



# Readiness and Preparatory Support Interim Progress Report

**Grant Reference Number (DOM-RS-002)**

**Country and Title: Dominican Republic: “Building capacity to advance National Adaptation Plan Process in the Dominican Republic”.**

**Delivery Partner: United Nations Environment Programme (UNEP)**

**Sections in this report:**

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<b>Delivery Partner:</b> <b>Kelly West</b> Position: Head, Green Climate Fund Coordination Unit	<b>Signature:</b>	<b>Date:</b>
<b>Name and Title (NDA):</b> Pedro Garcia Brito Director Directorate for Climate Change Ministry of Environment and Natural Resources	<b>Signature:</b> 	<b>Date:</b> 14/08/2020

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## EXECUTIVE SUMMARY

The Readiness Support Proposal was approved by the Green Climate Fund on 11 July 2018 and the first disbursement of USD 1,161,912 was received by UNEP on August 13, 2018. In recognition of the potential impact of the COVID-19 pandemic on the implementation of activities under the Readiness and Preparatory Support Programme and the Project Preparation Facility, the GCF has granted up to six-months extension of the grant term (as per DCP/2020/172.23). With this 6 months no-cost extension to the project, the new completion date is 9 January 2022.

The project inception meetings and official launch workshop took place on 29-30 July 2019. From January 2019 to June 2020, the total project expenditure was **USD 486,908**.

This reporting period covers from January 1st to June 30th, 2020. During this reporting period, the main preparatory activities conducted included:

- The recruitment process of the Project Management Unit (PMU) team was completed, starting in February with (i) a National Operations and Logistics Assistant; followed by (ii) a Climate Change Adaptation Technical Advisor on Project Formulation and Financing, (iii) a Climate Change Adaptation Technical Advisor on Capacity Building and Institutional Arrangements, and (iv) a Climate Change Adaptation Technical Advisor on Risk Assessments, Climate Rationale and Monitoring in April; and concluding in May with the addition of (v) a Communications Specialist.
- The National Project Coordinator and the National Operations and Logistics Assistant participated in the UNEP Regional Workshop on Adaptation Planning, held in Panamá, from 15 to 22 February 2020.

### Key challenges and lessons learned:

As a result of the coronavirus pandemic (COVID-19), the Project Implementation Plan was adjusted by rescheduling activities and enabling the ones that were achievable during the crisis, in order to catch up on project timelines.

All consultation processes such as meetings with stakeholders, obtaining relevant information from national authorities and necessary document verifications faced significant delays.

Seeking to guarantee the highest level of possible participation, all workshops will have to be carried out through different virtual modalities. Given the ongoing State of National Emergency, the PMU has implemented an individual work scheme and periodic group meetings through Skype.

Although activities have been postponed (specifically, activities 1.1.2, 1.1.3, 2.1.1, 2.1.2, 2.1.3, 2.1.4, 2.2.3 and 2.3.2), as compared with the plan presented in the last Interim Progress Report (IPR), these regular meetings have allowed the project to **initiate the following activities:**

#### Outcome 1

- (i). Conduct stocktake, identify available information on climate change impacts, vulnerability and adaptation, and assess quantitative and qualitative gaps (Activity 1.1.2)
- (ii). Define the NAP management framework (Activity 1.1.3)
- (iii). The formalization and strengthening of the existing participatory platforms in the formulation and implementation of the NAP (Activity 1.2.1)
- (iv). Training sessions for decision and policy makers on adaptation and awareness-raising campaigns for the public and private sector (Activity 1.2.2)
- (v). The development of awareness raising campaigns at national and local levels for the Council for Municipal Planning, NGOs, CSOs and local communities (Activity 1.2.3)
- (vi). The development of awareness raising campaigns at national and local levels for the private sector (Activity 1.2.4).
- (vii). Develop and maintain a digital platform for public participation in the NAP process (Activity 1.2.5)

#### Outcome 2

- (i). Conduct exchange visits to 2 countries to learn lessons on adaptation planning and implementation (Activity 2.2.3)
- (ii). Develop a Communication Strategy for the NAP (Activity 2.3.2)

#### Outcome 3

- (i). Support the approval of a Law on Climate (Activity 3.1.1).
- (ii). Develop and disseminate methodological guides on climate change adaptation planning and implementation (Activity 3.2.2)

#### Outcome 4

- (i). Support the creation of an Integrated National Climate Change Planning and Control System (Activity 4.1.2)

The budget for the next implementation period (July to December 2020) is estimated at **USD 685,747**.

**The work plan for the next reporting period** includes the following activities and deliverables:

#### Outcome 1

- (i). Organize four virtual regional launch workshops, and deliver NAP launching workshop reports. (Activity 1.1.1)
- (ii). Report on available and non-available information on climate change impacts, vulnerability and adaptation. (Activity 1.1.2)
- (iii). Report on NAP management framework. (Activity 1.1.3)
- (iv). Develop a resolution that expands the functions and formalizes the role of the existing participatory platforms in the formulation and implementation of the NAP. (Activity 1.2.1)
- (v). Two reports on virtual training sessions for decision and policy makers on adaptation and awareness-raising campaigns for the public and private sector. (Activity 1.2.2)
- (vi). The development of awareness raising campaigns at national and local levels for the Council for Municipal Planning, NGOs, CSOs and local communities (report on 2 virtual events). (Activity 1.2.3)
- (vii). The development of awareness raising campaigns at national and local levels for the private sector. (Activity 1.2.4)
- (viii). Develop and maintain a digital platform for public participation in the NAP process. (Activity 1.2.5)

#### Outcome 2

- (ix). Develop temperature and precipitation scenarios and sea level rise scenarios providing details at sub-national level. (Activity 2.1.1)
- (x). Analyse future socio-economic scenarios considering the latest studies and development. (Activity 2.1.2)
- (xi). Assess climate change vulnerabilities, risks and socio-economic opportunities. (Activity 2.1.3)
- (xii). Develop reports on the relationships of climate change adaptation with other cross-cutting issues. (Activity 2.1.4)
- (xiii). Develop a Communication Strategy for the NAP. (Activity 2.3.2)

#### Outcome 3

- (xiv). Draft Law on Climate Change for political approval. (Activity 3.1.1)

#### Outcome 4

- (i). Develop a monitoring and review framework for the NAP at national, sectoral and local levels. (Activity 4.1.1)
- (ii). Support the creation of an Integrated National Climate Change Planning and Control System and the strengthening of the National System on Environmental and Climate Change Information. (Activity 4.1.2)
- (iii). Develop monitoring and review guidelines and tools for technical staff of relevant government institutions. (Activity 4.2.1)
- (iv). Train technical staff of relevant government institutions in the use of these guidelines at the national and local level. (Activity 4.2.2)

#### Outcome 5

- (v). Review reports produced on costing adaptation and identify information gaps for a reliable estimation of costs for implementing climate change adaptation in the medium and long term. (Activity 5.1.1)
- (vi). Fill the information gaps by undertaking a detailed economic study that estimates the costs of implementing the prioritized adaptation interventions at national, sectoral and local levels. (Activity 5.1.2)
- (vii). Develop an adaptation finance strategy, including the identification and analysis of options for scaling up financing. (Activity 5.1.3)
- (viii). Explore the most convenient institutional arrangements to centrally and systematically manage all climate change-related funds. (Activity 5.1.4)
- (ix). Develop training toolkits and provide training to strengthen the capacity of relevant government stakeholders to initiate the implementation of the adaptation finance strategy. (Activity 5.1.5)
- (x). Develop GCF concepts and associated documentation for two adaptation programs, one focusing on urban areas and one focusing on rural areas. (Activity 5.1.6)

## SECTION 1: GENERAL INFORMATION

This section provides information on completing the General Information of the Readiness Support Interim Progress Report template.

1. Country	Dominican Republic
2. Grant agreement number	DOM-RS-002
3. Implementing Entity	UN Environment Programme (UNEP)
4. Date of grant agreement signed	UNEP Framework Readiness and Preparatory Support Grant Agreement dated 11 October 2016, as amended and restated on 13 December 2017, and further amended and restated on 2 June 2020
5. Grant effectiveness date	11/07/18
6. Date of 1st disbursement received from GCF	13/08/18
7. Tranche number of the committed funding during the reporting period	First tranche
8. Reporting period	From: 01/01/20 To: 30/06/20
9. Total approved grant amount	USD 2,998,325
10. Total grant amount received from GCF during the reporting period	N/A
11. Total grant amount expended during the reporting period	USD 252,795.87
12. Documents provided (Please tick the relevant boxes)	<input checked="" type="checkbox"/> Interim Progress Report <input checked="" type="checkbox"/> Procurement Plan <input checked="" type="checkbox"/> Subsequent Disbursement <input type="checkbox"/> Audited Financial Report

## SECTION 2: REPORTING ON COUNTRY READINESS LOGICAL FRAMEWORK

This section requires an update on progress in implementing the planned Readiness activities. Any draft to the expected output should be submitted with progress report.

Progress is reported for the period (should be consistent with section 1.8)

From: 01/01/2020. To: 06/30/2020

### Outcome 1: National mandate, strategy and steering mechanisms are in place

**Outcome narrative:** An advanced version of the NAP management framework, indicating the tasks and responsibilities, has been presented. A preliminary stock-taking report is being developed by the NAP team.

Outputs	Baseline summary	Activities	Targets achieved	Indicators	Milestones and deliverables achieved <sup>1</sup>	Variance explanation	Qualitative assessment of activities undertaken	Planned activities and corresponding deliverables/milestones for the next reporting period
1.1 National, local and sectoral policy documents, available climate information and key stakeholders are identified and assessed to facilitate integration of adaptation options and to promote an integrated approach to adaptation planning.	<p>No national, local and sectoral policy documents and information are used by key stakeholders to facilitate the integration of adaptation measures in planning.</p> <p>Climate-related knowledge, learning and information are developed through different processes, needing to be assessed to promote a comprehensive approach to adaptation planning.</p> <p>It is necessary to identify key stakeholders and assess their capacities on climate change</p>	1.1.1 Initiate and launch the NAP process.	1. NDA is in the process of establishing a coordination mechanism, meetings are conducted, however not regularly	Number of inception workshops	<p>Inception meetings and an <a href="#">official launch workshop</a> were conducted 29-30 July 2019.</p> <p>In order to face the challenges of COVID 19 pandemic in terms of mobility and meeting restrictions, the core team has developed a strategic work plan and created a <b>practical guide for setting up virtual workshops</b>. <a href="#">Practical Guide: How to set up virtual workshops?</a></p> <p><a href="#">This virtual modality will be reviewed with the</a></p>	5 additional regional workshops were added to this activity. The extension of the activity postpones its completion from month 1 to month 18, also due to: i) postponement in the initiation of activities during the first year because of the significant delays in finalizing the implementation modalities and processes, and ii) mobility restrictions because of COVID-19 pandemic.	The Practical Guide is a compendium of information about the steps that must be followed to set up a virtual workshop and the different tools that are available for these purposes.	<p><b>Activities:</b> Five regional virtual workshops in the country are to be conducted to conclude the inception phase of the project and to engage regional stakeholders in the NAP process. The final workshop is expected to be conducted by December 2020.</p> <p>As a result of the COVID-19 pandemic these workshops will be held virtually, unless there is a significant variation allowing the development of face-to-face activities.</p> <p><b>Deliverable 1.1.1:</b> Five regional virtual inception workshops.</p>

	planning and implementation.				<p><a href="#">Ministry of Environment and Natural Resources and CEDAF.</a></p> <p>Planned activity completed in June 2020.</p>	<p>Expected completion date: Month 18 (Q4 2020)</p>		
		<p>1.1.2 Conduct stocktake, identify available information on climate change impacts, vulnerability and adaptation, and assess quantitative and qualitative gaps, with attention being paid to the sectors prioritized in the NDC and NAPCC.</p>	<p>1. NDA is in the process of establishing a coordination mechanism, meetings are conducted, however not regularly</p>	<p>Number of stock-taking reports</p>	<p><b>A first proposal of the stocktake</b> was developed in May 2020, along with a draft of the <a href="#">document tab.</a></p> <p>Adaptation-related information published through existing databases was collected between April and June 2020: <a href="#">Preliminary document inventory</a> <a href="#">This preliminary inventory will be reviewed with the Ministry of Environment and Natural Resources and CEDAF.</a></p>	<p>Completion of activity has been re-scheduled from month 5 to month 14, resulting in a delay of 9 months due to postponement in the initiation of activities during the first year because of the significant delays in finalizing the implementation modalities and processes, as well as COVID-19 related challenges in reaching some of the stakeholders.</p> <p>Expected completion date: Month 14 (Q3 2020)</p>	<p>The preliminary Stocktaking Report consists of available information on climate change impacts, vulnerability and adaptation from past and ongoing adaptation projects and activities in the DR, compiled through literature review and contact with key actors from relevant organizations. It is a living document that will provide information for the NAP Process and will be added to as the process advances.</p>	<p><b>Activities:</b> The NAP team is conducting the stocktake and gap analysis to deliver a report. The stocktake and gap analyses (to be delivered by August 2020) will include ongoing and past activities and documents available on climate change impacts, vulnerability and adaptation for the sectors prioritized by the country.</p> <p>A virtual workshop will be held with stakeholders to validate the information presented. Discussions with the Climate Change Directorate of the Ministry of Environment and Natural Resources and CEDAF will also take place.</p> <p>As a result of the COVID-19 pandemic, consultation processes (meetings with stakeholders, obtaining information, document verification, among others) will be done virtually, unless there is a significant variation allowing the development of face-to-face activities.</p> <p>Outputs from this product will be used to consolidate</p>

								the National Adaptation Plan and could be used to revise the existing NDC.
		1.1.3 Define the NAP management framework, indicating the tasks and responsibilities of key stakeholders, linking climate change planning and the National Planning system.	1. NDA is in the process of establishing a coordination mechanism, meetings are conducted, however not regularly	Number of reports detailing the governance framework for the NAP process`s	A Climate Change Technical Advisor on Capacity Building and Institutional Arrangements was hired in April 2020 to support the definition of the National Adaptation Plan management framework and the potential linkages with other key climate change and environmental national coordination systems.  An advanced version of the <b>draft NAP management framework</b> , equivalent to 75% (approximately) has been advanced and presented to the PMU for discussion. The team has been working on the completion of the document, with the objective of organizing an exchange of ideas and experiences	Completion of activity has been rescheduled from month 5 to month 18, resulting in a delay of 13 months due to COVID-19 and delay of initiation of activities during the first year because of the significant delays in finalizing the implementation modalities and processes).  Expected completion date: Month 18 (Q4 2020).	The draft NAP management framework has allowed the identification and characterization of key stakeholders and institutions, clarifying their roles, capacities and challenges to contribute to an effective implementation of the NAP.	<b>Deliverable 1.1.2:</b> The technical stock-taking report.  <b>Activities:</b> A virtual validation workshop will be conducted to define the roles and responsibilities which will be ultimately compiled in a technical report, to be delivered by October 2020.  As a result of the COVID-19 pandemic, consultation processes (meetings with stakeholders, obtaining information, document verification, among others) and the validation workshop will be done virtually, unless there is a significant variation allowing the development of face-to-face activities.  <b>Deliverable 1.1.3:</b> Report on the NAP governance framework.

					during its elaboration with the Climate Change Directorate Team.			
1.2 Training, awareness raising and participatory processes for public and private sectors at national and local levels, NGOs, CSOs and local communities on the NAP process established.	<p>Limited engagement from local authorities, CSOs, local communities and the private sector in climate change planning and implementation.</p> <p>Inter-sectoral coordination is also a challenge.</p>	1.2.1 Develop a resolution that expands the functions and formalizes the role of the existing participatory platforms in the formulation and implementation of the NAP.	1. Some elements of the no objection procedure exist; however, it has not been fully implemented (e.g. not translated/disseminated into local languages)	Number of draft resolutions on existing participatory platforms in the NAP process	UNEP has concluded internal arrangements with its local general administrative service provider, to support the successful completion of this activity.	<p>Completion of activity has been rescheduled from month 5 to month 20, resulting in a delay of 15 months due to the delay of initiation of activities during the first year because of the significant delays in finalizing the implementation modalities and processes.</p> <p>Expected completion date: Month 20 (Q1 2021)</p>	No substantive progress to report.	<p><b>Activities:</b> A diagnostic report for the elaboration of a draft resolution for political approval on the role of existing participatory platforms in the revision, update and formulation of the National Adaptation Plan will be delivered by December 2020.</p> <p>As a result of the COVID-19 pandemic, consultation processes (meetings with stakeholders, obtaining information, document verification, among others) and the workshop will be done virtually, unless there is a significant variation allowing the development of face-to-face activities.</p> <p><b>Deliverable:</b> A diagnostic report for the elaboration of a draft resolution for political approval on the role of existing participatory platforms in the revision, update and formulation of the National Adaptation Plan.</p>
		1.2.2 Provide orientation and training for policy and decision makers and implementers	1. Some elements of the no objection procedure exist; however, it has not been fully implemented (e.g. not translated/disseminated into local languages)	Number of training sessions for policy and decision makers and implementers	UNEP has concluded internal arrangements with its local general administrative service provider, to support the	<p>Completion of intermediate deliverable (two virtual training workshops) has been rescheduled from month 6 to</p>	No substantive progress to report.	<p><b>Activities:</b> Two virtual training workshops aimed at strengthening technical capacities on climate change adaptation for policy and decision makers and implementers will be</p>



		from relevant institutions at national and local levels.			successful completion of this activity.	month 18, resulting in a delay of 12 months.  Expected completion date of final deliverable: Month 29 (Q4 2021), meaning a variance of 3 months in advance compared to timeline in original funding proposal.		conducted by December 2020. The work plan for the training sessions will use inputs from activity 1.1.2 as well as other knowledge training conducted by UNEP. As a result of the COVID-19 pandemic, consultation processes (meetings with stakeholders, obtaining information, document verification, among others) and the workshop will be done virtually, unless there is a significant variation allowing the development of face-to-face activities.  <b>Deliverables:</b> Reports from the two virtual training workshops.
		1.2.3 Develop awareness raising campaigns at national and local levels for the Council for Municipal Planning, NGOs, CSOs and local communities.	1. Some elements of the no objection procedure exist; however, it has not been fully implemented (e.g. not translated/disseminated into local languages)	Number of awareness raising campaigns and training workshops at national and local levels for the Council for Municipal Planning, NGOs, CSOs and local communities	A national expert was hired in May 2020 for the implementation of Act. 1.2.3, to lead the implementation of an awareness raising campaign aimed at the Council for Municipal Planning, NGOs, CSOs and local communities.	Completion of intermediate deliverable has been rescheduled from month 6 to month 18 resulting in a delay of 12 months due to COVID-19 and delay in initiation of activities during the first year because of the significant delays in finalizing the implementation modalities and processes.  Expected completion date of final deliverable: Month 29	No substantive progress to report.	<b>Activities:</b> An inception report including the approach and work plan for the campaigns will be delivered, and at least two in-person events are expected to be conducted.  As a result of the COVID-19 pandemic, consultation processes (meetings with stakeholders, obtaining information, document verification, among others) and the workshop will be done virtually, unless there is a significant variation allowing the development of face-to-face activities.  <b>Deliverables:</b> Inception report (including approach

						(Q4 2021), meaning a variance of 3 months in advance compared to timeline in original funding proposal		and work plan) and reports from the two events.
		1.2.4 In collaboration with business organisations, develop awareness raising campaigns at national and local levels for the private sector.	1. Some elements of the no objection procedure exist; however, it has not been fully implemented (e.g. not translated/disseminated into local languages)	Number of awareness raising campaigns and training workshops at national and local levels for the private sector	A national expert was hired in May 2020 for the implementation of Act. 1.2.4, to lead the development and implementation of an awareness raising campaign targeting the private sector.  UNEP has concluded internal arrangements with its local general administrative service provider, to support the successful completion of this activity.	Completion of intermediate deliverable has been rescheduled from month 6 to month 18 resulting in a delay of 12 months due to a delay in initiation of activities during the first year because of the significant delays in finalizing the implementation modalities and processes  Expected completion date of final deliverable: Month 29 (Q4 2021), meaning a variance of 3 months in advance compared to timeline in original funding proposal	No substantive progress to report	<b>Activities:</b> An inception report including the approach and work plan for the campaigns will be delivered, and at least two in-person events are expected to be conducted.  As a result of the COVID-19 pandemic, consultation processes (meetings with stakeholders, obtaining information, document verification, among others) and the workshop will be done virtually, unless there is a significant variation allowing the development of face-to-face activities.  <b>Deliverables:</b> Inception report (including approach and work plan) and reports from the two events.
		1.2.5 Develop and maintain a digital platform for public participation in the NAP process to foster the participation of civil society	1. Some elements of the no objection procedure exist; however, it has not been fully implemented (e.g. not translated/disseminated into local languages)	Number of uploaded documents in the digital platform for public participation in the NAP process	<b>An Action Plan for the Digital Platform Design</b> was developed.	Expected 9-month delay (compared with plan at previous IPR, since no delivery date was set in funding proposal) in delivery date due to COVID-19 and postponement	The Action Plan for the design of the digital platform reflects the consultation process to be carried out with the Ministry of Environment, CEDAF and the	<b>Activities:</b> A specialist will be hired to deliver a digital platform to hold participatory processes on the NAP process.  <b>Deliverable:</b> The digital platform will be developed and launched by December 2020.

		stakeholders at any time and from any area of the country with internet connection.				in the initiation of activities.  Expected completion date: Month 18 (Q4 2020)	members of the Project Management Unit and potential users, aiming to create a comprehensive and functional web platform, that will respond to the real needs of potential users.	At least 5 nationally relevant adaptation documents will be uploaded in the digital platform.
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### Outcome 2: Preparatory elements for the NAP in place to develop a knowledge-base and formulate a NAP

**Outcome narrative:** Terms of Reference for the development of the temperature, precipitation and sea-level scenarios (activity 2.1.1) and Terms of Reference for the analysis of socio-economic scenarios and assessment of climate change vulnerabilities and risks (activities 2.1.2, 2.1.3 and 2.1.4) were finalized.

Outputs	Baseline summary	Activities	Targets achieved	Indicators	Milestones and deliverables achieved <sup>2</sup>	Variance explanation	Qualitative assessment of activities undertaken	Planned activities and corresponding deliverables/milestones for the next reporting period
2.1 A knowledgebase on climate change and development interlinkages established.	There are limited capacities and a few coordination mechanisms to build linkages between climate change planning and systems and the National Planning System for medium and long term.	2.1.1 Develop temperature and precipitation scenarios for the period 2020-2040 and sea level rise scenarios for the periods 2020-2040, 2041-2060, 2061-2080, providing details at sub-national level.	2. Stakeholders engaged in consultative processes (governments, private sector, associations, civil society, academia and others)	Number of national and sub-national temperature and precipitation projections produced	A <a href="#">final draft of TORs for Temperature, Precipitation and sea-level Scenarios</a> was developed. These TORs will be published shortly. These TORs also include 2 training sessions under activity 4.2.4.	Completion of activity has been re-scheduled from month 9 to month 19, resulting in a delay of 10 months due to COVID-19 and postponement in the initiation of activities.  Expected completion by: Month 19 (Q1 2021)	No substantive progress to report	<b>Activities:</b> A consultancy team (team 1 or A) will be procured through a competitive process to develop climate scenarios providing details at the sub-national level.  <b>Deliverable:</b> Draft assessment report of current climate change models and development of climate change scenarios for temperature, rainfall and sea level rise.
		2.1.2 Analyse future socio-economic scenarios considering the latest studies and development planning	2. Stakeholders engaged in consultative processes (governments,	Number of socio-economic scenarios produced	A <a href="#">final draft of TORs for Future Socioeconomic Scenarios + Assessment for</a>	Completion of activity has been re-scheduled from month 9 to month 19, resulting in a	No substantive progress to report	<b>Activities:</b> A consultancy team (team 2 or B) will be procured through a competitive process to develop baseline (2020) and

		(e.g. those developed as part of the preparation of the Third National Communication)	private sector, associations, civil society, academia and others)		<a href="#">vulnerabilities, risks, opportunities and adaptation options + Two-directional reports</a> were developed. These TORs merge activities 2.1.2, 2.1.3 and 2.1.4 and will be published shortly.	delay of 10 months in delivery date due to COVID-19 and postponement in the initiation of activities. Expected completion date: Month 19 (Q1 2021)		future socio-economic scenarios for the Dominican Republic (2021-2040 and 2041-2080). <b>Deliverable:</b> Inception report containing the proposed methodology.
		2.1.3 Assess climate change vulnerabilities, risks and socio-economic opportunities and identify adaptation options at the sector, subnational and national levels, identifying priority climate change impacts.		Number of assessment reports detailing climate risks, vulnerabilities, socio-economic opportunities and adaptation options at sectoral, national and sub-national level		Completion of activity has been re-scheduled from month 15 to month 19, resulting in a delay of 4 months in delivery date due to COVID-19 and postponement in the initiation of activities. Expected completion date: Month 19 (Q1 2021)		
		2.1.4 Develop reports on the relationships of climate change adaptation with other cross-cutting issues, such as environmental sustainability, disaster risk management, gender equity and land use and territorial cohesion, including conceptual, legal, policy, institutional, vulnerability and strategic aspects, identifying entry points for proper two-directional integration		Number of reports on adaptation and cross-cutting issues		Completion of activity has been re-scheduled from month 12 to month 19, resulting in a delay of 7 months in delivery date due to COVID-19 and postponement in the initiation of activities. Expected completion date: Month 19 (Q1 2021)		
2.2 A process for analysis and		2.2.1 Review and appraise adaptation	1. Development of country programme	Number of assessment reports	No progress to report.	Completion of activity has been	No progress to report.	Not planned for the next reporting period.

<p>appraisal of adaptation options is established, based on lessons learned from the Dominican Republic and other countries.</p>	<p>Lack of scientific and technological research on climate change adaptation in a coordinated manner to build internal capacities within institutions to respond to climate change priority needs.</p>	<p>options, including economic, environmental and social costs and benefits, considering potential unintended effects (and correctly valuing ecosystem services), with attention being paid to the sectors prioritized in the NDC and NAPCC.</p>	<p>has started, however consultation procedures not yet established</p>	<p>on adaptation options and strategies</p>		<p>rescheduled from month 18 to month 21, resulting in a delay of 3 months in delivery date due to COVID-19 and postponement in the initiation of activities.</p> <p>Expected completion date: Month 21 (Q1 2021)</p>		
		<p>2.2.2 Assess the effectiveness of past adaptation interventions to learn lessons on adaptation planning and implementation, with attention being paid to the sectors prioritized in the NDC and NAPCC</p>	<p>1. Development of country programme has started, however consultation procedures not yet established</p>	<p>Number of assessment reports on results and lessons learnt from adaptation investments.</p>	<p>No progress to report.</p>	<p>Completion of activity has been rescheduled from month 12 to month 21, resulting in a delay of 9 months due to COVID-19 and postponement in the initiation of activities.</p> <p>Expected completion date: Month 21 (Q1 2021)</p>	<p>No progress to report.</p>	<p>Not planned for the next reporting period.</p>
		<p>2.2.3 Conduct exchange visits to 2 countries to learn lessons on adaptation planning and implementation during the preparation of the National Adaptation Plan.</p>	<p>1. Development of country programme has started, however consultation procedures not yet established</p>	<p>Number of international exchange visits organised on adaptation planning and implementation</p>	<p>The National Project Coordinator and the National Operations and Logistics Assistant participated in the <b>UNEP Regional Workshop on Adaptation Planning</b>, held in Panamá, from February 15 to 22, 2020. The following document reflects all lessons learned: <a href="#">Panama Workshop Mission Report</a></p>	<p>Expected 14-month delay in second exchange visit due to COVID-19 and postponement in the initiation of activities.</p> <p>Completion of activity has been re-scheduled from month 12 to month 20, resulting in a delay of 8 months.</p> <p>Expected completion date by: Month 20 (Q1 2021)</p>	<p>In addition to strengthening adaptation knowledge to direct apply it in the planning and implementation of the project, the Regional Workshop served as an opportunity to exchange lessons learned with other NAP project teams in the region (several from countries which have already prepared a NAP).</p>	<p>Not planned for the next reporting period.</p>

2.3 The information is compiled in a National Adaptation Plan document and disseminated.	Climate knowledge, information and institutional capacities lack an integrated approach in accordance with long term development, responding to the country's climate change adaptation priorities and needs.	2.3.1 Consolidate the inputs developed in a National Adaptation Plan document that explicitly presents the prioritized adaptation solutions.	1. Gender equality measures are considered in parts of stakeholder consultation processes	Number of National Adaptation Plan documents	No progress to report.	No variance.	No progress to report.	Not planned for the next reporting period.
		2.3.2 Communicate the National Adaptation Plan	1. Gender equality measures are considered in parts of stakeholder consultation processes	Number of communication strategies developed	<p>A communication expert was hired in May 2020 to develop the communication strategy for the NAP</p> <p>The project team was trained in basic communication skills in order to standardize the concepts in the team and to identify tools for the design of future communication products.</p> <p>The <b>Workshop on basic concepts and communication tools</b> for the project team was held on June 19<sup>th</sup>, 2020 and the content can be found on this link: <a href="https://prezi.com/view/8wnu9lwirXMI2Y6vc0Gz/">https://prezi.com/view/8wnu9lwirXMI2Y6vc0Gz/</a></p> <p>The communication strategy is being formulated through a comprehensive consultation process that includes internal and external stakeholders of the project and with the Ministry of Environment and Natural Resources</p>	<p>With the incorporation of a Communications Specialist, the PMU has been able to plan ahead the Communication Strategy. As a result, this activity has been pushed forward. The completion of the document containing the Communication Strategy is expected by December 2020 (Q4 2020), i.e. month 18 instead of month 25 (with an advancement of 7 months).</p>	<p>The communications training workshop had the active participation of the project team and served as an opportunity for the team to learn about writing and other communication tools that will allow them to support the development and implementation of the project's communication strategy.</p>	<p><b>Activities:</b> The project visual identity manual will be developed, considering the visual identity guidelines of local project partners. Consultation of internal actors of the project will be undertaken, to define the type of content that we are going to communicate, through a comprehensive survey.</p> <p>The NAP Communication Strategy will be developed.</p> <p><b>Deliverable 2.3.2:</b> Communication Strategy for the NAP.</p>

					and CEDAF.. The specific activities that have been carried out from May to June 2020 include: (1) Workshop on basic communication concepts to the project team, (2) Active participation in the development of the visual identity of NAP projects, (3) Meeting with the regional team for the comprehensive development of the communications strategy, and (4) Definition of provisional visual identity for the Dominican Republic project.			
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**Outcome 3: NAP implementation facilitated**

**Outcome narrative:** No significant progress to report

Outputs	Baseline summary	Activities	Targets achieved	Indicators	Milestones and deliverables achieved <sup>3</sup>	Variance explanation	Qualitative assessment of activities undertaken	Planned activities and corresponding deliverables/milestones for the next reporting period
3.1 The legal framework and strategic planning at subnational level is strengthened	Lack of coordination among institutions about climate change adaptation needs, national priorities and development challenges, specifically on aspects as: strategic planning, public	3.1.1 Support the approval of a Law on Climate Change in order to prioritize climate change adaptation in national planning and budgeting	1. Process for identifying the direct access entities started, however no entities have been nominated	Number of Climate Change Bills reviewed and updated	A national expert was hired to review and update an existing Law on Climate Change that was drafted before project initiation.  So far, research and exchange of relevant information has taken	Expected 12-month delay due to COVID-19 and postponement in the initiation of activities. Expected completion date by: Month 18 (Q4 2020)	No substantive progress to report.	<b>Activities:</b> A diagnostic report regarding the current state, strengths and weaknesses of the existent draft bill will be produced after discussing all findings with the Ministry of Environment. The draft bill will be revised accordingly.

	funding, long term development.				place, in order to start reviewing pre-existing drafts of the bill.  An updated draft will be generated from these researches and exchange. Baseline information has been collected. These are some of the documents obtained: <a href="#">Observaciones Anteproyecto de Ley General Sobre Cambio Climático EGM.docx</a> , <a href="#">OPINION REVISION ANTEPROYECTO Versión sept. 19.doc</a> .			<b>Deliverable 3.1.1:</b> Draft Law on Climate Change for political approval.
		3.1.2 Develop one adaptation plan for each of the 5 unified planning regions of the country.	0. Nominated direct access entity has not applied for accreditation	Number of regional adaptation plans developed	No progress to report.	No variance.	No progress to report.	Not planned for the next reporting period.
		3.1.3 Develop adaptation plans in Santo Domingo and the other 4 most populous cities in the country, including interventions at systemic level and in two specific slums in each city.	0. Nominated direct access entity has not applied for accreditation	Number of municipal-level adaptation plans developed	No progress to report.	No variance.	No progress to report.	Not planned for the next reporting period.
3.2 Methodologies and tools to enhance capacity for planning, budgeting and implementation of adaptation at regional and local levels are developed	At the subnational level, while acknowledging the role of local institutions in facilitating adaptation to climate change, municipalities lack knowledge, specific responsibilities and specific tools. Their participation is mainly	3.2.1 Develop protocols for and train staff responsible for approval of infrastructure projects on climate resilience for the implementation of the law 02-2014 on the introduction of climate change adaptation elements in the	0. Nominated direct access entity has not applied for accreditation	Develop one adaptation plan for each of the 5 unified planning regions of the country	No progress to report.	No variance.	No progress to report.	Not planned for the next reporting period.



	reactive to extreme events.	environmental impact assessments that have to be undertaken in the design and development of infrastructures.						
		3.2.2 Develop and disseminate methodological (step-by-step) guides on climate change adaptation planning and implementation at regional and local levels to enhance capacity for planning, budgeting and implementation of adaptation, with particular attention being paid to the sectors prioritized in the NDC and NAPCC.	0. Nominated direct access entity has not applied for accreditation	Number of guidelines prepared on climate change adaptation planning and implementation	So far, research and exchange of relevant information has taken place. Some similar guidelines have been reviewed (i.e., from <a href="#">Colombia</a> , <a href="#">Paraguay</a> , <a href="#">Nicaragua</a> ). Also, data and approaches of such guidelines are being systematized according to similar vulnerabilities and risks in the Dominican Republic.	No variance.	No substantive progress to report	Not planned for the next reporting period.
		3.2.3 Provide institutional support to enhance the efficiency of the procurement and tendering process and preparation of preliminary tender documents to enable private sector participation and promote the establishment of public-private partnerships.		Number of reports with recommendations to enhance the efficiency of the procurement and tendering process, including preliminary tender documents	No progress to report.	Expected 22-month delay on the initial deliverable due to the significant time spent in the definition of the implementing partner engagement modalities and processes. Given the length of time required to effectively start project execution during the first year and the completion of the recruiting processes of the PMU, activities have been rescheduled. Additionally, this activity has been postponed in order	No progress to report	Not planned for the next reporting period.

						to prioritize the ones that are achievable and/or most relevant during the COVID-19 pandemic.		
						Expected completion date by: Month 28 (Q4 Y3)		

**Outcome 4: Mechanisms for Reporting, Monitoring and Review of NAPs and adaptation progress in place**

**Outcome narrative:** No significant progress to report.

Outputs	Baseline summary	Activities	Targets achieved	Indicators	Milestones and deliverables achieved <sup>4</sup>	Variance explanation	Qualitative assessment of activities undertaken	Planned activities and corresponding deliverables/milestones for the next reporting period
4.1 A monitoring and reviewing system established for the NAP process	Currently many cross-sectoral and sectoral policies, strategies and plans do not include explicit consideration on climate change adaptation and its linkages with the NAP process.	4.1.1 Develop a monitoring and review framework for the NAP, including indicators, baselines, targets at different moments in time, means of verification and sources of information at national, sectoral and local levels.	1. Separate ad-hoc dialogues existing between NDA/FP and some accredited entities	Number of NAP monitoring and review frameworks developed	No progress to report.	No variance	No progress to report.	<b>Activities:</b> To reduce hiring costs and the complexities involved in managing several individual consultants working on inter-linked tasks, a team of consultants will be recruited to contribute to the implementation of activities 4.1.1, 4.2.1, and 4.2.2.  <b>Deliverable:</b> TORs for the implementation of activities 4.1.1, 4.2.1, and 4.2.2.
		4.1.2 Support the creation of an Integrated National Climate Change Planning and Control System and the strengthening of the National System on	0. Consultations between the NDA/FP and the GCF Secretariat ongoing, however accredited entities operating in the	A Bill developed to compel cooperation of Ministries to build a national climate information data.	A national expert was hired to support the drafting of an act to create the Integrated National Climate Change Planning and Control System. <a href="#">TORs</a> and <a href="#">Contract</a>	Completion of activity has been rescheduled from month 6 to month 21, resulting in a delay of 15 months due to COVID-19 and postponement	No progress to report	<b>Activities:</b> The following activities will be undertaken: (1) Elaborating a diagnosis to justify the need for the law; and (2) Carrying out consultations with the Directorate of Environmental Information

		Environmental and Climate Change Information.	country are not involved			in the initiation of activities.  Expected completion date by: Month 21 (Q3 2021)		and the Directorate for Climate Change.  <b>Deliverable:</b> Consultation reports
4.2 Technical training of national and local government representatives and stakeholders to implement the monitoring and reviewing system for the NAP	As a result of this limited integration of climate change into cross-sectoral planning, capacities of the technical staff of relevant government institutions at national and local levels on adaptation monitoring and evaluation, including data collection, analysis and dissemination, is limited.	4.2.1 Develop monitoring and review guidelines and tools for technical staff of relevant government institutions on data collection, analysis and dissemination, including the documentation of lessons learned and adaptive management.	0. No country programme/concept notes developed or submitted to the GCF	Number of monitoring and review guidelines and tools developed	No progress to report.	Expected 4-month delay due to COVID-19 and postponement in the initiation of activities.  Expected completion date by: Month 28 (Q4 2021) instead of month 24	No progress to report.	<b>Activities:</b> To reduce hiring costs and the complexities involved in managing several individual consultants working on inter-linked tasks, a team of consultants will be hired for the implementation of activities 4.1.1, 4.2.1, and 4.2.2.  <b>Deliverable:</b> TORs for the implementation of activities 4.1.1, 4.2.1, and 4.2.2.
		4.2.2 Train technical staff of relevant government institutions in the use of these guidelines at the national and local level.	0. No country programme/concept notes developed or submitted to the GCF	Number of training sessions on the use of the mentioned guidelines	No progress to report.	Expected 3-month delay due to COVID-19 and postponement in the initiation of activities. Expected completion date by: Month 30 (Q4 2021) instead of month 27	No progress to report.	<b>Activities:</b> To reduce hiring costs and the complexities involved in managing several individual consultants working on inter-linked tasks, a team of consultants will be hired for the implementation of activities 4.1.1, 4.2.1, and 4.2.2.  <b>Deliverable:</b> TORs for the implementation of activities 4.1.1, 4.2.1, and 4.2.2.
		4.2.3 Develop annual progress and effectiveness reports (including financial aspects) and disseminate	0. No country programme/concept notes developed or submitted to the GCF	Number of annual progress reports developed  Number of dissemination	No progress to report.	Expected 9-month delay due to COVID-19 and postponement in the initiation of activities.	No progress to report.	Not planned for the next reporting period.

	them using different format		workshops organised		Expected completion date of the first deliverable: Month 21 (Q1 2021) instead of month 12		
	4.2.4 Train staff from meteorological offices developing climate change scenarios.	0. No country programme/concept notes developed or submitted to the GCF	Number of training sessions on developing climate change scenarios organised	<a href="#">A final draft of TORs for Temperature, Precipitation and Sea-Level Scenarios for the implementation of Activity 2.1.1.</a> including two training sessions for the implementation of this activity (4.2.4). These TORs will be published shortly.	Expected 21-month delay due to COVID-19 and postponement in the initiation of activities. Expected completion date by: Month 27 (Q3 2021) instead of month 6	No substantive progress to report.	<b>Activities:</b> The recruitment process for the consultants to undertake the activity will be completed, as part of the recruitment process for consultants for activity 2.1.1.  Two training sessions for the National Meteorological Office and/or the Ministry of Environment will be delivered by December 2020. Additional sessions will be delivered during January-September 2021.  <b>Deliverable:</b> Reports from the two training sessions.
	4.2.5 Strengthen the collaboration of all institutions dealing with meteorological data.	0. No country programme/concept notes developed or submitted to the GCF	Number of data sharing collaboration agreements among institutions	No progress to report.	Expected 18-month delay due to COVID-19 and postponement in the initiation of activities. Expected completion date by: Month 24 (Q3 2021) instead of month 6	No progress to report.	Not planned for the next reporting period.
	4.2.6 Together with the Ministry of Education, Science and Technology, identify research priorities on climate change adaptation and promote research on these topics through	0. No country programme/concept notes developed or submitted to the GCF	Number of reports on the research priorities on climate change adaptation  Number of cooperation agreements between the Directorate of	No progress to report.	Expected 3-month delay due to COVID-19 and postponement in the initiation of activities. Expected completion date by: Month 27 (Q3 2021) instead of month 24	No progress to report.	Not planned for the next reporting period.

	scaling-up the existing fund and setting cooperation agreements with research institutions, including the Environmental Network of Dominican Universities.		Climate Change and research institutions				
	4.2.7 Conduct exchange visits to 2 countries to learn from them on adaptation planning and implementation during the implementation of NAP.	0. No country programme/concept notes developed or submitted to the GCF	Number of international exchange visits organised	No progress to report.	No variance.	No progress to report.	Not planned for the next reporting period.
	4.2.8 Develop and institutionalize a strategy for the periodic review (every two years) of NAP, including the financing strategy.	0. No country programme/concept notes developed or submitted to the GCF	Number of strategy documents for the periodic review of NAP	No progress to report.	Expected 6-month delay due to COVID-19 and postponement in the initiation of activities. Expected completion date by: Month 30 (Q4 2021) instead on month 24	No progress to report.	Not planned for the next reporting period.

### Outcome 5: Funding strategy for the NAP and CCA is available

Outcome narrative: No progress to report.

Outputs	Baseline summary	Activities	Targets achieved	Indicators	Milestones and deliverables achieved <sup>5</sup>	Variance explanation	Qualitative assessment of activities undertaken	Planned activities and corresponding deliverables/milestones for the next reporting period
5.1 Resource mobilization strategy for medium and long-	DR still hasn't made complete use of existing funding	5.1.1 Review reports produced on costing adaptation in the	1. Some private sector actors are engaged in	Number of assessment reports on the state of	No progress to report.	Expected 8-month delay due to COVID-19 and	No progress to report.	<b>Activities:</b> Recruitment process will be initiated for a team of

term CCA investment endorsed.	opportunities to assess climate financing. Current allocated funds for adaptation are not commensurate to adaptation needs.	Dominican Republic, compile information and identify information gaps for a reliable estimation of costs for implementing climate change adaptation in the medium and long term.	consultative processes, however no structured dialogue established (e.g. some private sector events organized)	knowledge on adaptation costs and gaps		postponement in the initiation of activities. Expected completion date by: Month 23 (Q2 2021) instead of month 15		consultants who will contribute to the implementation of Activities 5.1.1, 5.1.2, 5.1.3, 5.1.4, and 5.1.5.  <b>Deliverable:</b> TORs for the implementation of activities 5.1.1, 5.1.2, 5.1.3, 5.1.4, and 5.1.5.
	5.1.2 Fill the information gaps by undertaking a detailed economic study that estimates the costs of implementing the prioritized adaptation interventions at national, sectoral and local levels	1. Some private sector actors are engaged in consultative processes, however no structured dialogue established (e.g. some private sector events organized)	Number of economic assessments of the cost of the prioritized adaptation interventions	No progress to report.	Expected 2-month delay due to COVID-19 and postponement in the initiation of activities. Expected completion date by: Month 23 (Q2 2021) instead of month 21	No progress to report.	<b>Activities:</b> Recruitment process will be initiated for a team of consultants who will contribute with the implementation of Activities 5.1.1, 5.1.2, 5.1.3, 5.1.4, and 5.1.5.  <b>Deliverable:</b> TORs for the implementation of activities 5.1.1, 5.1.2, 5.1.3, 5.1.4, and 5.1.5.	
	5.1.3 Develop an adaptation finance strategy, including the identification and analysis of options for scaling up financing (e.g. through domestic public budgets, public-private partnerships and international cooperation)	1. Some private sector actors are engaged in consultative processes, however no structured dialogue established (e.g. some private sector events organized)	Number of adaptation finance strategies	No progress to report.	No variance	No progress to report.	<b>Activities:</b> Recruitment process will be initiated for a team of consultants who will contribute with the implementation of Activities 5.1.1, 5.1.2, 5.1.3, 5.1.4, and 5.1.5.  <b>Deliverable:</b> TORs for the implementation of activities 5.1.1, 5.1.2, 5.1.3, 5.1.4, and 5.1.5.	
	5.1.4 Explore the most convenient institutional arrangements to centrally and systematically manage all climate change-related funds and channelling them effectively across sectors and regions.	1. Some private sector actors are engaged in consultative processes, however no structured dialogue established (e.g. some private sector events organized)	Number of reports on institutional arrangements to centrally and systematically manage all climate change related funds	No progress to report.	No variance	No progress to report.	<b>Activities:</b> Recruitment process will be initiated for a team of consultants who will contribute with the implementation of Activities 5.1.1, 5.1.2, 5.1.3, 5.1.4, and 5.1.5.  <b>Deliverable:</b> TORs for the implementation of	

						activities 5.1.1, 5.1.2, 5.1.3, 5.1.4, and 5.1.5.	
	5.1.5 Develop training toolkits and provide training to strengthen the capacity of relevant government stakeholders (at all levels) to initiate the implementation of the adaptation finance strategy.	1. Some private sector actors are engaged in consultative processes, however no structured dialogue established (e.g. some private sector events organized)	Number of training sessions on adaptation financing	No progress to report.	No variance	No progress to report.	<p><b>Activities:</b> Recruitment process will be initiated for a team of consultants who will contribute with the implementation of Activities 5.1.1, 5.1.2, 5.1.3, 5.1.4, and 5.1.5.</p> <p><b>Deliverable:</b> TORs for the implementation of activities 5.1.1, 5.1.2, 5.1.3, 5.1.4, and 5.1.5.</p>
	5.1.6 Develop GCF concepts and associated documentation for two adaptation programmes, one focusing on urban areas (covering Santo Domingo and 4 other cities) and one focusing on rural areas (covering 2 rural areas and 1 protected ecosystem and its mitigation areas), in coordination with efforts conducted through the readiness grant already been implemented.	1. Some private sector actors are engaged in consultative processes, however no structured dialogue established (e.g. some private sector events organized)	Number of GCF concepts developed	No progress to report.	Given the need to start achievable activities due to COVID-19, the first deliverable (first concept note) for activity 5.1.6 has been pushed forward by 9 months from month 27 to month 18  Expected completion date by: Month 24 (Q2 2021)	No progress to report.	<p><b>Activities:</b> A first Green Climate Fund project concept note will be drafted, aiming to increase the resilience of the Bajabonico watershed which is located in a rural area in the north west of the country.</p> <p><b>Deliverable:</b> The draft of the first GCF project concept note.</p>

### SECTION 3: ACTUAL IMPLEMENTATION TIMETABLE

Please provide the timeline of the Readiness Support activities and deliverables described in the outcomes in the Gantt chart below according to the actual progress of the activities and month(s) in which the deliverable was completed. Please ensure the outcomes/outputs/activities match those highlighted in Section 3.

#### Outcome 1: National mandate, strategy and steering mechanisms are in place

Outputs	Activities	Actual Timetable: Quarterly Implementation Plan of Activities During the Reporting Period
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[Drive containing all Supporting Documents](#)

		Q3-2019 <sup>6</sup>	Q4-2019	Q1-2020	Q2-2020	Q3-2020	Q4-2020	Q1-2021	Q2-2021	Q3-2021	Q4-2021
		3	6	9	12	15	18	21	24	27	30
1.1 National, local and sectoral policy documents, available climate information and key stakeholders are identified and assessed to facilitate integration of adaptation options and to promote an integrated approach to adaptation planning.	1.1.1 Initiate and launch the NAP process. <b>Deliverable:</b> NAP launching workshop and 5 regional inception workshop reports.										
	1.1.2 Conduct stocktake, identify available information on climate change impacts, vulnerability and adaptation, and assess quantitative and qualitative gaps, with attention being paid to the sectors prioritized in the NDC and NAPCC. <b>Deliverable:</b> Report on available and non-available information on climate change impacts, vulnerability and adaptation										
	1.1.3 Define the NAP management framework, indicating the tasks and responsibilities of key stakeholders, linking climate change planning (e.g. NCCCP) and the climate change system to medium and long term national planning (e.g. END 2030 and PNPSP) and the National Planning System; strengthening the institutional links between climate change institutions and the institutions responsible of other cross-cutting issues.										
	<b>Deliverable:</b> Report on the NAP management framework										
1.2 Training, awareness raising and participatory processes for public and private sectors at national and local levels, NGOs, CSOs and local communities on the NAP process established.	1.2.1 Develop a resolution that expands the functions and formalizes the role of the existing participatory platforms in the formulation and implementation of the NAP. <b>Deliverable:</b> Draft resolution on the role of the existing participatory platforms in the formulation and implementation of the NAP for political approval.										
	1.2.2 Provide orientation and training for policy and decision makers and implementers from relevant institutions at national and local levels on i) the importance of climate change adaptation planning and implementation (including vulnerabilities, potential impacts and opportunities); ii) the proposed process to develop, implement and update the NAP; and iii) methodologies and critical issues to implement adaptation measures, with particular attention being paid to the sectors prioritized in the NDC and NAPCC. <b>Deliverable:</b> Training sessions for policy and decision makers and implementers from relevant institutions at national and local levels.										
	1.2.3 Develop awareness raising campaigns at national and local levels for the Council for Municipal Planning, NGOs, CSOs and local communities on i) the importance of climate change adaptation planning and implementation (including vulnerabilities, potential impacts and opportunities); ii) the proposed process to develop, implement and update the NAP; and iii) methodologies and critical issues to implement adaptation measures, with particular attention being paid to the sectors prioritized in the NDC and NAPCC.										

<sup>6</sup> While the grant effectiveness date is July 2018, the implementation timetable starts in July 2019, which is when the inception workshop was held.



	<b>Deliverable:</b> Awareness raising campaign at national and local levels for the Council for Municipal Planning, NGOs, CSOs and local communities.									
	1.2.4 In collaboration with business organisations, develop awareness raising campaigns at national and local levels for the private sector on i) the importance of climate change adaptation planning and implementation (including vulnerabilities, potential impacts and opportunities); ii) the proposed process to develop, implement and update the NAP; and iii) methodologies and critical issues to implement adaptation measures at firm level, including investment opportunities, with particular attention being paid to the sectors prioritized in the NDC and NAPCC.									
	<b>Deliverable:</b> Awareness raising campaigns at national and local levels for the private sector									
	1.2.5 Develop and maintain a digital platform for public participation in the NAP process to foster the participation of civil society stakeholders at any time and from any area of the country with internet connection.									
	<b>Deliverable:</b> Operating digital platform for public participation in the NAP process.									

Outcome 2: Preparatory elements for the NAP in place to develop a knowledge-base and formulate a NAP											
Outputs	Activities	Actual Timetable: Quarterly Implementation Plan of Activities During the Reporting Period									
		Q3-2019	Q4-2019	Q1-2020	Q2-2020	Q3-2020	Q4-2020	Q1-2021	Q2-2021	Q3-2021	Q4-2021
		3	6	9	12	15	18	21	24	27	30
2.1 A knowledgebase on climate change and development interlinkages established.	2.1.1 Develop temperature and precipitation scenarios for the period 2020-2040 and sea level rise scenarios for the periods 2020-2040, 2041-2060, 2061-2080, providing details at sub-national level.										
	<b>Deliverable:</b> Temperature and precipitation scenarios for the period 2020-2040 and sea level rise scenarios for the periods 2020-2040, 2041-2060 and 2061-2080, providing details at sub-national level.										
	2.1.2 Analyse future socio-economic scenarios considering the latest studies and development planning (e.g. those developed as part of the preparation of the Third National Communication).										
	<b>Deliverable:</b> Report on future socio-economic scenarios.										
	2.1.3 Assess climate vulnerabilities and socio-economic opportunities and identify adaptation options at the sector, subnational and national levels, identifying priority climate change impacts, with attention being paid to the sectors prioritized in the NDC and NAPCC.										
	<b>Deliverable:</b> Report on climate vulnerabilities and socio-economic opportunities, and adaptation options at the sector, sub-national and national levels.										
2.2 A process for analysis and	2.1.4 Develop reports on the relationships of climate change adaptation with other cross-cutting issues, such as environmental sustainability, disaster risk management, gender equity and land use and territorial cohesion, including conceptual, legal, policy, institutional, vulnerability and strategic aspects, identifying entry points for proper two-directional integration.										
	<b>Deliverable:</b> Integrated report on the relationship of climate change adaptation with other cross-cutting issues, identifying entry points for two-directional integration.										
	2.2.1 Review and appraise adaptation options, including economic, environmental and social costs and benefits, considering potential unintended effects (and correctly valuing										

appraisal of adaptation options established, based on lessons learned from the Dominican Republic and other countries.	ecosystem services), with particular attention being paid to the sectors prioritized in the NDC and NAPCC.															
	<b>Deliverable:</b> Assessment report on adaptation options.															
	2.2.2 Assess the effectiveness of past adaptation interventions to learn lessons on adaptation planning and implementation, with attention being paid to the sectors prioritized in the NDC and NAPCC.															
	<b>Deliverable:</b> Report on lessons learned on adaptation planning and implementation from past adaptation interventions.															
	2.2.3 Conduct exchange visits to 2 countries to learn lessons on adaptation planning and implementation during the preparation of the National Adaptation Plan.															
2.3 The information is compiled in a National Adaptation Plan document and disseminated.	<b>Deliverable:</b> Exchange visit report, presenting the lessons learned by the team on adaptation planning and implementation.															
	2.3.1 Consolidate the inputs developed in a National Adaptation Plan document that explicitly presents the prioritized adaptation solutions.															
	<b>Deliverable:</b> National Adaptation Plan document, including priority adaptation actions.															
	2.3.2 Communicate the National Adaptation Plan.															
<b>Deliverable:</b> Communication Strategy for the NAP.																

Outcome 3: NAP implementation facilitated		Actual Timetable: Quarterly Implementation Plan of Activities During the Reporting Period									
Outputs	Activities	Q3-2019	Q4-2019	Q1-2020	Q2-2020	Q3-2020	Q4-2020	Q1-2021	Q2-2021	Q3-2021	Q4-2021
		3	6	9	12	15	18	21	24	27	30
3.1 The legal framework and strategic planning at subnational level is strengthened	3.1.1 Support the approval of a Law on Climate Change in order to prioritize climate change adaptation in national planning and budgeting.										
	<b>Deliverable:</b> Draft Law on Climate Change for political approval.										
	3.1.2 Develop one adaptation plan for each of the 5 unified planning regions of the country.										
	<b>Deliverable:</b> 5 adaptation plans at the regional level (one for each of the 5 unified planning regions of the country).										
3.1.3 Develop adaptation plans in Santo Domingo and the other 4 most populous cities in the country, including interventions at systemic level and in two specific slums in each city.											
	<b>Deliverable:</b> 5 adaptation plans at the municipal level, in urban areas (Santo Domingo and the other 4 most populous cities in the country).										
3.2 Methodologies and tools to enhance capacity for planning, budgeting and	3.2.1 Develop protocols for and train staff responsible for approval of infrastructure projects on climate resilience for the implementation of the law 02-2014 on the introduction of climate change adaptation elements in the environmental impact assessments that must be undertaken in the design and development of infrastructures.										
	<b>Deliverable:</b> Training sessions for staff responsible for approval of infrastructure projects; Protocols on climate resilience of infrastructure projects for the same audience.										

implementation of adaptation at regional and local levels are developed.	3.2.2 Develop and disseminate methodological (step-by-step) guides on climate change adaptation planning and implementation at regional and local levels to enhance capacity for planning, budgeting and implementation of adaptation, with attention being paid to the sectors prioritized in the NDC and NAPCC.												
	<b>Deliverable:</b> Methodological guide on climate change adaptation planning and implementation at regional and local levels.												
	3.2.3 Provide institutional support to enhance the efficiency of the procurement and tendering process and preparation of preliminary tender documents to enable private sector participation and promote the establishment of public-private partnerships.												
	<b>Deliverable:</b> Report with recommendations to enhance the efficiency of the procurement and tendering process; Preliminary tender documents.												

Outputs	Activities	Q3-2019	Q4-2019	Q1-2020	Q2-2020	Q3-2020	Q4-2020	Q1-2021	Q2-2021	Q3-2021	Q4-2021
		3	6	9	12	15	18	21	24	27	30
4.1 A monitoring and reviewing system established for the NAP process.	4.1.1 Develop a monitoring and review framework for the NAP, including indicators, baselines, targets at different moments in time, means of verification and sources of information at national, sectoral and local levels.										
	<b>Deliverable:</b> Monitoring and review framework for the NAP.										
	4.1.2 Support the creation of an Integrated National Climate Change Planning and Control System and the strengthening of the National System on Environmental and Climate Change Information.										
	<b>Deliverable:</b> Creation Act of the Integrated National Climate Change Planning and Control System, in coordination with the National System on Environmental and Climate Change Information.										
4.2 Technical training of national and local government representatives and stakeholders to implement the monitoring and reviewing system for the NAP	4.2.1 Develop monitoring and review guidelines and tools for technical staff of relevant government institutions on data collection, analysis and dissemination, including the documentation of lessons learned and adaptive management.										
	<b>Deliverable:</b> Monitoring and review guidelines and tools for technical staff of relevant government institutions.										
	4.2.2 Train technical staff of relevant government institutions in the use of these guidelines at the national and local level.										
	<b>Deliverable:</b> Training sessions to technical staff of relevant government institutions in the use of the mentioned guidelines.										
	4.2.3 Develop annual progress and effectiveness reports (including financial aspects) and disseminate them using different formats.										
	<b>Deliverable:</b> Annual progress and effectiveness reports; Dissemination workshops.										
	4.2.4 Train staff from meteorological offices developing climate change scenarios.										
	<b>Deliverable:</b> Training sessions on developing climate change scenarios for staff from the meteorological offices.										
	4.2.5 Strengthen the collaboration of all institutions dealing with meteorological data.										

	<b>Deliverable:</b> Collaboration agreement among institutions dealing with meteorological data.										
	4.2.6 Together with the Ministry of Education, Science and Technology, identify research priorities on climate change adaptation and promote research on these topics through scaling-up the existing fund and setting cooperation agreements with research institutions, including the Environmental Network of Dominican Universities.										
	<b>Deliverable:</b> Report on the research priorities on climate change adaptation; Cooperation agreements between the Directorate of Climate Change and research institutions.										
	4.2.7 Conduct exchange visits to 2 countries to learn from them on adaptation planning and implementation during the implementation of NAP.										
	<b>Deliverable:</b> Exchange visit report, presenting the lessons learned by the team on adaptation planning and implementation.										
	4.2.8 Develop and institutionalize a strategy for the periodic review (every two years) of NAP, including the financing strategy, based on i) updated climate information; ii) updated socio-economic vulnerabilities, opportunities and plans; iii) lessons learned regarding adaptation planning (at legal, policy and institutional level); iii) lessons learned regarding implementation of adaptation measures on the ground (for iii and iv both nationally and internationally).										
	<b>Deliverable:</b> Strategy for periodic review of NAP.										

Outcome 5: Funding strategy for the NAP and CCA is available											
Outputs	Activities	Actual Timetable: Quarterly Implementation Plan of Activities During the Reporting Period									
		Q3-2019	Q4-2019	Q1-2020	Q2-2020	Q3-2020	Q4-2020	Q1-2021	Q2-2021	Q3-2021	Q4-2021
		3	6	9	12	15	18	21	24	27	30
5.1 Resource mobilization strategy for medium and long-term CCA investment endorsed	5.1.1 Review reports produced on costing adaptation in the Dominican Republic, compile information and identify information gaps for a reliable estimation of costs for implementing climate change adaptation in the medium and long term.										
	<b>Deliverable:</b> Report on the information available and non-available on costs of adaptation.										
	5.1.2 Fill the information gaps by undertaking a detailed economic study that estimates the costs of implementing the prioritized adaptation interventions at national, sectoral and local levels.										
	<b>Deliverable:</b> Economic assessment of the costs of implementing the prioritized adaptation interventions at national, sectoral and sub-national levels.										
	5.1.3 Develop an adaptation finance strategy, including the identification and analysis of options for scaling up financing (e.g. through domestic public budgets, public-private partnerships and international cooperation). <b>Deliverable:</b> Adaptation finance strategy.										
	<b>Deliverable:</b> Adaptation finance strategy.										
	5.1.4 Explore the most convenient institutional arrangements to centrally and systematically manage all climate change-related funds and channelling them effectively across sectors and regions.										

	<b>Deliverable:</b> Report on the most convenient institutional arrangements to centrally and systematically manage all climate change related funds.										
	5.1.5 Develop training toolkits and provide training to strengthen the capacity of relevant government stakeholders (at all levels) to initiate the implementation of the adaptation finance strategy.										
	<b>Deliverable:</b> Training tools; Training sessions for relevant government stakeholders on the implementation of the adaptation finance strategy.										
	5.1.6 Develop GCF concepts and associated documentation for two adaptation programmes, one focusing on urban areas (covering Santo Domingo and 4 other cities) and one focusing on rural areas (covering 2 rural areas and 1 protected ecosystem and its mitigation areas), in coordination with efforts conducted through the readiness grant already been implemented.										
	<b>Deliverable:</b> GCF concepts and associated documentation for 2 adaptation programmes, one focusing on urban areas and one on rural areas.										

**SECTION 4: BUDGET & EXPENDITURE REPORTING**  
This section requires the applicant to report on the proposed budget. Please complete this section using the Readiness Budget Expenditure & Resource Report template (MS Excel)



DR Readiness Budget  
Report 290720.xlsx

**SECTION 5: PROCUREMENT PLAN FOR THE NEXT IMPLEMENTATION PERIOD**  
List the items planned to be procured during the next implementation period (including consultants) and explain what procedures will be used for these procurements (e.g. direct procurement, open tender, other). Double-click the table below to edit the spreadsheet.

Activity	Item to procure	Unit number or work/month day	Lumpsum or unit rate (USD)	Total budget (USD)	Procurement procedures used
<b>Goods and Non-Consulting Services</b>					
1.1.2	Workshop expenses / Travel	1	Lumpsum	4,213	Direct procurement
1.2.1	Workshop expenses / Travel	1	Lumpsum	4,845	Direct procurement
1.2.2	Workshop expenses / Travel	1	Lumpsum	7,125	Direct procurement
1.2.3	Workshop expenses / Travel	1	Lumpsum	8,550	Direct procurement
1.2.4	Workshop expenses / Travel	1	Lumpsum	8,835	Direct procurement
4.1.1	Travel	1	Lumpsum	3,954	Direct procurement
4.2.2	Travel	1	Lumpsum	3,518	Direct procurement
5.1.2	Travel	1	Lumpsum	2,236	Direct procurement
5.1.3	Travel	1	Lumpsum	4,213	Direct procurement
5.1.5	Travel	1	Lumpsum	1,977	Direct procurement
5.1.6	Travel	1	Lumpsum	23,343	Direct procurement
			<b>Sub Total</b>	<b>72,809</b>	

<b>Consulting Services</b>					
1.2.5	Consultants or service contracts (Individual Consultants)	Product	Lumpsum	4,550	Open tender
2.1.1 and 4.2.4	Consultancy team	Product	Lumpsum	120,000	Open tender
2.1.2, 2.1.3, and 2.1.4	Consultancy team	Product	Lumpsum	200,000	Open tender
4.1.1, 4.2.1 and 4.2.2	Consultancy team	Product	Lumpsum	38,750	Open tender
4.1.2	Consultants or service contracts (Individual Consultants)	Product	Lumpsum	15,000	Open tender
Activities 5.1.1, 5.1.2, 5.1.3, 5.1.4 and 5.1.5	Consultancy team	Product	Lumpsum	47,300	Open tender
5.1.6	Consultancy team	Product	Lumpsum	199,000	Open tender



<b>Sub Total</b>	<b>624,600</b>
<b>Total (Goods and Non-Consulting Services + Consulting Services)</b>	<b>697,409</b>

## SECTION 6: CHALLENGES, LESSONS LEARNED AND WAY FORWARD

Please describe what were the challenges encountered during the current reporting period; what were the solutions to mitigate them; and what were the key lessons learned and what will the project do to undertake course corrections during the next reporting period.

Challenges faced and mitigation measures adopted:

- Despite the completion of the hiring process, the coronavirus disease pandemic (COVID-19) forced the Project Management Unit to postpone activities that require the presence of groups of people, in line with the ongoing State of National Emergency.
- In order to avoid further delays, the members of the PMU updated the project implementation plan and developed an Action Plan for 2020, including identification of achievable activities during the crisis. The re-evaluation of all activities considered all possible scenarios with criteria such as: identification of activities that do not require field visits, and drafting of all necessary tools for the execution of the project (TORs, *Practical Guide: How to set up virtual workshops?*, formulation of a communication strategy, developing databases). With the aim of streamlining processes and effectively monitoring the project's progress, a new methodology was adopted consisting of a monthly review of progress vis-a-vis the implementation plan.
- The changes in the project timetable include: (i) shortening the implementation timeline of some of the activities, (ii) pushing back activities that were meant to start during the Q2-Q4 of Year 2 and (iii) pushing forward activities that were scheduled to start during Year 3. Consequently, the 6 months no-cost extension to the project made available by the GCF due to the COVID-19 pandemic has been adopted and added to the project timeline. However, given all the significant delays in the first year due to finalizing the implementation modalities and that the project inception workshop took place in July 2019, about 12 months after the project's approval (as detailed in section 2), a 12-month no-cost extension will likely be requested in the next IPR, taking also in account the evolution of the pandemic. It is also important to note that the National Project Coordinator was hired in June 2019, one year after the grant effectiveness date.

Lessons learned and way forward:

- Despite the challenges faced under the present state of emergency, the PMU has been able to develop a teamwork dynamic to discuss and conclude programmed activities with virtual tools and adjusting timelines to avoid further delays.
- Alternative communication mechanisms, such as virtual meetings and direct phone calls to reach out stakeholders and key actors, necessitated the articulation of a strategic plan to carry out activities that require workshops or groups of people in upcoming quarters.
- Supporting local partners and institutions to create contact databases of relevant stakeholders in different sectors and territories will contribute to advancing the project.
- The constant review of all planning instruments is key for the next quarter. This way the PMU will be able to identify the most viable scenario for advancing the project.
- Given the necessary processes and procedures to approve TORs with local institutions and project partners, adjustments in the timetable have been done for activities that require TORs and consultancy services procurements, reflecting achievable time goals without affecting project's deadlines.
- In order to engage key stakeholders in the process, with the aim of guaranteeing high levels of participation in planned activities, strengthening the project's communication strategy and participatory platform became a priority.

As indicated in Sections 2 and 3, the revised implementation schedule reflects some modifications in the time of initiation of some activities, given COVID-19 and project implementation delays. **These modifications on deliverable dates for the next reporting period**, as compared with the plan presented in the last Interim Progress Report (IPR), are detailed below:

Outcome 1:

- NAP launching workshop and 5 regional inception workshop reports (Activity 1.1.1) has been pushed back from Q2-2020 to Q4-2020.
- The development of a stocktake and gap-analysis of climate change impacts, risks and vulnerability and adaptation information in the country (Activity 1.1.2) has been pushed back from Q2 2020 to Q3 2020
- The definition of the NAP management framework (Activity 1.1.3) has been pushed back from Q2 2020 to Q4 2020.
- The setup of a digital platform for public participation in the NAP process to foster the participation of civil society stakeholders (Activity 1.2.5) has been pushed back from Q1 2020 to Q4 2020.

Outcome 2:

- The development of temperature, precipitation and sea level rise scenarios (Activity 2.1.1) has been pushed back from Q3 2020 to Q1 2021.
- The analysis of future socio-economic scenarios (Activity 2.1.2) has been pushed back from Q3 2020 to Q1 2021.
- The assessment on climate change vulnerabilities, risks and socio-economic opportunities and identify adaptation options at the sector, subnational and national levels, identifying priority climate change impacts (Activity 2.1.3) has been pushed back from Q3 2020 to Q1 2021.
- The development of reports on the relationships of climate change adaptation with other cross-cutting issues (Activity 2.1.4) has been pushed back from Q3 2020 to Q1 2021.
- Completion of exchange visits to 2 countries to learn lessons on adaptation planning and implementation (Activity 2.2.3) has been pushed back from Q3 2020 to Q1 2021.
- Communication Strategy for the NAP (Activity 2.3.2) has been pushed forward from Q3 2021 to Q4 2020



Outcome 3:

- (i). Support the approval of a Law on Climate Change in order to prioritize climate change adaptation in national planning and budgeting (Activity 3.1.1) has been pushed back from Q2 2020 to Q4 2020.

Outcome 4:

- (i). Support the creation of an Integrated National Climate Change Planning and Control System and the strengthening of the National System on Environmental and Climate Change Information (Activity 4.1.2) has been pushed back from Q2 2020 to Q1 2021

Outcome 5:

- (i). While the timeline for starting the development of GCF concepts and associated documentation for two adaptation programs (Activity 5.1.6) was advanced, the completion of the first deliverable has been pushed back from Q3 2020 to Q4 2020.

As reported in the last IPR, to avoid duplication and to streamline project implementation, activities 5.1.3 (“Identify, analyse and recommend options for scaling up financing for adaptation...”) and 5.1.4 (“Develop an adaptation finance strategy to access new identified sources of adaptation finance”) from the funding proposal have been merged into a single activity 5.1.3, with the deliverable of an adaptation finance strategy including scaling up. There were no changes to the cost category totals.

**Changes to the Budget:**

A slight change to the activity 1.1.2 budget was introduced to include an increase of USD 4,213 in funds destined for travel/ coordination support/road trips. This reflects in a decrease of USD 4,213 in the budget initially allocated for travel and DSA of an International Consultant. This modification does not exceed the 20% budget variance between cost categories allowed by the Green Climate Fund. As reported on the past IPR, Activity 1.1.1 incurred a slight change its budget to include the procurement of 4 laptops for the Project Management Unit support team, estimated at USD 6,000. This reflects in a decrease of USD 6,000 in the budget initially estimated for workshops. This modification did not exceed the 20% budget variance between cost categories allowed by the Green Climate Fund.

**Changes to the Procurement Plan:**

To reduce hiring costs and the complexities involved in managing several individual consultants working on inter-linked tasks, two teams of consultants will be hired to provide technical services during the next reporting period through competitive processes under UNEP rules and regulations. The configuration and composition of the teams is based on the technical requirements needed for the delivery of each of the project components. Each team is expected to include several consultant profiles, that work together cohesively and form synergies to produce high quality products. Team 1 will assume some of the activities for Component 4 (4.1.1, 4.2.1 and 4.2.2), and Team 2 will assume some activities in Outcome 5 (5.1.1, 5.1.2, 5.1.3, 5.1.4 and 5.1.5.)

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Comments		
<b>Reviewed by:</b> <i>Name and Title (Reviewer):</i> <i>Position:</i>	<b>Signature:</b>	<b>Date:</b> <b>(DD-MM-YYYY)</b>
<b>Final assessment by:</b> (Satisfactory to GCF) <i>Name and Title (Reviewer):</i> <i>Position:</i>	<b>Signature:</b>	<b>Date:</b> <b>(DD-MM-YYYY)</b>

## Annex. Subsequent Disbursement Request Form

Please fill the below form to request for the subsequent disbursement when the interim progress report along with unaudited financial statement/financial audit report/certified financial statements as applicable in accordance with Grant Agreement has been submitted to GCF (please note that the disbursement request can be processed only after these conditions are met).

SUBSEQUENT DISBURSEMENT REQUEST	
1. Total amount approved for the project	2,998,325 USD
2. Disbursement from GCF made to date/Percentage of Total Grant (%)	1,161,912 USD / 38.7%
3. Total expenditure to date	486,907.95 USD
4. Expenditure rate as of the Interim Progress Report submission date (%)	42%
5. Total amount of the subsequent disbursement to request/Percentage of Total Grant (%)	712,191 / 23.7%
6. Name of Beneficiary Bank and located country	GCF Account details provided in letter from UNEP to Director, Country Programming Division dated 10 May 2019, titled "Letter of Certification regarding UN Environment Programme Bank Account details"; reference DCS/ef/kc/hv
7. Account number	
8. Bank address	
9. SWIFT (BIC)	
10. IBAN Code	
11. Date of the disbursement request	31/07/20

<b>Name and Title*:</b> <b>Position:</b>	<b>Signature:</b>	<b>Date:</b>
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*\*The signatory of grant agreement (either NDA or Delivery Partner) or any authorised person who is certified in the letter of authorisation submitted to the Fund can sign here. When this is not plausible, please kindly consult with the Fund ([opm@gcfund.org](mailto:opm@gcfund.org)) prior to the submission of the disbursement request.*

## SPECIAL ADDENDUM: COVID-19 RELATED IMPACTS

This section provides information on temporary measures to support project implementation through the extension period. Please be advised that the measures do not constitute a permanent change in policy. If you have any questions, please feel free to send an inquiry to [opm@gcfund.org](mailto:opm@gcfund.org).

GCF has granted up to six-months extension of the grant term/anticipated duration of readiness activities for specific grants that had been approved by the GCF prior to the pandemic having arisen and which expire after 1 March 2020 and are effective prior to 8 April 2020. GCF has granted additional flexibilities with guidelines as outlined below.

### No-Cost Extension

- i. All grants will be automatically extended by six months. However, please be informed that the willingness on the part of the GCF to provide this six-month extension on the basis of the COVID-19 pandemic is not intended to prevent RPSP activities from being delivered under the pre-pandemic existing contractual timelines. Delivery partners and National Designated Authorities/Focal Points (NDA/FP) can complete the grant implementation sooner than the full no-cost extension period. Therefore, the GCF expects that delivery partners will fully coordinate with NDA/FPs in relation to the application of the said extension as a result of the COVID-19 pandemic.
- ii. Delivery partners should include a revised workplan for the new period in the next reporting cycle.
- iii. Grants requiring an extension longer than the six-months must submit well justified requests in line with standard practices and procedures for the GCF's consideration and approval.
- iv. The originally agreed grant sum required to complete the activities under the respective legal agreements remains unchanged for grants accepting the no-cost extension.

**Project management costs:** The project management costs (PMC) cap has been increased from 7.5 percent to 12.5 percent of the total activity budget approved. Partners can tap into the approved contingency fund to meet these additional costs up to the 12.5% cap. If the delivery partner increases the PMC, then the delivery partner is required to provide detailed documentation and justification supporting the increase in PMC and clearly outlining how the additional costs are related to the COVID-19 pandemic. This justification should be included in the interim progress report or completion reports due for submission as detailed in the grant agreement.

**Contingency budget:** The approved contingency amount included in the budgets for these activities may be used to cover unforeseen costs relating the COVID-19 pandemic without prior approval from GCF. The contingency budget may be used for project management costs (PMC). The said contingency expenses will need to be justified and included in the detailed reports that are required to be submitted by the delivery partner/recipient under the respective legal agreement between the delivery partner/recipient and the GCF.

**Budget Re-allocation:** The reallocation of approved budget among the budget line items can be made from one budget category to another up to 25 percent variation across the categories. The receiver and giver budget category can only take or give without prior fund approval up to 25 percent based on the previously approved budget. Budget can also be reallocated from outputs without changing the project scope to PMC if the contingency budget is insufficient to meet the increases in PMC. The delivery partner is required to provide detailed documentation and justification supporting the budget reallocation in the submitted reports in line with the grant agreement.

Types of Measures	Output No.	Activity No.	Implementation and Deliverables Schedule (Please provide details of the change to activities, deliverables, etc.)				Budgetary Implications
			Impact on delivery modality	Deliverable	Original Date <sup>10</sup>	Revised Date	
No-cost extension	1.1	1.1.1	Change on delivery dates as indicated on revised date column. Because of the State of Emergency and country lockdown, all workshops will be done virtually.	Five NAP launching workshop reports	April 2020	December 2020	No change in costs.
No-cost extension	1.1	1.1.2	Obtaining feedback, arranging meetings with key actors and obtaining information has been a challenge. The validation workshop will be done virtually.	Technical stock-taking report	May 2020	August 2020	No change in costs.
No-cost extension	1.1	1.1.3	Obtaining feedback, arranging meetings with key actors and obtaining information, has been a challenge. The validation workshop will be done virtually.	Report detailing the governance framework for the NAP process	May 2020	December 2020	No change in costs.
No-cost extension	1.2	1.2.5	Change on delivery dates as indicated on revised date column.	Digital Platform	March 2020	December 2020	No change in costs.
No-cost extension	2.1	2.1.1	Change on delivery dates as indicated on revised date column.	Temperature and precipitation scenarios	September 2020	January 2021	No change in costs.
No-cost extension	2.1	2.1.2	Change on delivery dates as indicated on revised date column.	Report on future socio-economic scenarios	September 2020	January 2021	No change in costs.
No-cost extension	2.1	2.1.3	Change on delivery dates as indicated on revised date column.	Report on climate vulnerabilities	September 2020	January 2021	No change in costs.
No-cost extension	2.1	2.1.4	Change on delivery dates as indicated on revised date column.	Report on relationship of climate change adaptation with cross-cutting issues	September 2020	January 2021	No change in costs.
No-cost extension	2.2	2.2.3	Change on flight dates as indicated on revised date column.	2 <sup>nd</sup> exchange visit report	September 2020	February 2021	No change in costs.
N/A	2.3	2.3.2	Change on delivery dates as indicated on revised date column.	Communications Strategy for NAP	July 2021	December 2020	No change in costs.
No-cost extension	3.1	3.1.1	Obtaining feedback, arranging meetings with key actors and obtaining information has been a challenge. The validation workshops will be done virtually.	Climate change draft bill reviewed and updated	June 2020	December 2020	No change in costs.

<sup>10</sup> As indicated in Section 3 of June-Dec 2019 Interim Progress Report (IPR).

No-cost extension	4.1	4.1.2	Obtaining feedback, arranging meetings with key actors, and obtaining information has been a challenge. The validation workshop will be done virtually.	Draft bill to compel cooperation of Ministries to build the National Climate Information Data.	June 2020	March 2021	No change in costs.
No-cost extension	5.1	5.1.6	Change on delivery dates as indicated on revised date column.	First draft GCF concept note	September 2020	December 2020	No change in costs.
No-cost extension	Project Management Costs	Project Management Costs	Given the 6-month no cost extension, and that this project has no contingency budget, class expenditures on <i>staff and other personnel costs</i> destined for other project activities will be reallocated to the PMC budget.				No change in costs.
Choose an item.							
Choose an item.							

<p><b>In-country Status</b> (Please provide an update of the status of the country due to COVID-19 pandemic.)</p>	<p>As of July 2, 2020, the Dominican Republic has 34,197 confirmed cases of COVID-19 and 765 confirmed deaths. The government lifted the State of Emergency and allowed the resumption of commercial aviation effective July 1. However, there are still limitations regarding public activities. Social distancing protocols have been established for a variety of activities and masks are required by law in public spaces. While the government has put in place several economic packages for poorest and other vulnerable groups (formal and informal workers affected by pandemic situation), this support can end as soon as mid-August when the presidential period of Mr. Danilo Medina ends. However, it is expected that new elected authorities will maintain the ongoing programs or implement new measures.</p>
<p><b>Justification for Requests and Implications</b> (Please provide details of the changes to support utilization of temporary measures.)</p>	<p>As a result of the coronavirus pandemic (COVID-19), the Project Implementation Plan was adjusted by rescheduling activities and enabling the ones that were achievable during the crisis, in order to catch up on project timelines. Reaching stakeholders and key actors has been a challenge. Given the State of Emergency, and the country's lockdown situation and curfew hours assigned, the project has faced a lot of limitations for its execution during Q2 2020. Consultation processes as well as all required workshops will be carried out through different virtual modalities, in line with the measures ordered by Dominican government authorities, seeking to guarantee the highest levels of possible participation.</p>
<p><b>Mitigation Measures</b> (Please provide details of how risks will be mitigated)</p>	<p>As mentioned in Section 6, the re-evaluation of all activities contemplated all possible scenarios with criteria such as: identification of activities that do not require field visits, and drafting of all necessary tools for the execution of the project (TORs, Practical Guide: How to set up virtual workshops?, formulation of a communication strategy, developing databases).</p> <p>Using the apparent drawbacks and benefits of the new reality, the PMU has optimized the project plan, creating ways and means to enable a stable and timely delivery of its adjusted Implementation Plan. Emphasizing and recognizing the importance of key project delivery frameworks and disciplines, the PMU has adapted to change, working remotely. Periodic virtual meetings of the PMU are being held 3 times a week, and the steering committee meets virtually every month to create strategic options. Also, the PMU has shifted to use of online collaboration tools to support project team engagements. All documentation produced is being shared and edited online through Google Drive and Microsoft Teams. Obeying the provisions given by public health authorities, alternative communication mechanisms such as virtual meetings and direct phone calls with stakeholders and different key actors have been held. Since it is not possible to carry out the activities that require face-to-face gatherings, these activities must be adjusted to virtual settings, which will</p>

	demand the identification of the most suitable platforms to conduct virtual workshops and activities, implicating changes on all methodologies initially considered.
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Comments		
<b>Reviewed by:</b> <i>Name and Title:</i> <i>Position: DSS Finance</i>	<b>Signature:</b>	<b>Date:</b> <b>(DD-MM-YYYY)</b>
<b>Certified by:</b> <i>Name and Title:</i> <i>Position: DSS Finance</i>	<b>Signature:</b>	<b>Date:</b> <b>(DD-MM-YYYY)</b>
<b>Approved by:</b> <i>Name and Title:</i> <i>Position: CFO</i>	<b>Signature:</b>	<b>Date:</b> <b>(DD-MM-YYYY)</b>

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Comments

**Reviewed by:**

*Name and Title (Reviewer):*

*Position:*

**Signature:**

**Date:**

**(DD-MM-YYYY)**

**Final assessment by:**

(Satisfactory to GCF)

*Name and Title (Reviewer):*

*Position:*

**Signature:**

**Date:**

**(DD-MM-YYYY)**