (b) terminate the management of the project by the Executing Agency, and entrust its management to another institution. The effective date of termination under the provisions of the present clause shall be specified by written notice from UNEP.
57. The Executing Agency may terminate the present Agreement in cases where a condition has arisen that impedes the Executing Agency from successfully fulfilling its responsibilities under the present Agreement, by providing UNEP with written notice of its intention to terminate the present Agreement at least 3 months prior to such termination.
58. The Executing Agency may terminate the present Agreement only after consultations have been held with UNEP, and shall give due consideration to proposals made by UNEP in this respect. The Parties shall also cooperate in assessing the consequences on the other partners and beneficiaries of the project, and develop and agree upon an exit strategy to minimize negative consequences.
59. Upon receipt of a notice of termination by either party under **clauses 55 to 58** of this Agreement, The Parties shall take immediate steps to terminate activities under the present Agreement, in a prompt and orderly manner, so as to minimize losses and further expenditures. The Executing Agency shall undertake no forward commitments and shall return to UNEP, within 2 months of the effective date of termination, all unspent funds, provided by UNEP unless UNEP has agreed otherwise in writing.
60. In the event of any termination by either party under **clause 59** of this Agreement, UNEP shall reimburse the Executing Agency only for the costs incurred to manage the project in conformity with the express terms of the present Agreement. Reimbursements to the Executing Agency under this provision, when added to amounts previously remitted to it by UNEP in respect of the project, shall not exceed the total UNEP allocation/contribution for the project.
61. In the event of transfer of the responsibilities of the Executing Agency for the management of a project to another institution, the Executing Agency shall cooperate with UNEP and the other institution in the orderly transfer of such responsibilities and equipment procured using project funds.

#### **Force majeure**

62. In the event of and as soon as possible after the occurrence of any cause constituting force majeure, the party affected by the force majeure shall give the other party notice and full particulars in writing of such occurrence if the affected party is thereby rendered unable, in whole or in part, to perform its obligations or meet its responsibilities under the present Agreement. The Parties shall consult on the appropriate action to be taken, which may include suspension of the present Agreement by UNEP or termination of this Agreement, with either party giving to the other at least 1 month written notice of such termination.
63. In the event that the present Agreement is terminated owing to causes constituting *force majeure*, the provisions of **clauses 60 and 61** of this Agreement shall apply.

#### **Dispute settlement**

64. The Parties shall settle amicably through direct negotiations, any dispute, controversy or



claim arising out of or relating to the present Agreement, including breach and termination of the Agreement. Should such negotiations fail, the matter shall be referred to arbitration in accordance with United Nations Commission on International Trade Law Arbitration Rules (UNCITRAL), then prevailing. The Parties shall be bound by the arbitration award rendered in accordance with such arbitration, as the final decision on any such dispute, controversy or claim.

**Privileges and immunities**

65. Nothing in or relating to this Agreement shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations and UNEP.

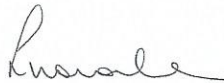
**Notification and amendments**


66. Any part of this Agreement may be modified or amended only by written agreement between the Parties.
67. For multi-country projects, in the event that one or more countries withdraw from the project, the Executing Agency shall inform UNEP which shall in turn notify the GEF Secretariat.
68. Should it become evident during the implementation of the project that an extension beyond the agreed expiry date as set out in **clause 5** of this Agreement is required to achieve the objectives of the project, the Parties shall consult with each other with a view to agree on a revised completion date. In the event that the duration of the project is extended, **clauses 30 and 31** of this Agreement shall apply. Upon reaching an agreement, the Parties shall immediately conclude an amendment to the Agreement to this effect, prior to the expiry of this Agreement.
69. The terms and conditions stipulated in the amendment shall be appended to and be construed as an integral part of this Agreement.

**IN WITNESS WHEREOF**, the duly authorized representatives of the Parties affix their signatures below.

For:  
United Nations Environment Programme

For:  
Centro para el Desarrollo Agropecuario y Forestal

  
Digitally signed by Ligia Noronha  
Date: 2020-08-06 12:55:01

  
By: \_\_\_\_\_

By: \_\_\_\_\_  
Ligia Noronha  
Director  
Economy Division

Janina Segura Paniagua  
Director  
CEDAF

Date: \_\_\_\_\_

Date: August 7, 2020





S1-32CBL-000001; SB-011185.03  
Grantor Program: GP00001678  
Total Cost to GEF: US\$ 1,100,000  
Executing Agency GEF Budget: US\$ 1,029,000  
UNEP ROLAC GEF Budget: \$41,000  
GEF Evaluation budget retained by UNEP: US\$ 30,000

## LIST OF APPENDICES TO THE PROJECT COOPERATION AGREEMENT

Appendix 1	Approved CEO Endorsement Document (including Annexes)
Appendix 2	CEO approval/endorsement letter
Appendix 3	Definition of terms
Appendix 4	Contact details
Appendix 5	Project supervision plan
Appendix 6	Procurement Plan
Appendix 7	ToRs for Key Personnel
Appendix 8A	Inventory of Non-expendable Equipment Report Template
Appendix 8B	Non-expendable Equipment Ownership Transfer Letter Template
Appendix 9A	Funds Transfer Form Template
Appendix 9B	Cash Advance Request Template
Appendix 10	Progress Report Template
Appendix 11	Project Implementation Review (PIR) Report Template
Appendix 12	Final Report template
Appendix 13	Quarterly Expenditure Statement template
Appendix 14A	Co-finance Report Template (existing partners)
Appendix 14B	Co-finance Report Template (new partners)
Appendix 15	Standard Terminal Evaluation TOR
Appendix 16	Project budget revision 1