



Annex I: Request for Change of Approved Readiness Support Proposal *(must be requested at least 60 days prior to the closing of the project)*

Grant information	
Grant Agreement Reference No.	LAC-RS-016
Grant Recipient Countries	Ecuador, Honduras, Cuba, the Dominican Republic, Panama, Guatemala and Uruguay
National Designated Authority/Focal Point	Ministry of Environment, Water and Ecological Transition of Ecuador
Delivery Partner	UNEP
Grant Effectiveness Date	27-Jan-21
Grant Expiration Date (Current)	26-Jun-24
GCF Total Amount Approved (USD)	USD 2,028,367.03
GCF Total Amount Disbursed (USD)	USD 1,141,094.00

Scope of requested change <i>(Check the relevant aspects)</i>	Provide details
<input checked="" type="checkbox"/> 1. Change in duration	<ul style="list-style-type: none"> Requested additional period: 11 M (number of months) Total grant duration to revise from 36 M to 47 M (number of months) New project closing date: 26-Dec-24
<input checked="" type="checkbox"/> 2. Change in budget	<ul style="list-style-type: none"> Total budget change in percentage: 5.94% Total amount to be revised: 0.00USD <p><i>Changes marked in Annex II – Budget template</i></p> <p>The Request for Change is for:</p> <ul style="list-style-type: none"> No changes in total budget, Changes in budget lines to reflect the implementation requirements. Adjustment were made to the national coordinators salaries for Cuba (USD - 16,140), Ecuador (USD – 3,592) and the Dominican Republic (+12,223) due to local salary scales. Activities were retrieved from the EE WENR to be executed by a new EE, IH Cantabria (USD 174,300) Activities were retrieved from the EE Practical Action Perú to be executed by UNEP through an individual contract (USD 33,000) Local workshops were added for Cuba (USD 16,140) while one was deduced from the Dominican Republic (USD 2,432) Unit priced for concept notes amount were adjusted for Ecuador (USD 37,792) and the Dominican Republic (USD 19,400). The revised budget and budget notes are provided in annex II.
<input type="checkbox"/> 3. Change in outcomes/sub-outcomes/outputs	<p>Outcome 4.1: An increase in the number of quality project concept notes developed and submitted. This outcome remains as it is originally.</p> <p>Output 4.1.1. The name of this output was changed to Output 4.1.1.: Five project concept notes developed of a fundable quality.</p>

	<p>Activity 4.1.1.4. There is a change in the name of the activity “Activity 4.1.1.4 Develop five national or regional concept notes on Urban NbS.” Otherwise Deliverable 4.1.1.4 is presenting a change to “Five concept notes submitted to international funds.”</p>
<p><input checked="" type="checkbox"/> 4. Change in activities/ deliverables</p>	<p>This readiness project titled “<i>Increasing resilience through Nature based Solutions in Latin American cities (Nature4Cities Latam)</i>” was approved by the GCF on January 12th, 2021. The grant became effective on January 27th, 2021, with participation of 4 countries from Latin America and the Caribbean, i.e. Ecuador as Leading country, Cuba, Guatemala, Honduras and the Dominican Republic. The first disbursement of USD 279,471 was received on March 23rd, 2021. On June 30th, 2021 an amendment to the proposal to add three additional countries (Panama, Guatemala and Uruguay) and a 12-month extension was presented, and on May 13rd 2022, was approved. This second amendment includes (i) a change in one of the deliverables; (ii) the addition of an Executing Entity – IH Cantabria; (iii) a change in the Presidency of the project from Ecuador to Panama.</p> <p>The structure and results of the project’s logical framework remain the same. The changes concern the name and quantity of one of the deliverables, as well as the duration of some of the activities.</p> <p>The following changes were made to the activities and deliverables specified in the section 3 of the proposal:</p> <ul style="list-style-type: none"> • The change in the Activity 4.1.1.4. regards the decrease in the number of concept notes, from 7 to 5, and their submission to other international funds besides GCF. • The National and local deliverables, Deliverables 2.2.1.2, 2.2.1.3, 2.2.1.4a, 2.2.1.5a, 2.2.1.7, 2.2.1.8, 2.2.2.1, 2.2.2.2, 2.4.1.1, 2.4.1.3, 2.4.1.4, 4.1.1.1, 4.1.1.2, 4.1.1.3, 5.2.1.2, remain the same. • The Regional deliverables, 2.2.1.1, 2.2.1.4b, 2.2.1.4c, 2.2.1.5b, 2.2.1.5c, 2.2.1.6, 2.4.1.2., 5.2.1.1, 5.2.1.2, 5.2.1.3., 5.2.1.4., 5.2.1.5., 5.2.1.6, and 5.2.2.1, remain the same.

<p>Justification for change <i>(Check the relevant aspects)</i></p>	<p><i>Provide details</i></p>
<p><input checked="" type="checkbox"/> 1. Change in government priorities</p>	<p>Regarding the changes in government priorities, there are two key aspects that require attention.</p> <p>Firstly, Panama and Uruguay, have expressed their interest in collaborating with a new Implementing Partner, as IH Cantabria. This decision has been driven by IH Cantabria's extensive background and expertise in the respective countries, as well as their involvement in</p>

	<p>ongoing projects with DINACC and MiAmbiente. The intention behind this collaboration is to leverage IH Cantabria's capacity to complement and enhance the ongoing initiatives in these nations, and create local capacities, crosscutting with the projects that this Institution has developed in the countries. This is seen as an advantage when accessing existing and already produced information.</p> <p>Secondly, it is important to note that Ecuador's involvement in the project is set to conclude by December 2023. A change in the project regional lead is thus proposed, with a transition from Ecuador to Panama. In this former country, activities will continue until the end of 2024. This change in leadership reflects the evolving dynamics within the project and signifies Panama's commitment to maintaining its active involvement in shaping the future direction of the initiative.</p> <p>This amendment takes into account the 7 countries and 13 cities in Latin America and The Caribbean, increasing the duration of the project from 36 to 47 months i.e. an additional eleven (11) months. The revised implementation plan assumes that this request for amendment would be approved.</p> <p>Therefore, there are some changes in the timeline implementation related with the Amendment 1:</p> <ul style="list-style-type: none"> • Activity 2.2.1.1: first milestone ends at the same date (M4), the second milestone has a one-month delay, from M15 to M16. • Activity 2.2.1.2: first milestone starts 6 months later, from M4 to M10, ending on Month 11. The second group of countries has a delay in the starting month, from M15 to M22, ending on M23. • Activity 2.2.1.3: delay in the first milestone from M8 to M13; the second one is delayed from M20 to M23. • Activity 2.2.1.4a: delay from M10 to M15 for the first milestone. The second milestone is delayed from M22 to M26. • Activity 2.2.1.4b: delay of 14 months, from M10 to M24. • Activity 2.2.4.c: delay of 5 months, from M23 to M28. • Activity 2.2.1.5a: the first milestone has a delay of 9 months for completion (from M10 to M19). The second milestone is delayed of 16 months, from M21 to M35. • Activity 2.2.1.5b: start date 15 months later; finalization of the activity changed from M10 to M25. • Activity 2.2.1.5c: start date 9 months later so the finalization of the activity changed from M23 to M32. • Activity 2.2.1.7: the first 4 countries had a delay in the final's deliverables, from M17 to M23. The three new countries from M27 to M36. • Activity 2.2.1.8: this activity is closely related with the latest one. Because of the mention, it is a delay in the deliverables in the 4 original countries and the 3 added ones, from M13 to M26 and from M25 to M37, respectively. • Activity 2.2.2.1: delay from M20 to M26 and from M25 to M37 in the consummation of the deliverables.
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	<ul style="list-style-type: none"> • Activity 2.2.2.2: the first 6 workshops had a deviation in time, from M18 to M27 and to M38 for the new added countries. • Activity 2.4.1.1: the deliverables have a deviation from M24 to M32. • Activity 2.4.1.3: the 4 countries finished these deliverables with a delay of 9 months, from M19 to M28. The 3 amendment countries will have a delay from M31 to M40. • Activity 2.4.1.4: it was a variance in the finalization of the activity from M20 to M24 and from M32 to M35 • Activity 4.1.1.1: this activity has a variance from M20 to M30 and from M28 to M39 in the completion. • Activity 4.1.1.2: this activity has a variance from M23 to M31 and from M31 to M41 in the completion. • Activity 4.1.1.3: this activity has a variance from M23 to M25 and from M32 to M40 in the completion. • Activity 4.1.1.4: delay in the completion from M23 to the end of the project (M47). • Activity 5.2.1.1: delay 6 months, from M35 to M41. • Activity 5.2.1.2: delay from M24 to M39. • Activity 5.2.1.3: delay from M35 to M40. • Activity 5.2.1.4: the MOOC will be ready on Month 37 but the final report will be presented on Month 47, with a delay of 29 months from the original timeline in the Amendment 1. • Activity 5.2.1.5: the activity presented advances from M14 to M13, and from M17 to M14. The deliverable of the month 20 stays the same. Another advance occurred from M23 to M21. The last ones have a delay from M26 to M28, from M29 to M45. • Activity 5.2.1.6: delay of 5 months. • Activity 2.2.1.6, 2.4.1.2, 5.2.2.1 has no changes in the implementation comparing with the Amendment 1 timeline.
<input checked="" type="checkbox"/> 2. Change in implementation arrangement	<p>A new implementing partner, IH Cantabria, is proposed to complete some of the activities included under WENR’s original scope of work. This is considering the new scope for Uruguay and Panamá’s Climate Risks and Vulnerability Assessments, considering that both requested to work with Institutions and Universities who had already done work in the country and local communities.</p> <p>Leading Country: The presidency of the Regional project will change from Ministry of Environment, Water and Ecological Transition of Ecuador to Ministry of Environment of Panama. The reason of this change is the fact that the activities of Ecuador will finish in December 2023. A new leadership is thus required for 2024.</p>
<input checked="" type="checkbox"/> 3. Other circumstances	<p>The main reason for this request is the delay in the disbursement after the approval of the amendment 1. The disbursement was received on June 27th, 2022. This deviation led to a delay in hiring the national coordinators of the countries until November-December 2022. It is necessary to extend the duration to complete the 2 years of project implementation in Uruguay, Panama and Guatemala.</p>

Risk assessment		
Provide an updated status of the originally identified risks. If new risks have arisen, list them along with relevant adaptive/mitigation measures, using the risk matrix provided below:		
Risk (Select or type)	Impact (Select)	Mitigation / adaptive measure
COVID-19	Medium	UNEP will provide tools for remote collaboration and engagement. Some of the meeting are planned to be developed virtually in case the COVID-19 cases increases.
Stakeholders' engagement (Political)	High	The project continues to ensure a high political buy-in (e.g. showcasing at the Bridgetown Declaration event) by including relevant stakeholders and establishing a coordination platform including a non-binding advisory committee, the NbS task groups. Similarly, the project uses participatory processes to consult and validate all project deliverables, analyses and plans and the regular sharing/exchange of project's products through the Regional Platform. Sometimes the partisan political context in each country can cause a delay in the implementation, because this is a participatory process and the 7 countries must be engaged and approve every change and activity.
Institutional coordination	High	The project will include: (i) several gap analyses that will enable an early identification of needs and recommendations as first steps for implementation; and (ii) a project execution unit following an adaptive management approach with a dual pursuit of management and learning
Exclusion of underrepresented communities	Medium	To avoid exclusion of underrepresented communities such as women, generations and indigenous people, the project will use practical guidelines to integrate gender into activities and outputs correctly, as well as criteria and activities checklists (IUCN 2011). Otherwise, the adaptation plans are expecting to consider the gender mainstreaming. Gender aspects will also be also taken into consideration when i) procuring consultants, ii) establishing the composition of the Project Steering Committee, and iii) designing indicators within the monitoring and reviewing system



<p>Delays at project's inception phase</p>	<p>Low</p>	<p>Engagement of implementing entities for the execution of the project will ensure an efficient inception phase. It is expected that the inception phase of the new implementing entity will be easier as they have experience in the countries.</p>
<p>Prohibited Practices money laundering or terrorist financing</p>	<p>Low</p>	<p>The Project will be implemented in accordance with UN regulations, rules and policies including the Anti-Fraud and Anti-Corruption Framework of the United Nations Secretariat. The financial management and procurement in project will be guided by UN Financial Regulations, Rules and practices, as well as UNEP's operations manual.</p>